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Abstract	<p>This paper is made up of responses to the papers in this volume. Part I is concerned with the philosophy of linguistics, particularly with the linguistic conception of grammars and the psychological reality of language. Part II is concerned with the theory of reference for proper names, including issues of reference borrowing, grounding, the qua-problem, and causal descriptivism. Part III is concerned with the theory of meaning, particularly the matters of direct reference, the contingent a priori, rigidity for kind terms, narrow meaning, and the use theory. Part IV is concerned with methodology, particularly with “putting metaphysics first”, the role of intuitions, and the contribution of experimental semantics. Part IV tackles two issues in metaphysics: the definition of scientific realism and biological essentialism.</p>	
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# Chapter 19

## Stirring the Possum: Responses to the Bianchi Papers

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Michael Devitt

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**Abstract** This paper is made up of responses to the papers in this volume. Part I is concerned with the philosophy of linguistics, particularly with the linguistic conception of grammars and the psychological reality of language. Part II is concerned with the theory of reference for proper names, including issues of reference borrowing, grounding, the qua-problem, and causal descriptivism. Part III is concerned with the theory of meaning, particularly the matters of direct reference, the contingent a priori, rigidity for kind terms, narrow meaning, and the use theory. Part IV is concerned with methodology, particularly with “putting metaphysics first”, the role of intuitions, and the contribution of experimental semantics. Part IV tackles two issues in metaphysics: the definition of scientific realism and biological essentialism.

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**Keywords** Philosophy of linguistics · Theory of reference · Theory of meaning · Intuitions · Experimental semantics · Scientific realism · Biological essentialism

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Thank you very much indeed to my friends who contributed such rich and thought-provoking papers to this volume. And a special thanks to Andrea Bianchi for conceiving of this volume and pulling it off. He is a wonderful editor!<sup>1</sup>

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The papers are *so* thought-provoking that, I confess, I found responding to them rather overwhelming. They obviously raise many more issues than I can deal with here. I focus my responses on areas where I think I have something new to say or

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<sup>1</sup>So wonderful that I forgive him for rejecting “Stirring the Possum” as the title of this volume (although he did let me use it for my responses). It’s an Australian expression and he was worried that its meaning would be lost on non-Australians. But that has not been my experience. And its meaning is only a Google click away for those who can’t figure it out. I am indebted to Bianchi also for some helpful comments on a draft of these responses.

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23 where I detect misunderstandings. So my responses to papers I largely agree with  
 24 will be very brief. And where I think – perhaps mistakenly! – that I have dealt ade-  
 25 quately with a criticism elsewhere, I shall simply cite that discussion.

26 I shall organize my responses into sections according to topics. The authors of  
 27 any of the volume’s papers that I cite in a section are mentioned parenthetically in  
 28 the section’s title. Some authors are cited in more than one section.

## 29 **19.1 Philosophy of Linguistics**

### 30 **19.1.1 The Linguistic Conception of Grammars (Collins, Rey)**

#### 31 **19.1.1.1 Introduction**

32 In my book, *Ignorance of Language* (2006a),<sup>2</sup> I urge seven “major conclusions” and  
 33 entertain seven “tentative proposals” about language and linguistics. John Collins  
 34 rich paper, “Invariance as the Mark of the Psychological Reality of Language”, is  
 35 the latest in our long-running exchange about some of these theses (Collins 2006,  
 36 2007, 2008a, b, Devitt 2006b, 2008a, b). He takes particular issue here with the two  
 37 major conclusions that have turned out to be the most controversial. One of these is:

38 *First major conclusion:* Linguistics is not part of psychology (40).

39 I urge that a grammar is about a nonpsychological realm of linguistic expressions,  
 40 physical entities forming symbolic or representational systems (Chap. 2).<sup>3</sup> I later  
 41 called this “the linguistic conception” of grammars. It stands in contrast to the  
 42 received Chomskian view that a grammar is about a speaker’s linguistic competence  
 43 and hence about mental states. I later called this “the psychological conception”.<sup>4</sup>  
 44 The other conclusion that concerns Collins is:

45 *Third major conclusion:* Speakers’ linguistic intuitions do not reflect information supplied  
 46 by the language faculty. They are immediate and fairly unreflective empirical central-  
 47 processor responses to linguistic phenomena. They are not the main evidence for gram-  
 48 mars. (120)

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<sup>2</sup>All unidentified references to my work in Sect. 19.1 are to this book.

<sup>3</sup>See also Devitt 2003. Devitt and Sterelny 1989 is an earlier version of the argument, but contains many errors.

<sup>4</sup>I emphasize that

the linguistic conception does *not* involve the absurd claim that psychological facts have nothing to do with linguistic facts. Some psychological facts *cause* linguistic facts (23–24), some “*respect*” them (25), some *partly constitute* them (39–40, 132–133, 155–157), some *provide evidence* for them (32–34), and some make them *theoretically interesting* (30, 134–135). But psychological facts are not the *subject matter* of grammars. The dispute is not over whether linguistics relates to psychology but over the way it does. (2008a: 207)

Part of Georges Rey's remarkably polemical, "Explanation First! The Priority of Scientific Over 'Commonsense' Metaphysics", is the latest in an even longer-running exchange (Rey 2006a, b, 2008, 2014, Forthcoming-a, Forthcoming-b, Devitt 2006a, 2008a, 2013a, 2014a, 2020). It has a few pages rejecting my first major conclusion and mentions his earlier rejection of the third.

I have already said a great deal about intuitions (2006a, c, d, 2008a, c, 2010a, b, 2012a, 2014a, b, 2015a, 2020) and so will say only a little more here (in Sect. 19.4.3). I shall discuss my first major conclusion, the linguistic conception of grammars, focusing on Collins' lengthy discussion but with passing attention to Rey's much briefer one. I discuss other aspects of Rey's paper in Sects. 19.4.1 and 19.4.2 below.

My linguistic conception has provoked a storm of criticism.<sup>5</sup> Yet, as Collins observes (note 2), I have complained that my critics, including Collins himself, have tended not to seriously address my *argument* for this provocative conclusion. Collins' paper in this volume is certainly not open to that complaint: he addresses my argument in great detail, for which I thank him. His paper is a novel and important contribution to the fundamental issue of what grammars are about.

#### 19.1.1.2 The "Master Argument"

As Collins points out, (what he calls) my "master argument" (17–38) rests on the application of three general distinctions to humans and their language. The distinctions are, briefly: first, between the theory of a competence and the theory of its outputs or inputs; second, between the structure rules governing the outputs and the processing rules governing the exercise of the competence; third, between the "respecting" of structure rules by processing rules and the inclusion of structure rules among processing rules. ("Respecting" is a technical term here: processing rules and competence "respect" the structure rules in that they are apt to produce outputs governed by those structure rules; analogously, apt to process inputs so governed.) So, using Collins' numbering, premise (1) of my argument is that there are these three general distinctions. Collins accepts this but in Sect. 2.4 he resists premise (2): that these distinctions apply to humans and their languages. So he must resist the result of this application, his (3). And in Sect. 2.5 he resists my final step which he expresses as follows: (4), "a grammar is best interpreted as a theory of the structure rules of linguistic expressions, not of linguistic competence" (##10).

The basis for (2) is simple: the distinctions in (1) are quite general, applying to *any* competence; so they apply to linguistic competence. Why does Collins think not? (a) Collins doubts that the distinctions really are general. (i) They "appear not to apply to the mammalian visual system" which does not "produce external products" (##11). But they do apply to the vision system, nonetheless: that system is a

<sup>5</sup>Antony 2008; Collins 2006, 2007, 2008a, b; Longworth 2009; Ludlow 2009; Pietroski 2008; Rey 2006a, b, 2008; Slezak 2007, 2009; Smith 2006.

87 competence to process certain *inputs* – and, we should note, so partly is the lan-  
 88 guage system – and the distinctions apply to these as much as to a competence to  
 89 produce certain outputs (17). (ii) The distinctions also fail to apply to “organs”  
 90 (##12). Perhaps, but the generalization is only about competencies and hence  
 91 applies to an organ only insofar as it is a competence. (b) Collins thinks my applica-  
 92 tion of these general distinctions to linguistics rests on dubious “analogical reason-  
 93 ing” (##12). True, I do argue for the distinctions using examples of competencies;  
 94 my favorite is the waggle dance of the bees. But this is not aptly called “analogical  
 95 reasoning”. It is better seen as arguing for a generalization about *Fs* using examples  
 96 of *Fs as evidence*, surely a sound scientific practice. (c) Collins claims “that the  
 97 distinctions presuppose an externalism of structured outputs” (##15; see also ##13).  
 98 But, strictly speaking, they do not. The distinctions apply even if a competence is  
 99 never exercised. Thus there would still be a distinction between a theory of compe-  
 100 tence in a language and a theory of the structure rules of its linguistic outputs even  
 101 if those outputs were only possible not actual.<sup>6</sup>

### 102 19.1.1.3 Linguistic Realism and Explanation

103 In any case, I think that Collins’ deep objection to the linguistic conception lies  
 104 elsewhere. Although the application of the three distinctions to human languages  
 105 does not presuppose an external-to-the-mind linguistic reality, “linguistic realism”,  
 106 the linguistic conception as a whole does. For, according to that conception, gram-  
 107 mars explain the nature of that linguistic reality and one can’t explain what doesn’t  
 108 exist. Furthermore, it has to be the case that the *theoretical interest* of grammars  
 109 comes primarily from such explanations. Collins argues that neither of these require-  
 110 ments, particularly the second, is met. My view is alleged to rest on the “misunder-  
 111 standing ... that there are linguistic phenomena as such, which a linguistic theory  
 112 may target directly, with psychological phenomena being targeted only indirectly”  
 113 (##2). Here is a strong statement of his view: “I reject linguistic externalia ... sim-  
 114 ply because they are explanatorily otiose: they neither constitute phenomena to be  
 115 explained nor explain any phenomena” (##10).

116 Rey’s objection to the linguistic conception is similar. In his earlier-mentioned  
 117 exchange with me, Rey has mounted the most sustained attack on linguistic realism  
 118 that I know of; and I have responded. In the present paper, he again urges his  
 119 antirealism. And he insists that grammars explain psychological phenomena:  
 120 “Chomskyan linguistics is per force about psychology because that’s simply where  
 121 the relevant law-like regularities lie” (##12).

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<sup>6</sup>It is apparent that the competence/performance distinction is central to my application of the three distinctions to linguistics. So it is odd that Collins often writes as if I have overlooked this much-loved distinction (##6, 12, 30–32).

The center of disagreement is over two related explanatory issues: What is worthy of explanation in linguistics? What do grammars actually explain? The fate of each conception, linguistic and psychological, largely hinges on these questions.

Starting in *Ignorance* (28–35, 134–135, 184–192), and continuing in various responses to critics (2006b, 2007, 2008a, b, c, 2009a, 2013a, b), I have argued for linguistic realism, for the view that grammars (partly) explain that reality, and for the view that it is primarily in virtue of this that grammars are theoretically interesting. I shall not repeat these arguments but the key ideas are as follows. First, we posit this linguistic reality to explain behavior, particularly communicative behavior: a noise (or inscription) is produced *because* it has certain linguistic properties, including syntactic ones, and it is *because* it has these properties, and hence is a linguistic expression (symbol), that an audience responds to that behavior as it does. Second, a grammar is a straightforward account of the syntactic properties of that expression and hence a (partial) explanation of the nature of the expression in virtue of which it plays its striking causal role in human lives.

Collins' guiding idea is that "the ontology of a theory is ultimately what is invariant over and essential to the explanations the theory affords" (##2). I have no quarrel with that. But I think that what are invariant and essential to the explanations that grammars afford are linguistic expressions, like the sentences, nouns, determiners, etc. on this very page. Collins introduces a notion:

*Primitive explanation: T primitively explains D* iff *T* explains *D*-phenomena independently of other theories, and *T* does not explain anything non-*D* without being embedded in a larger theory. *T* is explanatorily invariant over *D*. (##7)

Deploying this notion, I think that grammars primitively explain linguistic expressions not mental states. In contrast, Collins thinks: "A linguistic theory *primitively explains* the speaker-hearer's understanding, not properties of the inscriptions themselves" (##16); "A grammar is supposed to explain the character of our capacity to produce and consume material *as* linguistic" (##13). But how could it do that?

Here are some typical grammatical rules (principles):

An anaphor must be bound by another expression in its governing category.

A pronoun must not be bound by another expression in its governing category.

Accusative case is assigned by a governing verb or preposition.

A verb which fails to assign accusative case fails to theta-mark an external argument.

These are about *expressions*. They are not about mental states: they *do not mention* understanding or mental capacities (31). Building on this, in correspondence with Rey and with reference to Quine (1961), I offered the follow deductive argument for my view of grammars, step (4) above (in Collins' numbering):

- (a) Any theory is a theory of *x*'s iff it quantifies over *x*'s and if the singular terms in applications of the theory refer to *x*'s.

- 161 (b) A grammar quantifies over nouns, verbs, pronouns, prepositions, anaphors, and  
 162 the like, and the singular terms in applications of a grammar refer to such items.<sup>7</sup>  
 163 (c) Nouns, verbs, pronouns, prepositions, anaphors, and the like are linguistic  
 164 expressions/symbols (which are entities produced by minds but external  
 165 to minds).  
 166 (d) So, a grammar is a theory of linguistic expressions/symbols.<sup>8</sup>

167 Now one might well think that grammatical rules *directly* explain the natures of  
 168 expressions but also *indirectly* explain something mental, linguistic competence. As  
 169 Collins has remarked earlier, “the theory could tell us about speaker-hearers by way  
 170 of being true of something else, where what a theory is true of should attract our  
 171 proper ontological commitment” (##3–4). Why does Collins not think that what  
 172 *could* thus be the case is *actually* the case?

173 In a response to Collins I attempted to show how it *is* actually the case: “It is  
 174 *because* the grammar gives a good explanation of the symbols that speakers produce  
 175 that it can contribute to the explanation of the cognitive phenomena” (2008a: 215).  
 176 I illustrated this with an example I took from Collins (slightly modified here to fit  
 177 Collins’ present discussion). The cognitive phenomenon to be explained is S’s inter-  
 178 pretation of the reflexive in *Fred’s brother loves himself* as referentially dependent  
 179 on the whole DP rather than on *Fred* or *brother* alone. Collins nicely reproduces my  
 180 answer as follows:

181 (EE)

- 182 (i) S is competent in English and hence *respects* its structure rules.  
 183 (ii) *Fred’s brother loves himself* is an English sentence in which *himself* is c-commanded by the  
 184 whole DP but not by either of its constituents.  
 185 (iii) It is a rule of English that, in these circumstances, the reflexive must be bound by the  
 186 whole DP.  
 187 (iv) Therefore, S, because he respects the rules of English, gives a joint construal to *himself* and  
 188 the whole DP. (##20)

189 Notice that the only psychological premise in this explanation is (i), what I call “the  
 190 minimal claim on the issue of the psychological reality of language” (25). And  
 191 notice that the explanation is not deep. It does not tell us much about S’s mind  
 192 because (i) is *so* minimal: the explanation just tells us that, *whatever it may be that*  
 193 *constitutes her competence in English* causes S to interpret a reflexive according to  
 194 the rules of English. That is hardly news. I continued on:

195 This cognitive explanation depends on (ii) and (iii) providing a good linguistic explanation  
 196 of the nature of that English sentence. *In general, English speakers construe English*

<sup>7</sup>For a discussion of some examples in Liliane Haegeman’s textbook (1994), see my 2008b: 250–251.

<sup>8</sup>Rey writes (##8) as if *this* is my argument for the linguistic conception: it is only the final move, step (4). Later, he curiously remarks that I don’t “present anything like a serious theory of external ‘Linguistic Reality’ remotely comparable in richness and power to an internalist generative grammar” (##12). But, of course, a central point of the linguistic conception is that *those very grammars are*, precisely, rich and powerful theories of external linguistic reality.

*expressions as if they had certain properties because, as the grammar explains, the expressions really have those properties.* (2008a: 215). 197  
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Finally, the grammar directly explains the linguistic phenomenon of reflexives 199  
“independently of other theories” – see (ii) and (iii) – but it does not so explain the 200  
psychological phenomenon of S’s interpretation of reflexives – see (i). So, the gram- 201  
mar “primitively explains” the linguistic not the cognitive phenomenon. 202

#### 19.1.1.4 The Paraphrase Response 203

Return to Collins. Why does he think that “the grammar tells us nothing about the 204  
strings, but lots of things about how we interpret them” (##16)? *Prima facie*, this is 205  
all wrong, as argument (a) to (d) above shows: as I put it, “the Chomskian research 206  
program is revealing a lot about language but, contrary to advertisements, rather 207  
little about the place of language in the mind” (16). But is this view of grammars a 208  
bit flatfooted? As Quine points out, it is quite respectable for a scientist to para- 209  
phrase by withdrawing claim *P* in favor of claim *Q* because *Q* lacks the ontological 210  
commitment of *P* and yet will serve his purposes well enough. The scientist thus 211  
“frees himself from ontological commitments of his discourse” (1961: 103). The 212  
commitments of *P* arose from “an avoidable manner of speaking” (13). So, as 213  
Rey says, 214

we shouldn’t go merely by what is said in elementary textbooks, but, rather, what sort of 215  
entities are performing the *genuine explanatory work* the theory is being invoked to per- 216  
form (##10) 217

In light of this paraphrase response, enthusiasts for the psychological conception 218  
need to do three things. First, *they need to acknowledge* that grammars should *not* 219  
be taken literally but rather should be taken as standing in for a set of paraphrases 220  
that do not talk about expressions (like reflexives and DPs). Second, *they should tell* 221  
*us what the paraphrases are* or, at least, tell us how they are to be generated from 222  
what grammars actually say. Third, *they should give examples of how this para-* 223  
*phrased grammar directly explains cognitive phenomena.* So far as I know, nobody, 224  
from Chomsky down, including the critics of my linguistic conception,<sup>9</sup> has met the 225  
last two requirements explicitly in print. So we should be grateful to Collins for 226  
meeting them in his present paper.<sup>10</sup> Given the centrality of the psychological con- 227  
ception to the promotion of Chomskian linguistics, it is striking that there has been 228

<sup>9</sup>See note 5.

<sup>10</sup>Later, however, Collins seems to back away from the need to paraphrase. He raises the question: “How would linguistics appear if linguists were not interested in external concreta, but in the abstract mental structures speaker-hearers employ in their interpretation and production of such concreta, *inter alia*?” He responds “Well, it would appear just as it does, surely” (##26). But this is not so: grammars quantify over linguistic expressions and these are not “abstract mental structures”.



229 so little sensitivity to these requirements in presentations of grammars. Sensitivity  
230 to the ontology of one's theory is a mark of good science.

231 So how does Collins meet the requirement of the paraphrase response? He rejects  
232 my explanation, (EE), and gives an ingenious "internalist" explanation "without  
233 appeal to rules of English or external properties". This explanation is very revealing:

234 (IE)

235 (i) If S is competent in English, S's interpretation of the marks *himself* is constrained to be  
236 jointly interpreted with the interpretation of other marks occurring in the inscription i.e., the  
237 interpretation is 'reflexive'.

238 (ii) The constraint is such that the interpretation of *himself* is dependent on the interpretation of a  
239 mark categorised by S as a c-commander of the first interpretation.

240 (iii) To determine a c-commander, S must project the lexical interpretations into a hierarchical  
241 structure determined by the interpretations mapped onto the given marks.

242 (iv) Based on the projection, the interpretations of *Fred* or *brother* do not c-command the reflexive  
243 interpretation; only the interpretation of *Fred's brother* does.

244 (v) Therefore, the reflexive is jointly construed with the DP interpretation. (##21)

245 First, some clarification. (IE)'s talk, in its steps (i) to (iv), of S's interpretation of  
246 marks "does the work" of (EE)'s talk, in its step (ii), of the properties of the external  
247 marks themselves. Those steps (i) to (iv) establish that if S is competent with English  
248 then *S interprets* the mark *himself* as a reflexive that is c-commanded by *S's inter-*  
249 *pretation* of the whole DP mark *Fred's brother* but not by *S's interpretation* of either  
250 of its parts. But what then "does the work" of (EE)'s (iii)? How do we get from  
251 (IE)'s first four steps to its conclusion (v)? There is an implicit premise along the  
252 following lines:

253 (Ri) When speakers competent with English interpret a mark as a reflexive and c-commanded  
254 by a DP mark but not by either of the DP's constituents, they jointly construe the reflexive  
255 with the DP interpretation.

256 We should start thinking about this proposal by reminding ourselves that Collins  
257 needs to show that *the grammar* "primitively explains the speaker-hearer's under-  
258 standing". So, *where is the grammar playing a role in (IE)?* Manifestly, the gram-  
259 mar of reflexives *as presented in textbooks* is not playing a role. For, that bit of the  
260 grammar, reflected in (EE)'s (iii), is along the following lines:

261 (Re) In an English sentence in which a reflexive is c-commanded by a DP but not by either  
262 of the DP's constituents, the reflexive is bound by the whole DP.

263 For Collins to be right that the *grammar* primitively explains S's interpretation, the  
264 textbook grammar containing (Re) has to be replaced by one containing (Ri): *a*  
265 *grammar's apparent commitment to external linguistic expressions has to be para-*  
266 *phrased away into a commitment to acts of interpretation.* Similarly, (EE)'s gram-  
267 matical claim (ii) about the c-commanding of expressions has to be paraphrased  
268 away as a grammatical claim about the interpreting of expressions as c-commanding,  
269 based on (IE)'s (i) to (iv). Collins, in effect, acknowledged the need for this sort of  
270 paraphrase earlier:

271 When a linguistics text tells us that a sentence is ambiguous, say, the claim is not that the  
272 exemplified string has some peculiar hidden structure or some high-level functional prop-

erty or any other property as an external entity. The claim is simply that competent speaker-hearers robustly and reliably associate 2+ specific interpretations with tokens of such a string type. (##18–19) 273  
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Collins' explanation (IE) is indeed one "without appeal to rules of English"; instead, it appeals to rules governing interpretations by English speakers. 276  
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What is Rey's story? His examples of cognitive phenomena that need to be explained are of speakers finding certain constructions – "WhyNot?s", as he neatly names them – "oddly unacceptable" (##10). He claims that it is "virtually impossible to imagine a non-psychological explanation of these phenomena" (##11). And so it is, because the phenomena *are* psychological! But the issue is whether *grammars* directly explain them. I would argue, along the lines of (EE), that grammars as they stand do not directly explain them, although their accounts of the syntactic structure of linguistic entities contribute to the explanation.<sup>11</sup> Rey needs an alternative explanation provided by a suitably paraphrased grammar. His suggestion for paraphrase, illustrated with the principle for negative polarity items, is to replace principles about expressions with principles about (mental) *representations* of expressions (##13). This has the great advantage for Rey of removing a grammar's ontological commitment to linguistic expression and hence to linguistic realism. We should be grateful to Rey, as to Collins, for proposing a paraphrase. But, we should note first, that Rey's paraphrase is *very* different from that of Collins in terms of the interpretation of marks, along the lines of (Ri). And, we should note second that it is unclear how Rey's paraphrase will yield the desired psychological explanations. How, for example, will it explain speakers' finding WhyNot?s "oddly unacceptable"? Rey follows up his paraphrases with another suggestion that seems more explanatorily promising: he recommends "simply to *pretend* there are [linguistic items] and tree-structures in which they appear, and then treat any rules, principles, constraints, or parameters as true under the *pretense*" (##13). If this were a story of what goes on when speakers interpret marks as linguistic entities then it would be similar to Collins's story. 278  
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#### 19.1.1.5 Criticism of the Paraphrase Response 302

1. Collins has offered a story of how the grammar directly explains cognitive phenomena. And if the grammar were rewritten along the lines of (Ri), it seems that it would indeed explain psychological phenomena. But note that such explanations can be derived from the *unrevised* grammar together with the uncontroversial claim that competent speakers "respect" the principles and rules of the grammar; see (EE). *The rewriting adds no explanatory power*. And the rewriting 303  
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<sup>11</sup> To avoid misunderstanding, perhaps I should emphasize that I grant the Chomskian view that the language has some of its syntactic properties, including perhaps the WhyNot?s, as a result not of convention but of innate constraints on the sorts of language that humans can learn "naturally" (2006a: 244–272).

309 is pointless if the grammar is indeed a more or less true account of linguistic  
310 reality, as the linguistic conception claims.

311 2. Collins – and perhaps Rey – is proposing, in effect, that a grammar’s claim that  
312 external marks have linguistic properties should be paraphrased as the claim that  
313 speakers interpret them *as if* they had those properties. This has a sadly nostalgic  
314 air to it. The idea that widely accepted claims about the nature of the external  
315 world should be rewritten as claims that it is *as if* that world has that nature – that  
316 it *seems to us* that it does – has a long grim history that includes the disasters of  
317 metaphysical idealism and scientific instrumentalism.<sup>12</sup> In my view (1984, 1991),  
318 the idea has little to be said for it.

319 3. If Collins’ and Rey’s approach to linguistic entities were good it would general-  
320 ize to social entities in general. So if their argument really showed that linguistic  
321 entities were “explanatorily otiose” then analogous arguments would show that,  
322 for example, votes and coins were “explanatorily otiose”: all we need for explan-  
323 atory purposes is the fact that people *treat* certain pieces of paper *as if* they were  
324 votes and certain pieces of metal *as if* they were coins. But this is not so. Those  
325 pieces of paper play their causal roles in our lives *in virtue of* having the property  
326 of being votes; that’s what explains their place in the causal nexus. Similarly,  
327 those pieces of metal. And similarly, the marks we treat as linguistic entities.  
328 Nothing in Collins’ or Rey’s discussion, it seems to me, gainsays this causal role  
329 of linguistic entities. In particular, what explains the fact that *everyone* in the  
330 English-speaking community would interpret the mark *himself* in *Fred’s brother*  
331 *loves himself* as a reflexive c-commanded by the mark *Fred’s brother*? Why this  
332 remarkable coincidence of interpretation? The core of the answer is simple:  
333 because in English *himself* is a reflexive c-commanded by that DP<sup>13</sup>; and the  
334 competence of any English speaker enables her to detect this linguistic fact;  
335 that’s part of *what it is* to be competent. There are similar simple answers to  
336 analogous questions about other social entities like votes and coins. *We suppose*  
337 *that certain parts of the external physical world have certain natures because*  
338 *having those natures explains their causal roles*. There is no good reason to resist  
339 these answers. Social “as-if-ism” is a mistake.

340 Collins makes an Occamist claim: “The crucial issue here is parsimony” (##21).  
341 I agree. Linguists, like other scientists, should only posit what they need for expla-  
342 nation. We need linguistic entities for that purpose just as we need votes and coins.  
343 There are, external to the mind, entities playing causal roles in virtue of their lin-  
344 guistic properties. Grammars are approximately true theories of some of those prop-  
345 erties, the syntactic ones.

<sup>12</sup>Rey firmly rejects this sort of antirealism (##13 n. 28) but one wonders why the external world in general is spared the treatment he gives external languages in particular.

<sup>13</sup>Collins begins a paragraph with the charge that my “position is incoherent as it stands”. How so? He sums up: “Suffice it to say, nowhere does Devitt attempt any analysis of the properties he mentions to render them as high-level functional properties of external marks” (##26–27). I take over my view of these properties from grammars. What more “analysis” do I need?

### 19.1.2 *The Psychological Reality of Language (Camp)* 346

After my argument in Chap. 2 for the first major conclusion, the linguistic conception of grammars, the rest of *Ignorance* is largely devoted to this question: In what respect, if any, are the structure rules of a language “psychologically real” in its competent speaker? Given the linguistic conception we know that the speaker’s competence must “respect” those rules: there must be something-we-know-not-what within the speaker apt to produce outputs governed by those structure rules; analogously, to process inputs. But what is that something? And how much of it is innate? My struggle with these questions yields the remaining five of my seven major conclusions and all seven of my tentative proposals. So my answers are complicated! This reflects how very difficult it is to tell what they should be: “A central theme of the book is that we have nowhere near enough evidence to be confident about many psychological matters relevant to language; we are simply too ignorant” (vi). Hence the tentative nature of many of my proposals. They are put forward not to be believed but as promising hypotheses to be further investigated.

In “Priorities and Diversities in Language and Thought”, Elizabeth Camp agrees with me about the linguistic conception: “I share Devitt’s conception of language as a public, social construction” (##3). But she disagrees with me over thoughts and the psychological reality of language.

My views on these matters begin with “intentional realism” – there really are thoughts (125–127) – and “LET” – “language expresses thought” (127–128). This leads to the view that:

linguistic competence is an ability to match sounds and thoughts for meaning. If this is right then it is immediately apparent that any theory of linguistic competence, and of the processes of language comprehension and production, should be heavily influenced by our view of the nature of thoughts. (129)

This leads to:

*Fourth major conclusion:* The psychological reality of language should be investigated from a perspective on thought. (129)

I go on to argue for four priority claims (125–141) which I sum up:

*Fifth major conclusion:* Thought has a certain priority to language ontologically, explanatorily, temporally, and in theoretical interest. (141)

On the basis of these conclusions I go on to propose some hypotheses about thoughts and the psychological reality of language.

Camp disagrees with both the ontological and explanatory priority claims. I shall consider only the explanatory one.<sup>14</sup> She claims that “neither thought nor language can be assigned clear explanatory priority over the other” (##3). My argument that

<sup>14</sup>I considered an earlier version of her disagreement with my ontological claim in my responses at the 2007 Pacific APA; also, an earlier version of her disagreement over the language faculty.

383 thought is prior comes from Paul Grice (1989), building on LET. The crux of the  
384 argument is as follows:

385 An utterance has a “speaker meaning” reflecting the meaning of the thought that the speaker  
386 is expressing, reflecting its “message”.... [S]peaker meaning is explanatorily prior to con-  
387 ventional meaning: the regular use in a community of a certain form with a certain speaker  
388 meaning leads, somehow or other, to that form having that meaning literally or convention-  
389 ally in the language of that community. So the story is: thought meanings explain speaker  
390 meanings; and speaker meanings explain conventional meanings. In this way thought is  
391 explanatorily prior to language. (132).

392 So where does Camp disagree?

393 Camp has a nice discussion in Sect. 3.2 in support of the view that “language  
394 does much more than express thought” (##3). She sees this as a rejection of LET. It  
395 is not at all clear to me that it is at odds with LET as I understand it or, more impor-  
396 tantly, with the development of LET in the Gricean argument above. In any case,  
397 what clearly is central to Camp’s disagreement is her rejection of “the language of  
398 thought hypothesis” (LOTH), the view that thoughts involve language-like mental  
399 representations. In Sect. 3.1, Camp presents some very interesting and helpful con-  
400 siderations in favor of the view that “humans employ a range of formats for thought”  
401 (##3). But the way she brings this rejection of LOTH to bear on my discussion  
402 reflects serious misunderstandings. Indeed, her attributions of views to me are fre-  
403 quently quite wide of the mark, overlooking many of the complications of my treat-  
404 ment. I have space here to discuss just two.

405 I begin with the following:

406 The first step in Devitt’s broadside for the priority of thought is establishing that thought  
407 itself has a sentential structure.... [That thesis] plays a central role in his overall argument  
408 for the priority thesis. (##4).

409 But, in fact, LOTH *plays no role at all* in my case for the explanatory priority of  
410 thought; see the Gricean argument. Indeed, LOTH does not enter my discussion  
411 until the following chapter. Furthermore, Camp overstates my commitment to  
412 LOTH. I do indeed present an argument for LOTH (145–147, 158), influenced by  
413 Jerry Fodor (1975), and I do favor it over alternatives, but I never claim to have  
414 *established* it. I regard LOTH as “far from indubitable” (11), a “fairly huge” step  
415 (148), “a bold conjecture” (259), “speculative” (260), an example of a “promising”  
416 theory (192) to be further investigated. So *none* of my main “conclusions” depend  
417 on it. True, some of my seven other “proposals” do depend on it, and that is suffi-  
418 cient for my labeling them “tentative”.

419 The second misunderstanding I shall discuss concerns, in effect, one of those  
420 proposals:

421 *First tentative proposal:* A language is largely psychologically real in a speaker in that its  
422 rules are similar to the structure rules of her thought. (157).

423 I summed up the argument for this (142–162) as follows:

424 First, we adopted the controversial LOTH. Second, we noted, what seems scarcely deni-  
425 able, that public language sentences can have syntactic properties that are not explicit.

Third, we pointed to the role of mental sentences in explaining the implicit and explicit syntactic properties of the public sentences that express them. (157–158).

I noted that “the importance of LOTH to this argument is enormous” (158).

Camp is way off track about this discussion. She talks of my “ultimate conclusion that language exhibits the particular features it does because thought possesses those feature” (##4). But this hypothesis of in virtue of what language has its syntax is not an “ultimate conclusion” at all: it is part of an argument for a tentative proposal; see above. Later she alleges that “the next big move in Devitt’s argument for the priority of thought is the claim that language takes the form it does because it expresses thought” (##9). But that claim about language has nothing to do with my priority argument, which is in the previous chapter and is part of the background for this discussion of the first tentative proposal. So, Camp has the order of argument backward.

We are investigating the psychological reality of language. My first tentative proposal is my best guess *if the controversial LOTH is true*. But I appreciate that it may well not be and so investigate the consequences of that (195–243). I argue for:

*Fifth tentative proposal:* If LOTH is false, then the rules of a language are not, in a robust way, psychologically real in a speaker. (243).

So, if Camp is right in rejecting LOTH, I would conclude something strongly at odds with the standard Chomskian view of the psychological reality of language. I wonder whether Camp embraces that anti-Chomskian conclusion.

I also argue (256–260) for:

*Sixth tentative proposal:* Humans are predisposed to learn languages that conform to the rules specified by UG because those rules are, largely if not entirely, innate structure rules of thought. (257)

This is the innateness analogue of my first tentative proposal. And Camp’s critical discussion of it has, I would argue, misunderstandings analogous to her discussion of the first. But if she is right about LOTH, then this proposal goes down with the first. Appreciating that it might, I argue (256–270) for an analogue of the fifth:

*Seventh tentative proposal:* If LOTH is false, then the rules specified by UG are not, in a robust way, innate in a speaker. (260).

So we would again have a conclusion strongly at odds with a standard Chomskian view. I wonder whether Camp embraces that conclusion.

In sum, put Camp’s interesting argument against LOTH together with my arguments for the fifth and seventh tentative proposals and we have a case against the received Chomskian view that what grammars and UG describe is psychologically real in speakers.

## 463 19.2 Theory of Reference

### 464 19.2.1 Reference Borrowing (Raatikainen, Sterelny, 465 Horwich, Recanati)

466 Saul Kripke made two enormous contributions to the theory of reference, one nega-  
467 tive, one positive. The negative one was what I call “the ignorance and error argu-  
468 ment” against description theories of reference. This showed that many competent  
469 users of many terms, most strikingly proper names, use those terms successfully to  
470 refer to entities about which those users are largely ignorant or wrong. So, the refer-  
471 ence of those names could not be determined by the descriptions that those compe-  
472 tent users associate with the terms as standard description theories require.<sup>15</sup> The  
473 positive one was that people can “borrow” the reference of many terms in a com-  
474 munication situation, again most strikingly proper names, in an epistemically unde-  
475 manding way that does not require any capacity to identify the referent. This radical  
476 idea is the crux of Kripke’s “better picture” of reference (1980: 94).

477 Kripke’s reference-borrowing idea for names has frequently been misunder-  
478 stood. Panu Raatikainen points out, in his informed and judicious “Theories of  
479 Reference: What Was the Question?”:

480 Both the initial borrowing and the later use are intentional actions, but [the] ... subsequent  
481 use need not involve any intention to defer to the earlier borrowing; it need not involve any  
482 “backward-looking” intention. (##8)

483 John Searle (1983: 234) and others have wrongly taken Kripke’s reference borrow-  
484 ing to require such a backward-looking intention and have, perhaps for this reason,  
485 renamed it “deference”, as I have noted (2006e: 101–102; 2011a: 202–204). What  
486 matters for the subsequent use of a name that has been acquired by reference  
487 borrowing

488 is that the use be caused by an ability with that name that is, *as a matter of fact*, grounded  
489 in the bearer via that borrowing: *the efficacious ability must have the right sort of causal*  
490 *history...* [T]he speaker need not know who the lender was or even that she *has* borrowed  
491 the name. There is no need for her to have any semantic thoughts about the name at all. Use  
492 of language does not require any thoughts about language. (2015b: 116)

493 What does the initial borrowing require? I have, as Kim Sterelny nicely puts it in  
494 “Michael Devitt, Cultural Evolution and the Division of Linguistic Labour”, a  
495 “minimalist position” on this (##2). I do not follow Kripke in talking of the bor-  
496 rower intending to use the name with the same reference as the lender (1980: 96).  
497 That strikes me as too intellectualized. I recently put it like this in “Should Proper  
498 Names Still Seem So Problematic?” (2015b), the most accessible and up-to-date  
499 version of my theory:

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<sup>15</sup>As Raatikainen point out (##2), this argument counts against theories that require identification by description *or* ostension.

Rather we require that the borrower process the input supplied by the situation in whatever way is appropriate for gaining, or reinforcing, an ability to use the name to designate its referent. The borrower must intentionally set in motion this particular sort of mental processing even though largely unaware of its nature and perhaps not conscious of doing so. Similarly, a person walking or talking must intentionally set in motion the sort of mental processing appropriate to that activity. (2015b: 117–118) <sup>16</sup>	500 501 502 503 504 505
In “Languages and Idiolects”, Paul Horwich criticizes an earlier presentation of this view of reference borrowing (Devitt 2011a: 202):	506 507
this amounts to saying that only when certain unspecified conditions are satisfied will a speaker’s term refer to what the person she heard it from was referring to. But this is so uninformative that we as well might impose no extra condition at all. (##7)	508 509 510
This criticism would be appropriate only if we should expect philosophers to specify these conditions. But we should not <sup>17</sup> : “Reference borrowing is a species of lexical acquisition or understanding and so we must look to psycholinguistics to throw more light on it” (2015b: 118). And given the current state of our knowledge of language processing, we should not expect psycholinguists to tell us much soon. Sterelny hypothesizes “that rich and cognitively complex subdoxastic capacities are needed” for reference borrowing (##12). That seems likely to me.	511 512 513 514 515 516 517
In “Multiple Grounding”, François Recanati (##1) quotes my saying of names that “reference borrowing is of the essence of their role” (Devitt 1981a: 45). This essentialist claim is a bit misleading. Searle (1983: 241) has objected to Kripke that it is not essential that people borrow their reference of a name; each person might manage reference on her own. I responded: “No causal theorist has ever denied this. The reference of any name <i>can</i> be borrowed, even if those of a few are not in fact borrowed” (1990: 101–102 n. 9). That is how to understand my essentialist claim.	518 519 520 521 522 523 524
Still, a person’s reference with a name <i>is</i> typically borrowed, at least partially. I pointed out that this borrowing is like pronominal anaphora (1981a: 45). Recanati puts it nicely:	525 526 527
just as the pronoun ‘he’ inherits the reference of its singular antecedent ... in the dialogue “Have you read Aristotle? Yes, <i>he</i> is a great philosopher”, the name ‘Aristotle’ in [this sentence] inherits its reference from past uses to which that use is causally related. (##2)	528 529 530
Recanati thinks that with both the anaphoric link for pronouns and the “quasi-anaphoric” link for names, the	531 532
link forces coreference between the singular term (name or pronoun) and the singular terms it is linked to in the chain or network. Coreference, in these cases, is more or less mandatory. It is <i>de jure</i> , not <i>de facto</i> . (##7)	533 534 535

<sup>16</sup>A similar theory of reference borrowing is also appropriate for referential descriptions (Devitt 1974: 191–192; 1981a: 38–39). David Kaplan has recently also urged such a view (2012: 142, 147).

<sup>17</sup>Perhaps I should help myself to Horwich’s concluding remarks about his own view:

Moreover, even if the account presented here would be somewhat improved by the elimination of some of its present imprecision ... it’s quite possible that, even as it stands, the view is cogent, correct, and an illuminating step in the right direction. (##13)



536 The cautious “more or less” is important here. For, only coreference demanded by  
 537 the syntax is *really* mandatory. Thus, consider ‘John thinks that Bruce loves him-  
 538 self’. In any utterance of this sentence, ‘himself’ *must* corefer with ‘Bruce’. In con-  
 539 trast, it is not the case that in any utterance of ‘Yes, *he* is a great philosopher’ in  
 540 response to ‘Have you read Aristotle?’ ‘he’ *must* corefer with ‘Aristotle’. It *prob-*  
 541 *ably* will, of course, because the speaker will probably be expressing a thought that it  
 542 is grounded via an anaphoric link to the earlier ‘Aristotle’. Still, it might not corefer  
 543 with ‘Aristotle’ because the speaker might be expressing a thought that is grounded  
 544 via an anaphoric link to another singular term earlier in the discourse; or she might  
 545 be expressing a “demonstrative” thought using ‘he’ deictically. Nor is it the case  
 546 that any utterance of ‘Aristotle’ must corefer with any particular earlier token of  
 547 ‘Aristotle’, say, one referring to the famous philosopher. Whether the utterance does  
 548 depends on whether the speaker is expressing a thought that is grounded via a refer-  
 549 ence borrowing link to that earlier token; the thought expressed might be grounded  
 550 in Onassis via a different link.

551 Still, it is true that *if* the speaker’s token is expressing a thought grounded (solely)  
 552 via an anaphoric or quasi-anaphoric link to another token *then* the two tokens must  
 553 corefer. And it is also true that speakers have a social obligation not to mislead. So  
 554 their utterances should exploit the links that an audience will naturally take them to  
 555 be exploiting. Relatedly, Recanati notes that “in general, use of *the same word* by  
 556 the interlocutors triggers a presupposition of coreference” (##7). Nonetheless, that  
 557 presupposition may be false: the presupposition does not *make it the case* that there  
 558 is coreference; a speaker may be carelessly, or even deliberately, misleading. As I  
 559 have emphasized elsewhere (2013c), what constitutes reference is one thing, what  
 560 hearer’s reasonably take the reference to be is another.

561 Just how extensive is reference borrowing? Kripke took it to be a feature not only  
 562 of names but of “natural” kind terms. And Hilary Putnam went even further with his  
 563 “division of linguistic labor” (1973, 1975). Raatikainen believes that “any sort of  
 564 term can be borrowed” (##11), even ‘bachelor’ (##10). I wonder. Consider “artifac-  
 565 tual” kind terms. It does seem plausible that the reference of terms like ‘sloop’ and  
 566 ‘dagger’ can be borrowed. But if so, are they, like proper names, covered by a “pure-  
 567 causal” theory of borrowing? Sterelny and I pointed out in our textbook that maybe  
 568 not (1999: 93–101): although you can gain ‘sloop’ without associating it with ‘boat  
 569 having a single mast with a mainsail and jib’, maybe you cannot gain it without  
 570 associating it with ‘boat’. And what about the more basic “artifactual” terms ‘boat’  
 571 and ‘weapon’? Is it really plausible that they can be borrowed? The first problem  
 572 with these questions is that we do not have strong intuitions. The second problem is  
 573 that here, more than anywhere in the theory of reference, we need the support of  
 574 more than intuitions. We need evidence from usage (2011b, 2012a, b, c, 2015c). We  
 575 shall consider how to get this in Sect. 19.4.4.

## 19.2.2 Grounding (Raatikainen, Recanati)

576

As a result of reference borrowing we can all succeed in designating Aristotle with his name in virtue of a chain of reference borrowings that takes us back to the original users who fixed the name's reference in Aristotle. But how did the original users do that? I have urged that the reference of a *paradigm* name like 'Aristotle' is fixed in the object, directly or indirectly, by the causal link between a person and that object when it is the focus of a person's perception. This is what I call a "grounding" (1974: 185–186, 198–200; 1981a: 26–29, 56–64, 133–136; 2015b: 113–115). (We shall consider the reference fixing of *non-paradigm* "descriptive" names like 'Jack the Ripper' in Sect. 19.3.2.) The grounding may be direct, by formal, informal, or implicit dubbing; or it may be indirect, via other terms that are grounded in the referent. I wanted my theory, as Raatikainen aptly remarks, to be "thoroughly causal, also at the stage of introduction of names" (##6).

According to the theory of grounding, a name is grounded in an object by a certain causal-perceptual link. This sort of situation will typically arise many times in the history of an object leading to my claim that names are typically *multiply grounded* in their bearers (1974: 198). I used this idea, together with Hartry Field's (1973) idea of partial reference, to explain cases of reference confusion (1974: 200–203). Thus, applying these ideas to Kripke's famous raking-the-leaves example (1979a: 14) yields the conclusion that 'Jones' has a semantic-referent, Jones, but no determinate speaker-referent; both Jones and Smith are *partial* speaker-referents (1981b: 512–516; 2015b: 118–121). Later, I applied the ideas to cases of reference change like Gareth Evans' famous example of 'Madagascar' (1981a: 138–152; 2015b: 121–124). In brief, the reference of a name changes from *x* to *y* when the pattern of its groundings changes from being in *x* to being in *y*. Nonetheless, the mistaken idea that cases of reference change are "decisive against the Causal Theory of Names" (Evans 1973: 195) persists (Searle 1983; Sullivan 2010; Dickie 2011).<sup>18</sup>

Recanati suggests that the "theory of multiple grounding can ... be construed as a response to the challenge raised by Evans for Kripke's theory (##3). It was certainly used as a response but it was not *introduced* for that purpose; it is a consequence of the causal-perceptual theory of groundings as I emphasized in "Still Problematic":

Groundings fix designation. From the causal-perceptual account of groundings we get the likelihood of multiple groundings. From multiple groundings we get the possibility of confusion through misidentification. From confusion we get the possibility of designation change through change in the pattern of groundings. (2015b: 123–124)

Recanati demonstrates convincingly that the ideas of multiple grounding and partial reference can be applied to pronouns (##5–9). And he rightly thinks that his "mental

<sup>18</sup>Multiple grounding is also vital in explaining reference change in "natural kind terms" (1981a: 190–195). So, as Raatikainen points out (##13), it can deal with "most of Unger's much-cited alleged 'counterexamples'" to the causal theory (Unger 1983).

614 file framework ... is, by and large, compatible with Devitt's causal account of refer-  
 615 ence" (##14); indeed, I came to explicitly adopt something like it (1989a, 1996).

### 616 19.2.3 *Kripkean or Donnellanian? (Bianchi)*

617 In an earlier paper with Alessandro Bonanini (2014), Andrea Bianchi argues that the  
 618 pictures of the reference of proper names given by Donnellan and Kripke are differ-  
 619 ent: where Donnellan thinks that reference is determined by a cognitive state of the  
 620 speaker not the social mechanism of reference borrowing, Kripke thinks the oppo-  
 621 site.<sup>19</sup> In "Reference and Causal Chains", Bianchi alleges initially that my position  
 622 is unclear in that I confuse Donnellan's and Kripke's pictures (##12). He goes on to  
 623 argue that, in the end,

624       Devitt's causal theory shouldn't be seen as a development of Kripke's chain of communica-  
 625       tion picture. It should, rather, be considered as a development of the alternative causal pic-  
 626       ture offered by Donnellan, Donnellan's historical explanation theory. (##17)

627 I think that Bianchi is wrong about all three of us. Donnellan's and Kripke's pictures  
 628 of reference determination, charitably understood, *both* include *both* a cognitive  
 629 state and reference borrowing. My theory certainly does. So it can be considered a  
 630 development of both pictures.

631 We should not lose sight of the fact that the most important question about any  
 632 theory is whether it is *true*, whatever its origins. Beyond that, credit or blame for a  
 633 theory should of course be placed where it is due. Now, as I have always made clear  
 634 (1974: 184 n. 2; 1981a: x–xi), my theory of names was influenced by Kripke's pic-  
 635 ture not Donnellan's<sup>20</sup>; indeed, my first presentation of the theory, in my dissertation  
 636 (1972), was completed before I read Donnellan (1970) on names (which was men-  
 637 tioned in a last-minute footnote). I took Kripke's picture of reference borrowing  
 638 from his 1967 Harvard lectures and developed it in a naturalistic setting (of which  
 639 Kripke would not approve, of course); see Sect. 19.2.1. I added to this a causal  
 640 theory of reference fixing ("grounding"), summarized in Sect. 19.2.2, for which  
 641 Kripke should not, of course, be blamed.<sup>21</sup> However, my main concern here is not to

<sup>19</sup> Antonio Capuano (2018) and Julie Wulfemeyer (2017) agree.

<sup>20</sup> Donnellan did, however, have a big influence on my view of descriptions. I urged a causal theory of referential descriptions (1972, 1974: 190–6; 1981b) as well as of names and demonstratives, as Bianchi notes (##5). This theory rests on a referential/attributive distinction I drew (1981a: x–xiii) under the influence of Donnellan and C.B. Martin.

<sup>21</sup> Bianchi and Bonanini take me, in my text with Sterelny (1999: 66–67), to ascribe "a causal theory of reference borrowing (*as well as one of reference fixing*)" to both Kripke and Donnellan (2014: 195 n. 37; emphasis added). Now what we actually ascribe to them is "*the basic idea* of causal, or historical, theories of reference" (1999: 66; emphasis added). We then go straight on to present "our theory" which includes a causal theory of *both* fixing and borrowing. So it is natural to take our ascription to Kripke and Donnellan to be of both. That was misleading: the basic idea we intended to ascribe was the theory of borrowing. Indeed, in earlier works, I ascribe a causal

argue about what my theory of names developed from but to clarify and defend the theory. 642  
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A startling dialectic has emerged, largely out of UCLA, that is a helpful background to understanding Bianchi's claims. The dialectic is on fundamental issues about language. On one side there is Bianchi himself, in the wonderfully provocative "Repetition and Reference" (2015). He rejects the near-universal Gricean view that mental content is explanatorily prior to linguistic meaning: 644  
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in my opinion things should be the other way round: the intentional properties of (post-perceptual) mental states are to be explained in terms of the semantic properties of linguistic expressions. (2015: 96) 649  
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This view is reflected in Bianchi's austere account of reference borrowing. He eschews Kripke's talk of intentions (Sect. 19.2.1 above), claiming "that this problem can be dealt with by appealing to the notion of *repetition*" (2015: 100), a notion he takes from David Kaplan (1990). On the other side of the dialectic, there are some philosophers who Bianchi calls "neo-Donnellanians", responsible for "a Donnellan *Renaissance* in the theory of reference" (##11). The seminal work in this Renaissance is a volume of papers, *Having in Mind*, edited by Joseph Almog and Paolo Leonardi (2012). The neo-Donnellanians reject reference borrowing and hold that a person's use of a name refers to whatever she has in mind in using it. I am dismayed by Bianchi's conclusion that I belong with them. 652  
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For reasons implicit in my "What Makes a Property 'Semantic'?" (2013d), I think both sides of this dialectic are deeply wrong. (Is there something in the UCLA water?) Against Bianchi, a commitment to the Gricean priority runs right through my work; see Sect. 19.1.2 above, for example. The contents of thoughts *create*, *sustain*, and *change* the meanings of linguistic expressions. So far as reference borrowing is concerned, we have already noted that I have what Sterelny calls a "minimalist position" (Sect. 19.2.1). Still, my position is a lot more robust than Bianchi's, which is far too weak to do the job in my view. Reference borrowing does not require intentions<sup>22</sup> but it does require mental processes, probably complicated subdoxastic ones, to be discovered by psycholinguists. I shall say no more about Bianchi's position. My discussion of Donnellan will give some indication of why I disagree with the neo-Donnellanians.<sup>23</sup> For, Bianchi's view that Donnellan's picture differs from Kripke's is based on the surprising interpretation that Donnellan subscribes to the above neo-Donnellanian view. 662  
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In Bianchi's present paper, he sums up his earlier argument with Bonanini (2014) as follows: 676  
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theory of reference borrowing to Kripke as "the central idea" (1972: 55 n. 4; 1974: 184), "the vital feature" (1972: 73), of the causal theory. I saw the causal-perceptual theory of grounding as my contribution (1972: 55 n. 4; 1981a: xi). My take on Kripke's view of reference fixing is set out in "Still Problematic" (2015b: 113).

<sup>22</sup> Indeed, in "Three Mistakes About Semantic Intentions" (Forthcoming-a) I argue that there is no place at all for talk of intentions in semantics.

<sup>23</sup> See also the criticisms in Martí 2015.

678 while reference borrowing is obviously fundamental for Kripke, there is no place for this  
 679 alleged phenomenon in Donnellan's account.... [W]hen we use a proper name we simply  
 680 do not borrow reference. On the contrary, we always *fix it anew*. (##9)

681 Bianchi and Bonanini's case for this is ingenious, and based on a careful study of  
 682 Donnellan. Nonetheless, I think that it is probably wrong. Consider the name  
 683 'Aristotle'. This name is frequently used by philosophers to refer to a certain famous  
 684 ancient Greek philosopher, as everyone would agree. Far from fixing its reference  
 685 anew, these philosophers do something that has been done countless times for cen-  
 686 turies. They use the name to refer to that ancient philosopher by *participating in a*  
 687 *convention* of using the name to refer to him; their usage is governed by a *linguistic*  
 688 *rule* that links the name to the philosopher; as linguists say, 'Aristotle', with that  
 689 meaning, is an *item in their lexicon*. Rules/items like this, established by conven-  
 690 tions (apart from some innate syntax; Sect. 19.1.2), constitute our language. And to  
 691 deny that our uses of expressions are (largely) governed by such rules is, in effect,  
 692 to deny that we *have* a language. Bianchi draws attention to this consequence of his  
 693 interpretation:

694 extreme consequences ... can be drawn from Donnellan's account, for example that, at least  
 695 from a semantic point of view, there are no *languages*. (##17–18)

696 I first thought that no sensible philosopher like Donnellan could embrace anything  
 697 close to this. But then I started to read the neo-Donnellanians.<sup>24</sup>

698 Consider Jessica Pepp, for example. In a very rich paper (2018), she rejects what  
 699 I have just urged, which she calls "the *Conventional Stance*", the thesis that "the  
 700 reference of proper names as used on particular occasions is determined by linguist-  
 701 ic convention" (##4).<sup>25</sup> After arguing effectively against Bianchi's repetition  
 702 account, she asks: "If participating in a convention for using a proper name is not  
 703 some form of copying or repeating previous uses, what is it?" (##9). She is rightly  
 704 critical of intention-based answers and finds no satisfactory answer. But she does  
 705 not consider a less intellectualized approach focused on largely non-central causal  
 706 processes. On my account,

707 for there to be a convention in a community of using an expression with a certain speaker  
 708 meaning is for members of the community to be disposed to use that expression with that  
 709 meaning *because other members are disposed to do so*: there is a certain sort of causal  
 710 dependency of the disposition of each member of the community on the dispositions of  
 711 others. And for a person to participate in this convention is for her to use that expression  
 712 *because* she has that dependent disposition. (2015b: 119)

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<sup>24</sup>I am indebted to Bianchi for directing my attention to these works and hence awakening me from my "dogmatic slumbers".

<sup>25</sup>But, a word of caution about the tricky word 'determined'. In this thesis it should have its causal sense not its epistemic or constitutive sense: a convention causes there to be a linguistic rule. The linguistic rule, whether innate, idiosyncratic, or caused by convention, is what constitutes the reference of the name (2013d: 96–100; Forthcoming-b). As a matter of fact, the rule for 'Aristotle' and, say, 'dog' were caused by convention.

A language is constituted by rules for its expressions. People are speakers of the language in virtue of being disposed to use its expressions in accordance with those rules, dispositions that are mostly established by convention (see Sect. 19.3.5 for more). It is hard to say precisely what is involved in a person exercising such a disposition in making an utterance, but this is no special problem for the theory of names: we have the same problem with exercising the dispositions that constitute any skill: these are tricky problems in psychology (2006a: 210–220; Forthcoming-b). Despite what remains unknown, it should *go without saying* that there is a linguistic rule, established by convention, connecting, for example, ‘Napoleon’ to the famous French general, just as there is one connecting ‘dog’ to certain familiar pets and ‘cat’ to certain others. For, the alternative is that ‘Napoleon’, ‘dog’, and ‘cat’ are not part of our language. And if they are not, what is? We are *en route* to the batty conclusion that we don’t have a language.<sup>26</sup>

We should try to avoid attributing such a view to Donnellan. And I think we can by taking the passages in Donnellan that have led Bianchi and Bonanini to their interpretation as better explained as reflections of Donnellan’s insensitivity to the Gricean distinction between speaker-reference and semantic-reference. As Bianchi notes, Donnellan does not make the distinction in his discussion of names (##17).

Consider, for example, the claim by Bianchi and Bonanini that, according to Donnellan,

(\*) once someone has an individual in mind, in order to refer to it he or she may in principle use whatever name (or other expression) he or she likes. (2014: 193)

(\*) is true if taken to be about speaker-reference *but not about semantic-reference*. This is demonstrated by cases where the speaker-referent is not the semantic-referent. Suppose that someone uses ‘Napoleon’ according to the convention and so semantically refers to the great general. Now *nearly always*, she will have that general in mind – the thought she means to express will be about him – and so will speaker-refer to him. But *not always*. Consider my example of the cynical journalist observing General Westmoreland at his desk during the Vietnam War and commenting metaphorically: “Napoleon is inventing his body count” (1996: 225–227; 2015b: 126). The journalist has Westmoreland in mind yet the semantic-referent of ‘Napoleon’ is the famous French general and *could not be* Westmoreland. For:

A linguistic name token can have the conventional meaning, *M*, as a result of the speaker’s participation in a convention only if *there is* a convention of using tokens of that physical type to mean *M*” (1996: 226–227).

So, it is not true that the journalist could “use whatever name (or other expression) he or she likes” to *semantically* refer to Westmoreland.

(1) *The novel uses* of names yield the first sort of case of a speaker-referent being different from a semantic-referent. (a) Metaphorical uses, like that of the journalist,

<sup>26</sup> Surely the neo-Donnellanians do not embrace this conclusion. Still, Almog et al. (2015) do leave me wondering about their answers to these crucial questions: What is a language? What is having one supposed to do for us and some other animals?

752 provide examples of this novelty. (b) Name introductions through use not dubbing –  
 753 think of many nicknames and pseudonyms (1974: 199; 1981a: 58; 2015b: 114) –  
 754 provide others. Novel uses demonstrate the crucial role of the speaker/semantic  
 755 distinction in the theory of language. I said earlier that “the contents of thoughts  
 756 *create, sustain, and change* the meanings of linguistic expressions”. The contents do  
 757 this in that they constitute speaker-meanings, and regularities in speaker-meanings  
 758 create, sustain, and change semantic-meanings (2013d: 96–99; Forthcoming-b). *We*  
 759 *need the distinction to explain the origin of linguistic meaning and language.* (2)  
 760 The *confused use* of a name, like in the raking-the-leaves example (Sect. 19.2.2),  
 761 provide another sort of difference: whereas ‘Jones’ lacks a determinate speaker-  
 762 referent, its semantic-referent is Jones and *could not be* Smith because there is no  
 763 linguistic rule linking it to Smith. (3) *Spoonerisms* provide another. Spooner was  
 764 right when he finished a sermon, “When in my sermon I said ‘Aristotle’ I meant St.  
 765 Paul”: Aristotle was the semantic-referent, St. Paul, the speaker-referent (1981a:  
 766 139–146; 2015b: 126–127).

767 Bianchi and Bonanini are *clearly* right to attribute (\*) to Donnellan if (\*) is about  
 768 speaker-reference but not, I would argue, if it is about semantic-reference. And there  
 769 is another truth which they do *not* attribute, but which we should charitably suppose  
 770 Donnellan would have embraced once sensitive to the speaker/semantic distinction:  
 771 that *in virtue of having borrowed the semantic-reference of the name* a person can  
 772 have an object in mind, can use the name to speaker-refer to the object, *and can use*  
 773 *the name to semantically-refer* to it.<sup>27</sup> And that’s how we all use ‘Aristotle’ to  
 774 semantically-refer to the ancient philosopher. Of course, this truth presupposes a  
 775 reference-borrowing theory of semantic-reference. And attributing that theory to  
 776 Donnellan makes his view like Kripke’s, just as people have commonly supposed it  
 777 is. This attribution is surely preferable to the Bianchi-Bonanini one and, so far as I  
 778 can see, none of the evidence Bianchi and Bonanini produce for their interpretation  
 779 shows that Donnellan *denies* a reference-borrowing theory of semantic-reference. I  
 780 conclude that Donnellan is not a neo-Donnellanian.

781 I emphasize that my proposal is not that we should take “Donnellan’s consider-  
 782 ations on proper names as concerning speaker’s reference rather than semantic refer-  
 783 ence”, a proposal that Bianchi and Bonanini reject forcefully: “it is indisputable  
 784 that Donnellan’s main critical target ... is a *semantic* claim about proper names”  
 785 (2014: 198). Indeed, I think that Donnellan’s remarks, including those suggesting  
 786 reference borrowing, should *mostly* be taken to be about semantic-reference. My  
 787 point is that because he was insensitive to the distinction, his remarks should *some-*  
 788 *times* be taken to be about speaker-reference.

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<sup>27</sup> Bianchi and Bonanini do attribute something like this to Donnellan in a footnote (2014: 194–195 n. 36) but, for reasons that escape me, deny that what they attribute is *Kripkean* reference borrowing. In any case, what I am suggesting that we should attribute to him seems to me to clearly fit their description of the Kripkean view: “any token of a proper name, except for the first, inherits its reference from preceding ones, to which it is historically connected.... [N]o further reference fixing is required” (2014: 195 n. 36).

One reason I'm in favor of bestowing this small bit of charity on Donnellan is that I'd like a similar bit to be bestowed on me. For, my early presentation of the causal theory (1972, 1974), though not my later ones (1981a, 2015b), were also insensitive to the speaker/semantic distinction.

It can be seen that I regard the speaker/semantic distinction as absolutely fundamental to semantics. Bianchi (see his forthcoming "Reference and Language") and the neo-Donnellanians (e.g. Capuano 2018; Pepp 2018; Almog et al. 2015) do not.<sup>28</sup> Is this the root of the problem in this dialectic?

So I see Donnellan's picture, charitably interpreted, as like Kripke's. I think that Bianchi is right to see some similarities between neo-Donnellanianism and my old view, most obviously the causal-perceptual view of reference fixing, but those similarities sit in very different theoretical frameworks.

Turn now to a comparison of my theory with Kripke's. I summed up my theory as follows in "Still Problematic" (a paper provoked by Bianchi!):

*Speaker-Designation:* A designational name token speaker-designates an object if and only if all the designating-chains underlying the token are grounded in the object. (2015b: 125)

*Conventional-Designation:* A designational name token conventionally-designates an object if and only if the speaker, in producing the token, is participating in a convention of speaker-designating that object, and no other object, with name tokens of that type. (2015b: 126)

Now, the social mechanism of reference borrowing plays a key role in my account of designating-chains. Reference-borrowing is often a crucial part of the determination of the speaker-reference of a name token and near enough always a crucial part of the determination of its semantic-reference. So my view seems to have what Bianchi requires for it to be Kripkean. Yet he says that this "final, 'official', formulation" of my theory "does not appear" to be Kripkean (##20). Why not?

Bianchi thinks not because of the role I give to the cognitive state of the speaker in determining reference, an idea he sees as coming from Donnellan not Kripke:

there is no mention at all of having-in-mind, or related cognitive states or events, in the second lecture of *Naming and Necessity*.... [H]aving in mind does not play any deep explanatory role in Kripke's chain of communication picture. (##15)

Now we should start by setting aside my *introduction* of the cognitive state that I take to be constitutive of reference with talk of "having in mind". For, as I point out,

<sup>28</sup>The reason Almog et al. give for rejecting this distinction is that a convention cannot produce "a bond" between a name and its bearer (2015: 369–370, 377). Clearly I think it can and does: the "bond" is a conventional linguistic rule linking the name to its bearer, a rule established by the regular use of the name to speaker-refer to the bearer. I wonder if the neo-Donnellanians miss the importance of the speaker/semantic distinction for names because they assimilate names to simple demonstratives like 'this'. For, it is plausible to say, initially at least (but see later on the need to get away from this vague ordinary talk), that 'this' is governed by a conventional linguistic rule that makes it semantically refer to the object that the speaker has in mind (2004: 290–291); so it semantically refers to its speaker-referent. But a name is not a simple demonstrative and its semantic-reference is governed by a different rule.



822 and Bianchi notes (##16), this talk is but a “stepping stone” (1974: 202) which does  
 823 not feature in my causal theory; see summary above. Still, Bianchi’s discussion has  
 824 made it clear to me that I should have been more careful in my handling of this step-  
 825 ping stone. I should not have claimed, early, to offer a causal “analysis” (1974: 202)  
 826 of “having in mind” and, late, “an explanation – better, an explication – of this  
 827 somewhat vague folk talk” in causal terms (2015b: 111). The folk talk arguably cov-  
 828 ers more than the causal relation we want. So my causal explanation was, in reality,  
 829 an explanation of a “technical” notion of having-in-mind that is more restrictive  
 830 than the folk notion.<sup>29</sup>

831 The importance of getting away from vague folk talk to a causal explanation is  
 832 nicely illustrated by Pepp’s response to Genoveva Martí’s criticism of neo-  
 833 Donnellanianism (2015).<sup>30</sup> Pepp’s key thesis is “*Cognitive Priority*”, “the meta-  
 834 physical thesis that names in particular uses refer to things in virtue of speakers  
 835 thinking of those things” (##2), in virtue of their “hav[ing] in mind to refer to” those  
 836 things (##5). Martí offers a counterexample (2015: 80–81) to which Pepp responds.  
 837 The counterexample is similar to the raking-the-leaves case and so, for convenience,  
 838 I shall adapt Pepp’s response to the counterexample as if it were to that case. Pepp  
 839 thinks that even if she allows that “the only referent of the use [of ‘Jones’] that is in  
 840 accord with linguistic convention” is Jones, “this does not require rejecting Cognitive  
 841 Priority”. For, the reference to Jones is “still partially in virtue of the utterance being  
 842 generated by the speaker’s thinking of [Jones]” (##14) And she is surely right: in  
 843 *some* sense, the speaker is “thinking of”, “has in mind”, Jones<sup>31</sup>; and these are the

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<sup>29</sup>Bianchi claims that my “causal explanation of a thought’s aboutness is remindful of the one offered by Donnellan and elaborated by the neo-Donnellanians” (##15). Indeed, the neo-Donnellanians’ explanation seems like a version of my old one. But is this explanation *Donnellan’s*? Some neo-Donnellanians certainly take their theory to be an elaboration of Donnellan’s; see papers in Almog and Leonardi 2012, particularly Almog 2012: 177, 180–182. For them to be right about this, Donnellan must have offered an explanation that includes *both* a causal theory of aboutness borrowing and a causal-perceptual theory of aboutness fixing. Now I think we should see a causal theory of borrowing as, at least, implicit in Donnellan (and Kripke!), but what about the causal-perceptual theory of fixing? So far as I can see, neither Almog nor anyone else cites any evidence for the attribution of this theory to Donnellan. I found no such explanation in Donnellan’s early papers, as I have noted (1981a: xi, 283–284, n. 12; 2015b: 111 n. 4): there seems to be no sign of a theory that explains fixing in terms of both causality and perception. As Julie Wulfemeyer has remarked recently: “The grounding cognitive relation went largely unexplained by Donnellan” (2017: 2). Finally, we should note Donnellan’s defense of his theory against the charge of being “excessively vague”: he compares his theory with “the causal theory of perception” (1974: 18–19). But, the vagueness of the causal-perceptual theory is not just *similar to* that of the causal theory of perception, it is *the very same*. (It is, in effect, the qua-problem for the theory of grounding; see Sect. 19.2.4.). If Donnellan held the causal-perceptual theory of fixing he surely would not have mentioned the causal theory of perception without noting that he held that theory of fixing!

<sup>30</sup>Also by Capuano’s distinction between Donnellan’s theory, “DPN”, and Kripke’s, “KPN” (2018: ##3).

<sup>31</sup>Martí prefers her counterexample to raking-the-leaves because she thinks that her analogue of “the speaker is ‘thinking of’, ‘has in mind’, Jones” is less plausible (2015: 82–83 n. 12; Martí has reversed the roles of Smith and Jones). Probably so, but it is still plausible enough, in my view (Sect. 19.2.2).

expressions that feature in Cognitive Priority. Yet we would surely all be drawn also to the idea that, in *some* sense, the speaker is “thinking of”, “has in mind”, Smith. In light of this, Pepp’s claim that “the Conventional Stance rejects Cognitive Priority” (##4) is not clearly true. For, the Stance’s view that reference to *x* is “determined by linguistic convention” may be quite consistent with that reference being determined by “thinking of *x*”; participating in the convention may involve “thinking of *x*”, in some sense. We need to move beyond this folk talk to identify a real difference between Pepp and the Conventional Stance.<sup>32</sup>

I move beyond by telling a causal story in terms of two notions, *underlying* and *participating in a convention*:

*Underlying* concerns the process of a speaker using the name to express a thought grounded in a certain object. *Participating in a convention* concerns the process of a speaker using the name because she has a disposition, dependent on the dispositions of others, to use it to express thoughts grounded in a certain object. Typically these two groundings are in the same object (2015b: 126)

Our common use of ‘Aristotle’ to refer to the great philosopher exemplifies what is typical. We express a thought grounded in him *by exercising our disposition* to express such thoughts using ‘Aristotle’. The great philosopher is the speaker-referent because of his grounding relation to the thought expressed. He is the semantic-referent because of his grounding relation to the disposition exercised in expressing the thought.

In atypical cases, the speaker-referent and semantic-referent are different. (1)(a) In the journalist’s novel metaphorical use of ‘Napoleon’, he expresses a thought grounded in one object, Westmoreland (speaker-referent), by intentionally exercising his disposition to express thoughts grounded in another object, Napoleon (semantic-referent). (b) In novel uses like the introduction-in-use of a nickname, the speaker expresses her thought grounded in an object (speaker-referent) without exercising *any* disposition to use that name to express thoughts grounded in an object (no semantic-referent). (2) In confused uses like the raking-the-leaves case, the speaker uses ‘Jones’ to express her thought grounded in two objects, Smith and Jones (partial speaker-referents),<sup>33</sup> by exercising her disposition to express thoughts grounded in just one, Jones (semantic-referent). (3) Canon Spooner uses ‘Aristotle’ to express a thought grounded in one object, St. Paul (speaker-referent), by accidentally exercising his disposition to express thoughts grounded in another object, Aristotle (semantic-referent).

So, the Conventional Stance, as I understand it, is committed to there being reference-determining processes of exercising such name-object dispositions, established by conventions. It is not committed to this process being free of cognitive activity that one might loosely describe as “thinking of” the object.

<sup>32</sup>A similar terminological point needs to be made, I argue (2014c), in response to the claim by John Hawthorne and David Manley (2012) that there is no causal “acquaintance” restriction on “reference” or “singular thought” (of the sort that the neo-Donnellanians and I urge).

<sup>33</sup>What I call “partial reference” Almog et al. seem to call “ambiguous reference” (2015: 371–373).

883 I certainly do not claim that the above view is Kripke's – his picture does not  
 884 include the causal theory of groundings, for one – but why is it not Kripkean?<sup>34</sup>  
 885 Bianchi thinks not for two reasons. (1) He seems to think that giving *any* cognitive  
 886 state a role in reference determination is unKripkean. But this is surely quite wrong.  
 887 Notice that Kripke talks of intentions when discussing reference borrowing; see  
 888 Sect. 19.2.1. And Kripke surely thinks that it is in virtue of a cognitive state of a  
 889 speaker that her token of 'Aristotle' refers to the famous philosopher and not  
 890 Onassis.<sup>35</sup> Indeed, to suppose that it is not partly in virtue of a speaker's cognitive  
 891 state that her token has a certain reference is as implausible as supposing that we  
 892 don't have a language. So why does Bianchi think that assigning a role to a cogni-  
 893 tive state is unKripkean? (2) The answer seems to be that he thinks (##8) that to give  
 894 a cognitive state this determining role is to *deny* a determining role to reference  
 895 borrowing (perhaps Pepp would agree). This may be Bianchi's crucial mistake. For,  
 896 the cognitive state plays its determining role *in virtue of being grounded in an object*  
 897 *via reference borrowing*; see above.

898 In sum, Donnellan's and Kripke's pictures of reference determination, charitably  
 899 understood, *both* include *both* a cognitive state of the speaker and reference borrow-  
 900 ing. And I was right to present my theory as a development of these pictures in a  
 901 naturalistic setting. But if I'm wrong on the interpretative issues, so be it. What we  
 902 should all care about more is the theory not its origins. I claim that the theory is  
 903 much more plausible than those of Bianchi or the neo-Donnellanians.

904 Bianchi has identified (##20–4) one respect in which my views may seem to be  
 905 clearly at odds with Kripke's. As we have seen, I follow Grice in holding that con-  
 906 ventional semantic-reference is explained in terms of speaker-reference. In contrast,  
 907 consider this passage from Kripke, quoted by Bianchi (##22):

908 we may tentatively define the speaker's referent of a designator to be that object which the  
 909 speaker wishes to talk about, on a given occasion, and believes fulfils the conditions for  
 910 being the semantic referent of the designator. (1979a: 15)

911 So, as Bianchi shows, it seems that Kripke must reject the Gricean priority of  
 912 speaker-reference. But does he really? I thought not and so I asked him. He said that  
 913 he does not. If not, what could be going on this quote? Kripke is offering an expla-  
 914 nation of the speaker-referent of a designator that *presumes that the designator*  
 915 *already has a semantic-referent*. Similarly, an explanation of the speaker-meaning  
 916 of a metaphorical utterance would presume that the utterance already had a semantic-  
 917 meaning. These explanations are no challenge to the Gricean idea that an utterance  
 918 gets its semantic-meaning from speaker-meanings in the first place.

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<sup>34</sup>Evans (1982: 69–79) labeled a causal theory of thought aboutness like mine “the Photograph Model” and claimed that it should not be attributed to Kripke. He rejects it in favor of “Russell's Principle” according to which to think about an object, “one must *know which* object is in question” (65). In response, I argue (1985: 225–227) that Kripke's ignorance and error arguments count not only against the description theory of names but also against Russell's Principle.

<sup>35</sup>On this see my 2015b: 110–111.

Finally, Bianchi mentions Kaplan's distinction (1989) between subjectivist and consumerist semantics. My theory of names is a bit of both. Insofar as the semantic reference of a person's use of a proper name is determined solely by her having borrowed the reference – for example, any contemporary person's use of 'Aristotle' referring to the famous philosopher – then the theory is consumerist: the name comes to her "prepackaged" with a semantic value.<sup>36</sup> Insofar as the semantic reference of a person's use is partly determined by her own groundings of the name<sup>37</sup> – for example, any associate of Aristotle's use of 'Aristotle' – then the theory is subjectivist: she is assigning a semantic value to it.

### 19.2.4 *The Qua-Problem for Proper Names* (*Raatikainen, Reimer*)

I wanted my theory of grounding to be "thoroughly causal" but there was a problem, "the qua-problem". The problem for names arises in two ways, as Sterelny and I (1999) pointed out. (1) In virtue of what is a name grounded in, say, my late cat Nana rather than a spatial or temporal part of her? For, whenever a grounder is in causal-perceptual contact with the cat, she is in perceptual contact with a spatial and temporal part of the cat. (2) Suppose that the would-be grounder is very wrong about what he is perceiving. It is not a cat but a mongoose, a robot, a bush, a shadow, or an illusion.

At some point in this sequence, the grounder's error becomes so great that the attempted grounding fails, and hence uses of the name arising out of the attempt fail of reference. Yet there will always be *some* cause of the perceptual experience. In virtue of what is the name not grounded in that cause? (80)

Clearly there must be something about the grounder's mental state that requires the grounding to be in a cat, or something appropriately cat-like. But what is that something? I have struggled mightily with this problem, even hypothesizing that grounders must associate a "categorical" description, as Raatikainen notes (##12). With this hypothesis I moved from a causal to a "descriptive-causal" theory of grounding (1981a: 61–64; Devitt and Sterelny 1999: 79–80).

After presenting problem (1), Sterelny and I insisted that it was not to be airily dismissed on the ground that we just *do* designate "whole objects", for we do not always do so, as we illustrated; "there must be something about our practice which makes it the case that our names designate whole objects" (1999: 79).

<sup>36</sup> However, as Bianchi (##24) and I (2015b: 116 n. 17) note, Kaplan's consumerism involves backward-looking "deference". I want no part of that (Sect. 19.2.1).

<sup>37</sup> The semantic-reference of her use is almost certainly only *partly* thus determined because even though she has grounded the name herself, every time she hears and correctly understands another's use, she "borrows" it, thus reinforcing her ability to use it with that semantic reference (2015b: 117).

952 Marga Reimer has a different view. In “The *Qua*-Problem for Names (Dismissed)”  
 953 she describes the qua-problem for names as a “pseudo problem [that] is easily dis-  
 954 solved” (##7):

955 This problem can arguably be dismissed (*pace* Devitt and Sterelny) by appeal to a psycho-  
 956 logically motivated default practice of naming whole objects rather than parts thereof....  
 957 The existence of a default practice of naming (only) whole objects would be easy enough to  
 958 explain: such a practice has a clear *psychological motivation*. As natural language speakers,  
 959 we have a strong practical interest in thinking about, talking about and (in some cases)  
 960 beckoning or and otherwise addressing, whole objects (notably “persons, animals, places,  
 961 or things”) that are especially significant to us. (##5)

962 Reimer is persuasive that there is indeed “a *psychologically motivated* default prac-  
 963 tice” of naming such “whole objects” as persons, animals, and places that she men-  
 964 tions. This explains how we come to *be* naming them. But this is beside the point.  
 965 For, the point is not about the *cause* of us naming them but about *what it is* to name  
 966 them: “*In virtue of what* is what we do the practice of naming persons, animals, and  
 967 places? *What makes it the case* that our practice is that? *What constitutes* its being  
 968 the case that we mostly name those things?” Rather than naming Nana, we *could*  
 969 have named her tail. And, as a matter of fact, people did name Sydney, which is a part  
 970 of New South Wales, which is a part of Australia, ... There must be processes that  
 971 constitutes our naming Nana rather than her part and Sydney rather than what it is a  
 972 part of (or Balmain which is part of it).

973 Reimer accepts, of course, that we often do name the parts of objects but she  
 974 supposes that we do so only by *pretending* that they are “whole objects”: they are  
 975 “conceptualized as wholes by would-be grounders”:

976 Perhaps we can then regard *all* groundings of names as involving the practice of naming  
 977 only whole objects, whether real or “pretend.” In that case, such a practice would no longer  
 978 really be a “default” practice; it would be an impossible to fault practice. (##6)

979 But to conceptualize something – Sydney, for example – as a “whole object” seems  
 980 to amount to nothing more than treating it as nameable! And *anything* is nameable.  
 981 Perhaps our use of ‘whole object’, initially in scare quotes, has misled here. For,  
 982 every part of a “whole object”, whether Australia’s Sydney or Nana’s tail is itself  
 983 also a “whole object” that can be named. Reimer has not told us in virtue of what  
 984 ‘Sydney’ names the city not Australia, ‘Nana’, the cat not her tail.

985 In the course of making this point in communication with Reimer, I suggested  
 986 that we imagine different beings in a different environment who might have very  
 987 different naming practices. Reimer addresses this (##11) but still, it seems to me,  
 988 misses the point. These beings might be motivated to name time-slices of entities  
 989 like Nana. Suppose that they did. Then there would have to be some processes in  
 990 them, different from those in us, in virtue of which they are naming time-slices  
 991 where we are naming cats.

992 So much for problem (1). What about problem (2)? A would-be grounder who  
 993 believes she is perceiving a cat might be wrong: perhaps the cause of her experience  
 994 is a mongoose, a robot, a bush, a shadow, or an illusion. How do we account for  
 995 grounding failures in some of these circumstances? In virtue of what is the name not

grounded in the cause of her experience *whatever it may be*? Reimer has a bold response: “I don’t think that the question ... is a worrisome one because the grounding will arguably *succeed* rather than fail in [these] cases” (##8). She then argues that it does succeed in those cases. This can’t be right.

Let ‘*N*’ be a schematic letter for a name. Now some substitution instances of the schema ‘*N* does not exist’ are *true*. The custom is to call names in those instances “empty names”. A theory of reference needs to *explain in virtue of what these names are empty and these instances of the schema are true*. Set aside non-paradigm “descriptive” names like ‘Jack the Ripper’ that have their reference fixed by a description. It is easy to explain the emptiness of one of those, as Reimer demonstrates in her discussion of ‘Vulcan’ (##13): nothing fits the description. But what about the paradigm names that, according to our theory, have their reference fixed by a causal grounding? The emptiness has to arise from a failure of such a grounding. So what does the failure consist in? That’s the qua-problem (2).

Hallucinations provide the clearest case of emptiness. Reimer’s discussion of one is puzzling:

It’s been one of those days and the speaker decides to help herself to a rather hefty portion of her husband’s bourbon ... and as a result has some extraordinarily vivid ... dreams involving ... a very large orange tabby cat.... It reminds her so much of her childhood pet Mieke that she decides to name the hallucinatory cat “Mieke.” ... [Later] she says ..., “I wonder if I will see Mieke again tonight.” A couple of weeks later ... she says ..., “I guess Mieke was just an incredibly vivid hallucination (##10)

Indeed it was. *And because it was we can say truly that Mieke does not exist and ‘Mieke’ is empty*. And the explanation that we must give of this is that *the grounding failed*. So Reimer’s own discussion raises the qua-problem: In virtue of what does ‘Mieke’ not refer to whatever it was that caused her attempted grounding (the bourbon)? Why does Reimer think otherwise? She emphasizes that the speaker has *successfully introduced the name ‘Mieke’* and may continue using it and passing it on to others. Empty names can indeed be introduced and can have a long life. That poses a well-known problem.<sup>38</sup> But it is *a different problem*. Successfully introducing a name is much less demanding than successfully grounding it, as ‘Mieke’ illustrates. The causal theory needs an account of what makes the *grounding* of ‘Mieke’ unsuccessful. That’s the qua-problem for names.

So what is the solution? As already noted, I moved to the idea that grounders must associate a “categorical” description. It’s an ugly idea and I was reluctant to embrace it. One of its problems is that it lacks “psychological plausibility”, as Reimer points out (##12). I now think, as she notes, that this view of a grounding is “far too intellectualized”. I have taken to wondering whether this problem, like the reference-borrowing one above, “is more for psychology than philosophy” (2015b: 115 n. 15).

Insofar as philosophy has anything to contribute to the solution of the qua-problem, I think that we should look to teleosemantic explanations of the nonconceptual

<sup>38</sup>I have attempted a solution (1981a: ch. 6).

1038 content of *perceptions* (Devitt and Sterelny 1999: 162).<sup>39</sup> The basic idea is that the  
 1039 grounding of ‘Nana’ would be in a cat not a spatiotemporal part of her in virtue of  
 1040 the grounding involving a perception with the biological function of representing  
 1041 such an object not a spatiotemporal part of it.

### 1042 **19.2.5 Causal Descriptivism (Raatikainen, Jackson, Sterelny)**

1043 Raatikainen notes that Kripke did not present his ignorance and error argument as  
 1044 counting against all possible description theories. Raatikainen gives a critical sum-  
 1045 mary of some that have emerged since Kripke. One that has been surprisingly  
 1046 popular is

1047 “causal descriptivism” favored by David Lewis (1984), Fred Kroon (1987), and Frank  
 1048 Jackson (1998) .... [S]peakers associate with a name ‘N’ a description of the form ‘The  
 1049 entity standing in relation *R* to my current use of the name “N”, and this description deter-  
 1050 mines the reference of “N”. The relation *R* here is drawn from the rival non-descriptivist  
 1051 (e.g. the causal-historical chain picture) theory of reference. (##23)<sup>40</sup>

1052 The theory is, as Raatikainen says, “ingenious”. But it is also “fishy” (Devitt and  
 1053 Sterelny 1999: 61), not least because it is parasitic on the causal-historical theory.

1054 In “Language from a Naturalistic Perspective”, Jackson asks “what’s the impor-  
 1055 tant difference between the two [theories]?” and puts his finger straight on an impor-  
 1056 tant one:

1057 Causal descriptivism is committed to the relevant causal and naming facts being pretty  
 1058 much common knowledge, whereas the causal theory proper holds that the naming and  
 1059 causal facts that secure the reference of a name are not common knowledge. (##7)

1060 For competent speakers to know “the relevant causal and naming facts” is for them  
 1061 to “know the right ‘*R*’” to substitute in the above schematic form of causal descrip-  
 1062 tivism (Devitt and Sterelny 1999: 61).<sup>41</sup> Raatikainen objects, saying that this

1063 is *psychologically implausible*: it requires that every competent speaker must possess ... the  
 1064 absolutely correct and complete theory of reference, and it is doubtful that anyone pos-  
 1065 sesses such a theory. (##27)

1066 This is not quite right (nor is Devitt and Sterelny 1999: 61). What causal descriptiv-  
 1067 ism requires is that every competent speaker associates ‘*N*’ with the above  
 1068 “*R*-description” and hence, in effect, knows that ‘*N* stands in relation *R* to the refer-  
 1069 ent, where *R* is the relation specified by the causal-historical theory; this is “the  
 1070 relevant causal and naming fact”. So causal descriptivism does not require that

<sup>39</sup>On this, see an important recent book, Neander 2017.

<sup>40</sup>I first heard of causal descriptivism from Robert Nozick at Harvard in 1970 in response to my graduate student talk proposing a causal theory. Kripke also first heard the theory from Nozick; see 1980: 88 n. 38.

<sup>41</sup>Note that substituting ‘being the cause’ “is only the very vague and inadequate beginning of what is required” (Devitt and Sterelny 1999: 61).

speakers know *that very theory*, know that it is *in virtue of* such associations/knowledge that ‘*N*’ refers to its referent. Rather it requires that speakers have the knowledge on which the theory is built. Let us call this required knowledge, italicized above, “the knowledge-base” of causal descriptivism. And it is indeed “psychologically implausible” that every competent user of ‘*N*’ has that knowledge-base. Although causal descriptivism was designed to avoid ignorance and error problems it has a raised a new one.

### 19.2.5.1 Jackson 1078

Jackson is unimpressed by this objection: 1079

This is, however, hard to believe. People write books and produce television shows on whether or not Helen of Troy or Jericho existed, and whether or not Shakespeare wrote the famous plays. These books and television shows report the results of historical research directed at the causal origins of the names in question and whether or not the origins are of the right kind to allow us to affirm that Jericho and Helen of Troy really existed, and that Shakespeare wrote, for example, *Macbeth*. The research that goes into these shows and books is carried out by historians, not philosophers of language. The shows and books get reviewed and assessed by people who are not philosophers, and the reviews are read and understood by the educated public at large. How could all this be possible if which facts are relevant to answering the questions were known only to a select group of philosophers? (##8)

Jackson is surely right that *many historians and other educated people* are quite good at identifying named entities like Helen of Troy. This is not to say, of course, that *any competent user of any name* knows how to identify its bearer. Nonetheless, Jackson does seem to think that she does: 1093

If you say enough about any particular possible world, speakers can say what, if anything, words like ‘water’, ‘London’, ‘quark’, and so on refer to in that possible world. (1998: 212). 1094 1095

And Jackson’s line of defense of causal descriptivism requires at least that. Yet note that knowing how to identify an entity requires knowing not only what evidence counts, which is hard enough, but also knowing *when one has enough evidence*, when you *have* said enough “about any particular possible world”. It strikes me as a romantically optimistic view of the epistemic capacities of our species to suppose that we all have this ability. 1101

But suppose, for the sake of argument, that we all did. The defense still has an interesting other problem that can be most persuasively presented by appealing to cognitive psychology. There is a familiar folk distinction between knowledge-*that* and knowledge-*how*. Psychologists and cognitive ethologists take this distinction to be much the same as their own very important one between “declarative” and “procedural” knowledge. Thus, John Anderson, a leading cognitive psychologist, writes: 1102 1103 1104 1105 1106 1107

The distinction between *knowing that* and *knowing how* is fundamental to modern cognitive psychology. In the former, what is known is called *declarative knowledge*; in the latter, what is known is called *procedural knowledge*. (1980: 223) 1108 1109 1110



1111 Psychologists describe the distinction, rather inadequately, along the following  
 1112 lines: where declarative knowledge is explicit, accessible to consciousness, and  
 1113 conceptual, procedural knowledge is implicit, inaccessible to consciousness, and  
 1114 subconceptual. Finally, *skills* are procedural knowledge not declarative knowledge.<sup>42</sup>

1115 Now knowing how to identify an entity  $x$  named ' $N$ ' is a *cognitive* skill, a piece  
 1116 of procedural knowledge. It consists in knowing how to discover, and make effective  
 1117 use of, alleged information about  $x$ , information about the properties of  $x$  that  
 1118 will be presented in claims of the form, ' $N$  is  $F$ '; and it consists, as just noted, in  
 1119 knowing when enough is enough. But this procedural knowledge is not what  
 1120 Jackson's defense requires. It requires common knowledge of "the relevant causal  
 1121 and naming facts"; of "the knowledge-base" of causal descriptivism; of the fact that  
 1122 ' $N$  stands in relation  $R$  to the referent, where  $R$  is the relation specified by the  
 1123 causal-historical theory. This knowledge-base is, of course, a paradigm of declarative  
 1124 knowledge. It is quite different from the procedural knowledge that Jackson  
 1125 supposes we all have, both intuitively and according to the consensus in psychol-  
 1126 ogy: *procedural knowledge is not declarative knowledge.*

1127 But mightn't procedural knowledge *cause* declarative knowledge? Thus, one  
 1128 might observe the exercise of one's skill at, say, riding a bicycle, typing, or thinking,  
 1129 and abstract the principles of a good performance. Similarly, one might observe the  
 1130 exercise of one's skill at identifying  $x$ , the referent of ' $N$ ', and abstract the principles  
 1131 of reference. And one surely *might* but, importantly, one *typically doesn't*. Indeed,  
 1132 most of us *typically can't*; we are just not clever enough. And even where we are  
 1133 clever enough to discover the nature of a skill, that does not constitute the skill. Our  
 1134 epistemic success is one thing, the metaphysics, another.

1135 In general, knowledge-how is very different from knowledge-that.<sup>43</sup> In particular,  
 1136 knowing how to identify an entity is very different from having the knowledge-base  
 1137 required by the causal descriptivist theory of the entity's name. Even if all compe-  
 1138 tent users of a name ' $N$ ' knew how to identify its bearer by using descriptions of the  
 1139 bearer, which they surely don't, we have no reason to believe that the  $R$ -description,  
 1140 'the entity standing in relation  $R$  to my current use of ' $N$ ', with the "*correct*" *sub-*  
 1141 *stitution for 'R'*, is even among the descriptions used by *anyone* for this purpose.  
 1142 Raatikainen rightly says that it is "doubtful that anyone possesses" the right theory  
 1143 of reference, even philosophers (##27); it's just too difficult.<sup>44</sup> And it is *really* "hard  
 1144 to believe" that the folk have the knowledge-base of causal descriptivism.

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<sup>42</sup>My claims about cognitive psychology draw on my examination of this and its relation to language in *Ignorance of Language* (2006a: 210–220); those about cognitive ethology, on "Methodology and the Nature of Knowing How" (2011c: 213–215).

<sup>43</sup>Stanley and Williamson (2001) disagree. I have argued that they are wrong (2011c).

<sup>44</sup>Jackson says that causal theorists "must of course allow that some people are aware of the relevant facts – namely, they themselves" (##7). Well, we do keep our spirits up by thinking that we are aware of *some* of the relevant facts but it would be *wishful* thinking to suppose that we were aware of them *all*; note the acknowledged incompleteness of the theories of reference borrowing and grounding discussed in Sects. 19.2.1, 19.2.2 and 19.2.4.

*Mean aside.* If that knowledge-base was really “common knowledge”, it is curious that there was hardly even a glimmer of causal descriptivism in the theories proposed in the pre-Kripkean era.

Jackson thinks that not only are “the relevant facts (about naming procedures and information preserving causal chains containing names) ... widely known, but [they] had better be widely known. Only that way can proper names play their important informational role” (##8). But all that is required for ‘Hillary’ to play its role of conveying information about Hillary Clinton is that people participate in the convention of using ‘Hillary’ to convey thoughts about Hillary (Sect. 19.1.2). This again is a piece of knowledge-how. It does not require people to have causal descriptivism’s knowledge-base for ‘Hillary’. Indeed, in my view, it does not require them to have any semantic propositional knowledge at all (2006a).

Jackson has an over-intellectualized view of linguistic competence. This underlies another step in his defense of causal descriptivism. According to that theory, the reference-determining description that users of ‘*N*’ associate with it, its *R*-description, is metalinguistic; see above. Jackson defends this metalinguistic commitment as follows. Suppose that you wanted to discover whether Mary Smith works in this building. Jackson claims that

it is impossible to show that ‘Mary Smith works in this building’ is true without having recourse to information about words, and in particular the words ‘Mary Smith’. You need to find them on an office door, or in the phone directory of the building, or to note the response of someone who works in the building to a question containing ‘Mary Smith’ – as it might be, the question “Does Mary Smith work in this building?” (##10)

This seems quite wrong to me. Thus, to learn from that worker’s testimony, you simply need to understand what she says. This requires a skill at using words, a piece of knowledge-how. There is no reason to think that it requires any “information about words”, a piece of knowledge-that; or so I have argued (2006a).

In sum, I think that Jackson has not rebutted the ignorance and error objection to causal descriptivism. Competent speakers do not all know how to identify the bearers of the names they use. Even if they did they would not thereby have the knowledge-base required by causal descriptivism.

Sterelny and I had another, deeper, objection (1999: 61). Raatikainen puts it nicely:

[causal descriptivism] is *parasitic and redundant*: if it is true, it admits that a name stands in a causal-historical relationship, *R*, to its bearer; *R* alone is sufficient to explain reference, and further description involving *R* is redundant. (##23)<sup>45</sup>

The point is that, according to causal descriptivism, reference is determined by the *speakers’ association of the R-description with ‘N’* and that association must be redundant. We can come at this redundancy from the other direction. Suppose causal theorists finally discover that ‘*N*’ refers to *x* in virtue of *x* standing in a certain causal

<sup>45</sup>Note that this is not a model for a suspiciously quick refutation of any description theory. Causal descriptivism’s redundancy comes from its peculiar feature of *having a reference-determining description that specifies the reference-determining relation*.

1185 relation to our use of ‘*N*’. Obviously those theorists will describe that relation in  
 1186 presenting their theory! Hence they will associate that description with ‘*N*’. But it  
 1187 won’t be their association of the description, let alone ordinary speakers’ associa-  
 1188 tion of it, that determines reference: reference is determined by the relation  
 1189 described.

1190 Jackson does not address this objection and Sterelny, in “a shocking betrayal”,  
 1191 seems to have forgotten it in his present flirtation with Jacksonian descriptivism. For  
 1192 Sterelny, in “Michael Devitt, Cultural Evolution and the Division of Linguistic  
 1193 Labour” claims to have “bought into something like Jackson’s causal descriptivism”  
 1194 (##3). I am hoping that what follows will lead him to repent.

### 1195 19.2.5.2 Sterelny

1196 Sterelny addresses two questions. The first concerns what we “need to know or do  
 1197 to be able to successfully launch a new term into the linguistic community” (##2).  
 1198 In effect, this is the issue of reference fixing (see Sects. 19.2.2, 19.2.3 and 19.2.4)  
 1199 The second concerns “how much information needs to flow down the links for a  
 1200 novice to acquire the capacity to use the term” (##2). In effect, this is the issue of  
 1201 reference borrowing (Sects. 19.2.1, 19.2.3). Sterelny takes me to have “staked out a  
 1202 minimalist position with regard to both questions”. In contrast, he now leans toward  
 1203 the alternative view “that both launching a term, and acquiring through conversa-  
 1204 tional interaction with others using it, is more informationally demanding” (##2).  
 1205 And his concern is not just with proper names but also with kind terms, particularly  
 1206 “natural kind” terms.

1207 *How* minimal is the position that I have “staked out”? Consider names first.  
 1208 When it comes to their reference borrowing, my position is indeed minimal in its  
 1209 demands on “the central processor”. However, I anticipate that psycholinguists will  
 1210 reveal that lots of subdoxastic processing is necessary; see the discussion in Sect.  
 1211 19.2.1. When it comes to reference fixing, as noted in Sect. 19.2.4, I once embraced,  
 1212 reluctantly, an informational demand. So I was not then a minimalist. However, as  
 1213 also noted, I have backed away from that demand. What about “natural kind” terms?  
 1214 My position on the two questions is much the same for them as for names (Devitt  
 1215 and Sterelny 1999: 88–93). When it comes to *other* terms however, I, in the com-  
 1216 pany of Sterelny himself, have attempted to describe the logical space for positions  
 1217 *but staked out none* (1999: 93–101). I think we will need to start testing usage to  
 1218 make progress here (Sect. 19.4.4).

1219 So, it would be fair to say that minimalism is my default position. Still, aside  
 1220 from proper names and (some?) “natural kind” terms, I think it is an open question  
 1221 whether information about *the referent* must go into fixing or borrowing. But I do  
 1222 insist that nothing like causal descriptivism’s knowledge-base, nor any other *seman-*  
 1223 *tic* information, is *constitutive* of the reference fixing or borrowing of any term.  
 1224 Sterelny’s interesting ideas about the evolution of language here and elsewhere  
 1225 (2016) do not support anything like Jacksonian descriptivism.

Sterelny does seem to think otherwise: “In using a referential term, speakers are aware of, and buy into, the causal networks that link their uses of a term to its bearer” (##3). Why does he think so?

[T]he flexibility of semantic competence was hard to reconcile with Michael’s automatic, quasi-reflexlike picture of the introduction and transmission of a term from one speaker to the next.... I can coin a new name – for the recently arrived dog – and smoothly integrate it into my language. If it is apt, it is likely to catch on and become part of the local dialect. This strongly suggests that agents represent the referential, semantic properties of words – we notice them .... Moreover, this is a central aspect of their semantic competence, not a peripheral one.... Our capacity to use names ... depends not just on the existence of these sociolinguistic networks. It depends as well on our recognition of their existence, and on our intention to use a name in a further extension of the network. (##3)

It is not clear to me why Sterelny thinks that the “automatic, quasi-reflexlike picture”, or indeed *any* picture, of linguistic competence is “hard to reconcile” with the flexibility in reference fixing that he rightly emphasizes: that “we can expand our lexicon, at will, at need, on the fly” (##3). But suppose the reconciliation is indeed impossible. Why would this “strongly suggest” that the picture has to be enriched by *semantic* information rather than the sort of information about the referent that I have just agreed may well be required for some terms?

Set that aside. I want to focus on two further matters that, on the one hand, accommodate Sterelny’s idea that language acquisition is informationally demanding but, on the other hand, do not go down the Jacksonian path. The first matter is on reference fixing, the second, on reference borrowing.

(I) I agree that the capacity to coin new words that Sterelny describes is a “central aspect” of our (innate) competence *to learn natural languages*. So this capacity partly *causes* lexical expansion, partly *causes* our competence *in any language we do learn*. Further, this capacity surely requires a lot of cognitive sophistication, as Sterelny insists. In particular, it surely requires lots of thoughts about other minds. I certainly think that we should *entertain*, though *be reluctant to embrace*, the idea that the capacity requires thinking some semantic thoughts.<sup>46</sup> Indeed, I once made a “tentative” proposal of this sort: “Some sort of semantic theorizing is required to gain linguistic competence, including some elementary theorizing about the point of language” (1981a: 109). It seems to me that Sterelny’s idea that language acquisition is informationally demanding is most plausible if construed as a proposal of that sort: semantic thoughts are part of the *cause* of our capacity to coin new words. I have two points to make about this proposal.

First, this causal connection does not make the semantic thoughts *constitutive* of that competence in the coined words (1981a: 109). So such proposals are not even in the same game as causal descriptivism. A look at psychology helps again. Psychology distinguishes two sorts of learning, *explicit learning*, which is a

<sup>46</sup>The case of the prairie dogs alone should give pause. Prairie dogs have a system of barks that convey information about which sort of predator is threatening. When an experimenter used a plywood model to simulate a new sort of predator, the prairie dogs introduced a new bark (Slobodchikoff 2002).

1266 “top-down” process, and *implicit learning*, which is a bottom-up process. Set aside  
 1267 implicit learning for a moment. Explicit learning *starts from* declarative knowledge.  
 1268 Consider learning to change gears in a stick-shift car by starting with instructions  
 1269 like: “First, take your foot off the accelerator, then disengage the clutch.” That’s one  
 1270 example of explicit learning. The proposal that semantic thoughts partly cause our  
 1271 capacity to coin new words is another example. And the important point is that in  
 1272 explicit learning, declarative knowledge, like the instructions and the semantic  
 1273 thoughts, plays a role in *bringing about* the skill, but *does not constitute* it and may  
 1274 even disappear after the skill is learnt. The psychological consensus is that the skill  
 1275 is procedural knowledge, knowledge-how.

1276 Second, supposing that we must have *some* semantic thoughts for language  
 1277 acquisition may have some plausibility, but supposing that we must have something  
 1278 like *the knowledge-base for causal descriptivism*, as Sterelny is suggesting, strikes  
 1279 me as very implausible.

1280 My first point rests on a crucial distinction between what causes competence and  
 1281 what constitutes it. Sterelny’s discussion may support the idea that some true seman-  
 1282 tic thoughts are included in the *cause* of competence. I’m dubious, but if the discus-  
 1283 sion does support this, then causal descriptivism may not be *as* open to the ignorance  
 1284 and error objection as we once claimed. But the discussion does not support the idea  
 1285 that true semantic thoughts, let alone the knowledge-base of causal descriptivism,  
 1286 *constitute* the competence. Of course, as a causal theorist, I must allow that causes  
 1287 of some sort *could be* constitutive, but I don’t think that we have been given *any*  
 1288 *reason to believe* that semantic thoughts are constitutive of linguistic competence.  
 1289 My second point is that, even if semantic thoughts are part of the cause of the com-  
 1290 petence, it is very implausible that the knowledge-base is. So, causal descriptivism  
 1291 still has an ignorance and error problem.

1292 (II) That point is about reference fixing. Sterelny thinks that causal descriptivism  
 1293 may get support from a consideration of reference borrowing:

1294 Perhaps information about the cognitive demands of cumulative cultural learning in general  
 1295 can give us some insight into the particular case of what an agent must understand in order  
 1296 to be part of reference borrowing networks, to be part of the division of linguistic  
 1297 labour. (##7)

1298 Getting this support is difficult because “there is no received view on the cognitive  
 1299 prerequisites of cumulative cultural learning in general” (##7). Sterelny’s interest-  
 1300 ing discussion explores two schools of thought. First, there is the “relatively unde-  
 1301 manding ‘Californian’ view”. This view of cultural learning seems to fit the  
 1302 psychologists’ idea of *implicit learning*, a “bottom-up” learning that takes place  
 1303 “largely without awareness of either the process or the products of learning” (Reber  
 1304 2003: 486). Clearly, there is no support for Jackson there, but Sterelny does see  
 1305 some in the alternative “Parisian” view

1306 which sees cultural learning as more cognitively demanding .... [T]he process is highly  
 1307 selective, and what agents do with the representation that they do pick up depends on what  
 1308 they already know or believe; their intentions; and on how their mind is tuned. (##8)

Sterelny thinks this view “obviously resonates with Jackson’s reflective conception of the sociolinguistic networks that sustain the division of linguistic labour” (##9). I don’t think so.

The “Parisian” view seems to fit the psychologists’ idea of *explicit learning*, a “top-down” process that I have described above. And the important point now, as in (I) above, is that explicit learning and the Parisian view are theories of how we achieve a skill, about its *cause*, they are not theories of what *constitutes* that skill. So, no resonance with Jackson.

Sterelny argues that reference borrowing is at least partly Parisian:

the flexibility of use that I took to support Jackson’s view that the division of linguistic labour depended on downstream users recognising the networks of which they are a part may be a relatively recent feature of language, perhaps most marked in large world languages. That said, on this view the languages (or protolanguages) without a word for word, or other ways of explicitly thinking about words and reference, will also be languages without much (or with very minimal) division of linguistic labour. (##11)

So for speakers to borrow reference they must have semantic thoughts about words and reference. This is an interesting idea and, taken as a view of the cause of the borrowing, it may be right. Still, I think there are reasons to doubt it. When I looked hard at the matter, admittedly a decade ago, I concluded that “language learning seems to be a paradigm of implicit learning” (2006a: 219). I’m still inclined to that conclusion. If it is right, declarative knowledge, whether semantic or not, plays no role. Still, we should all agree, it is early days in understanding these processes.

But, to repeat, even if language learning is explicit, with declarative knowledge playing a causal role, that does not support Jackson. For, causal descriptivism is a theory of what constitutes reference. So Sterelny must have in mind that these semantic thoughts are constitutive. Yet, in the context of discussing reference borrowing in an earlier paper, he remarks:

While it is hard to specify exactly what users understand about their language in using it, and, certainly, they have nothing like an explicit commitment to any semantic theory, referential competence is not reflexlike, or completely isolated from reflective understanding. (2016: 273)

It is not clear to me that this cautious statement is at odds with my “minimalist” view, described in Sect. 19.2.1, and hence is a very long way from causal descriptivism.

In sum, Sterelny leans toward an informationally demanding view of language learning and has some interesting thoughts in support. I am dubious that this learning demands semantic knowledge let alone anything close to the knowledge-base of causal descriptivism. But that is all about the cause of a person’s competence with a name. My main point is that there is no case here for an informationally demanding view of the competence itself. In particular, I see no case for the view that the knowledge-base is constitutive of this competence. So the considerations he adduces should not take him so close to causal descriptivism.

Finally, I must remind Sterelny of our redundancy objection to that theory. This objection, arising out of the theory’s parasitism, still strikes me as devastating. In

1353 light of the present discussion, we can expand on the objection as follows. Suppose,  
 1354 *per impossibile*, that a person knew that it was in virtue of ‘*N*’ standing in a certain  
 1355 causal relation to the referent that ‘*N*’ refers to the referent; i.e., she knew the correct  
 1356 causal theory. What role could that knowledge play? Not the role of *constituting* the  
 1357 reference of ‘*N*’: see the redundancy objection. Yet that piece of declarative knowl-  
 1358 edge could *cause* the person to establish that reference-determining causal relation  
 1359 between ‘*N*’ and an entity. So, that knowledge’s role would be analogous to that of  
 1360 the instruction in learning to change gears in a stick-shift car.

## 1361 19.3 Theory of Meaning

### 1362 19.3.1 Direct Reference (Braun, Horwich)

1363 David Braun and I have been arguing about direct reference (DR) for 25 years.  
 1364 Braun believes in it, I don’t (1989a, 1996, 2012d). DR is more often declaimed than  
 1365 argued. I have always admired Braun’s conscientious and ingenious attempts to  
 1366 argue for this unpromising doctrine, illustrated again in “Still for Direct Reference”.

1367 Braun’s paper starts with nice accounts of DR and of my contrary view (for  
 1368 which I thank him). I shall presume these accounts in what follows. Braun goes on  
 1369 to give a long, thoughtful, and intricate response to my criticisms of DR. It would  
 1370 be a daunting task to respond to this in the detail it deserves. Sadly, I cannot attempt  
 1371 anything close to that here. I apologize for the resulting density of what follows.

1372 DR, as we are understanding it,<sup>47</sup> is a resurrection of the “Millian” theory accord-  
 1373 ing to which the “meaning” (“semantic value”, “semantic content”, etc.) of a proper  
 1374 name is simply its bearer or, as I prefer to say, its property of referring to its bearer.  
 1375 So, it faces the familiar, and apparently overwhelming, problems that led Frege and  
 1376 Russell to abandon Millianism long ago in favor of description theories. Despite  
 1377 this, DR is commonly taken, by friend and foe alike, to be the theory of meaning  
 1378 required by the Kripkean revolution in the theory of reference. How did this wide-  
 1379 spread opinion come about? It’s a curious history that I have recently tried to tell  
 1380 (2015b: 129–130).

1381 I am a maverick among the revolutionaries. For many years I did not entertain  
 1382 DR as even a *candidate* theory. And, from the beginning (1974: 204), I presumed  
 1383 that the meaning of a name was to be found in the causal network that determined  
 1384 its reference, a network of groundings and reference borrowings (Sects. 19.2.1,  
 1385 19.2.3 and 19.2.4): Frege was right to think that a name’s meaning was its mode of  
 1386 reference but wrong to think that this mode was constituted by associated descrip-  
 1387 tions. We can safely say that a key reason for the success of the implausible DR is  
 1388 that the idea that a name’s meaning is its causal mode of reference strikes people as

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<sup>47</sup>“Direct reference” is often understood in other ways (1989a: 206–212; 1996: 170n).

too shocking to be taken seriously (2015b: 130–132). Still, I argue that, shocking as it may be to the tradition, it is right (2001). 1389  
1390

However, it is important to note, I came to allow – in *Coming to Our Senses* (1996) – that a name did not have just this one causal-mode meaning.<sup>48</sup> The meanings we should posit are properties that play certain causal roles in the natural world. In particular, it is in virtue of their meanings that token thoughts and utterances cause behavior and guide us to reality. When it comes to guiding us to reality, a name token can mostly play its causal role simply in virtue of its property of referring to its bearer, simply in virtue of its DR meaning. But, when it comes to causing behavior, we need to posit a finer grained meaning, its property of referring to its bearer *in a certain way*, a mode of referring. And we should contemplate that the explanation of one piece of behavior may require a finer grained mode than the explanation of another. 1391  
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So, the main objection to DR is what it omits from meaning, not what it includes. Interestingly, Kaplan (2012), a founding father of DR, has recently said as much!<sup>49</sup> “The New Kaplan” urges, for reasons similar to mine, a semantic place for nondescriptive ways of referring that are also similar to mine. Yet he emphasizes that he does not want to deny a place to “Millian” meanings: “I only wish to resist the term ‘semantics’ being hijacked for one kind of content” (2012: 168 n. 28). This seems dead right to me. There is no sound theoretical basis for DR’s insistence that there is just the one kind of meaning that DR favors. 1402  
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I turn now to Braun’s defense of DR. 1410

(I) Braun sees an important difference between us over attitude ascriptions: 1411

an expression is *Shakespearean* iff substitution of co-referring proper names in that expression preserves the extension of that expression.... Direct-reference theory entails that attitude ascriptions and ‘that’-clauses are Shakespearean, on all of their readings. (##4) 1412  
1413  
1414

On my view, in contrast, these ascriptions are not Shakespearean on some readings. Why is this difference important? For me, not primarily because of what it shows about the meanings of these attitude ascriptions,<sup>50</sup> but because of what it shows about the nature of the properties *ascribed* by these ascriptions (1996: 70–81, 140–150). For, these ascriptions ascribe properties that seem to play the causal roles 1415  
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<sup>48</sup> Braun notes that DR is concerned with “the *conventional* meanings of linguistic expressions” (#16) and is worried that my concerns may be elsewhere so that we are talking past each other. I don’t think we are. Now it is true, as he notes, that my focus in *Coming* is on the meanings of thoughts rather than of utterances but I am also concerned with utterances. I later describe that concern as follows:

Paul Grice (1989) has drawn our attention to the distinction between the conventional meaning of an utterance and its speaker meaning.... In doing semantics, we are primarily interested in *conventional meanings on occasions of utterance* because those are the meanings that are the main routes to speaker meanings and hence thought meanings.... So the basic task for utterances is to explain the nature of properties that utterances have largely by convention and that play semantic roles. (2012d: 65; see also p. 79)

<sup>49</sup> My telling of the history of DR includes quite a lot on Kaplan, of course (2015b: 129–130).

<sup>50</sup> Although I do discuss these meanings, of course (1996: 82–84, 196–218).



1420 of meanings and so *provide evidence* of the nature of meanings, evidence that mean-  
 1421 ings are those ascribed properties. Thus, whereas

1422 (1) Ralph believes that Ortcutt is a spy,

1423 *construed transparently*,<sup>51</sup> ascribes the property of referring to Ortcutt, *construed*  
 1424 *opaquely*, it ascribes the property of referring to Ortcutt under the mode of ‘Ortcutt’.  
 1425 I take this as evidence, *although not the only evidence* (1996: 150–154), that the  
 1426 latter property is part of a meaning (“content”) of the belief in question and hence  
 1427 of any utterance of ‘Ortcutt is a spy’ that expresses that belief. For, opaque ascrip-  
 1428 tions serve our purposes of explaining and predicting behavior:

1429 when we articulate the generalizations in virtue of which behavior is contingent upon men-  
 1430 tal states, it is typically an opaque construal of the mental state attributions that does the  
 1431 work. (Fodor 1980: 66)

1432 Clearly, the opaquely construed (1) could not then be Shakespearean: substituting  
 1433 another name for Ortcutt, say ‘Bernard’, would change what it ascribes.

1434 Now, some puzzle cases required adding a few bells and whistles to this theory.  
 1435 One puzzle that Braun focuses on is Kripke’s Pierre (1979b: 254–265). This case  
 1436 led me to say that in

1437 (2) Pierre believes that London is pretty,

1438 opaquely construed, and ascribed because Pierre is ready to assert “Londres est  
 1439 jolie”, ‘London’ ascribes “the ‘disjunctive’ mode of ‘London’ or ‘Londres’ rather  
 1440 than a finer-grained meaning involving one of the disjuncts” (1996: 233).<sup>52</sup> There is  
 1441 nothing special about the French version of ‘London’ and so I added a footnote to  
 1442 the mention of ‘Londres’ saying: “Or, indeed, the ‘translation’ of ‘London’ in any  
 1443 other language” (233 n. 81). This is the basis for Braun’s first criticism.

1444 Devitt can resist the Shakespearean conclusion only if ‘Londres’ *is* a translation of  
 1445 ‘London’, but ‘Bernard’ is *not* a translation of ‘Ortcutt’ (and ‘Ortcutt’ is not a translation of  
 1446 ‘Bernard’). Is there any reasonable translation relation that would have this conse-  
 1447 quence? (##28)

1448 He argues ingeniously that there is not: I must settle for translation as co-reference  
 1449 and accept Shakespeareanism (##34). If this were so it would seriously undermine  
 1450 my case for meanings as causal modes of reference. Braun puts a lot of weight on  
 1451 this argument (##66).

1452 (a) First, Braun takes my scare-quoted use of ‘translation’ in a footnote far too liter-  
 1453 ally. My idea is simply that the opaque (2) ascribes a mode of referring to  
 1454 London by the causal network for ‘London’ *or by some closely related network*

<sup>51</sup>An expression is transparent iff substitution of co-referring singular terms in that expression preserves the extension of that expression. So where the definition of ‘Shakespearean’ talks of the substitution of proper names, that of ‘transparent’ talks of the substitution of singular terms.

<sup>52</sup>‘London’ does not do so in all opaque ascriptions, however; consider “Pierre believes that London is not Londres” (235).

like that for ‘Londres’. Just how closely related? It is hard to say: there is some indeterminacy here, as almost everywhere. Still, there are clear cases of *not being close enough*: the network for ‘Mark Twain’ is not close enough to that for ‘Samuel Clemens’. Similarly, it is hard to say how close to a full head of hair a person has to have to be hirsute but Yul Brynner is clearly not close enough. And Ralph having a belief under the mode of ‘Bernard’ cannot be enough to make the opaque (1) true. So I don’t have to accept Shakespeareanism.

These claims rest largely on intuitions about the truth values of attitude ascriptions. There is a more important consideration that is not about such “second-level” utterances.

(b) The Pierre puzzle does not undermine the argument, alluded to above, about the meanings that cause behavior (1996: 140–154). It follows from that argument that part of the meaning we have to attribute to Pierre’s “first-level” utterance of “Londres est jolie”, and to its underlying belief, *in order to fully explain Pierre’s behavior* is the property of referring to London under the mode of ‘Londres’; similarly, to his utterance of “London is not pretty”, the property of referring to London under the mode of ‘London’. Those are the meanings that fully explain Pierre’s behavior.

Turn for a moment to Horwich. In considering my theory, he thinks that “one might well balk at the idea that different primitive terms *never* have (indeed *cannot* have) the same meaning. What about ‘London’ and ‘Londres’?” (##9) As can be seen, I think that the causal roles of a name justify our ascribing more than one meaning to it, some of which can be shared by another name. Thus ‘London’ and ‘Londres’ *do* share the following two meanings: the property of referring to London; the property of referring to London under the disjunctive mode of ‘London’ or ‘Londres’, or ... But they *do not* share the property of referring to London under the mode of ‘Londres’; only ‘Londres’ has that meaning. And its having that meaning is important in explaining Pierre’s behavior.<sup>53</sup>

The Pierre puzzle does not show that meanings are not causal modes. Rather, it shows that that our ordinary attitude ascriptions are *not always* perfect ways to attribute the causally significant meanings: the disjunctive meaning that (2) ascribes “is not fine-grained enough to explain [Pierre’s] behavior” (234). I had earlier found a similar imperfection in discussing demonstratives: Richard’s puzzle (1983) shows that “the folk do not have standard forms of ascription that distinguish the meanings” of demonstrative beliefs that need to be distinguished to explain behavior in Richard’s ingenious story (221).<sup>54</sup> And it is not hard to see why the folk do not have standard forms that will do the required explanatory job in these situations: the situations are unusual (231–234).

<sup>53</sup>Horwich (##9) also raises Kripke’s case of Peter and ‘Paderewski’. I have discussed this difficult problem (1996: 236–240).

<sup>54</sup>I contemplate positing “hidden indexicals” to avoid these charges of imperfection (221–222, 234–235).

1493 Braun is not the first enthusiast for DR to get comfort from puzzles about attitude  
1494 ascriptions. I responded to some earlier examples as follows:

1495 The conclusion that the direct-reference philosophers draw from the puzzles ... are “in the  
1496 wrong direction”. The puzzles do not supply evidence that meanings are coarse grained but  
1497 rather supply evidence that some meanings are *more* fine grained than those ascribed by  
1498 standard attitude ascriptions. The puzzles show that we sometimes need to ascribe these  
1499 very fine-grained meanings to serve the semantic purpose of explaining behavior. (243).

1500 (II) Braun turns next to arguments against DR, particularly my versions of the  
1501 Identity Problem and the Opacity Problem (173–176). After presenting these ver-  
1502 sions, he rightly says that they

1503 do not show direct-reference theory is false unless they also show either (a) that ‘Twain is  
1504 Twain’ and ‘Twain is Clemens’ differ in conventional meaning or (b) that ‘Alice believes  
1505 that Twain is Twain’ and ‘Alice believes that Twain is Clemens’ differ in conventional  
1506 meaning. (##42).

1507 He continues: “But Devitt’s arguments do not show this.” Well, they were certainly  
1508 intended to (2012d: 79)! Let me make that explicit by adding ‘conventionally’ to a  
1509 recent statement of the argument:

1510 suppose Abigail has a neighbor called “Samuel Clemens” and reads books by someone  
1511 called “Mark Twain”. On hearing someone report, “Mark Twain is at the Town Hall”, she  
1512 rushes there, saying, “I’d love to meet Mark Twain”. It makes all the difference to our expla-  
1513 nation of Abigail’s behavior that she said this not “I’d love to meet Samuel Clemens”. For,  
1514 the former [conventionally] expresses a thought that causes her to rush to the Town Hall  
1515 whereas the latter [conventionally] expresses one that might not have (because she may not  
1516 know that Mark Twain is Samuel Clemens). It matters to the explanation of her behavior  
1517 that her utterance [conventionally] refers to Twain/Clemens *under the mode of ‘Mark  
1518 Twain’*. (2015b: 133)

1519 What could be the basis for denying that the mode of reference of ‘Mark Twain’ that  
1520 is crucial to the name’s causal role here is a *conventional* meaning of the name? At  
1521 this point Braun follows the DR tradition of clutching at the straw of pragmatics  
1522 (##42–43). But there is no principled basis for treating this phenomenon pragmati-  
1523 cally; or so I have argued (1989a, 1996, 2012d). The use of the name in this story  
1524 exemplifies the *undeniably regular* practice of using a name to convey a message  
1525 partly constituted by the name’s mode of reference. This regularity is best explained  
1526 by positing a convention of using a name to convey such parts of messages; indeed,  
1527 there is no other plausible explanation. So we should posit a convention (2013d:  
1528 107). So the mode of reference is a conventional meaning of the name.

1529 (III) Appeals to pragmatics loom large in Braun’s intricate defense of DR against  
1530 the charge that DR cannot account for the causal role of utterances (##46–65).  
1531 Indeed, that defense ultimately rests on exporting the troubling phenomena to prag-  
1532 matics. This exportation strategy is demonstrated in two crucial, and characteristi-  
1533 cally honest, admissions at the end of Braun’s discussion. The first concerns attitude  
1534 ascriptions:

1535 I admit that attitude ascriptions that ascribe modes of reference provide more explanatory  
1536 information about an agent’s behavior than do (direct-reference-style) attitude ascriptions  
1537 that do not. But I deny that modes of reference are among the meanings that attitude

ascriptions ascribe to thoughts *in virtue of the conventional meanings of those ascriptions*.... [The] utterances of attitude ascriptions can *pragmatically convey* information about modes of references. (##63).

But the attitude ascriptions that provide that “more explanatory information” are not *occasional* occurrences, they are *regular* ones: attitude ascriptions with names are *standardly* used in a non-Shakespearean way. I stand by the charge that there is no principled basis for denying that this usage exemplifies a convention.

Braun likes to defend DR by arguing that attitude ascriptions, “second-level” utterances, are Shakespearean. I agreed that this issue is important because the non-Shakespearean nature of these ascriptions provides evidence that modes of reference are meanings of names. But it is much more important to look at the causal role of names in “first-level” utterances. Braun’s second admission is helpful on this:

I admit that modes of reference are theoretically interesting, in the following ways: modes of reference are real properties, some parts of thinking-events have those properties, they are causally relevant to behavior, and mentioning them (e.g., in technical ascriptions) can add explanatory information to explanations of behavior. But I also maintain that there is a principled and theoretically interesting distinction between conventional and non-conventional meaning. (##65)

I agree with every bit of this, of course. Now think of its implications. Consider one of those “thinking-events”, Betty’s belief that Twain smokes (one of Braun’s example). Braun agrees that this belief plays its role in causing behavior partly in virtue of its property of referring to Twain under the mode of ‘Twain’. So he should agree that this property is part of the *meaning* (content) of that belief; playing that causal role makes it a meaning. Let us call that thought meaning, partly constituted by the mode of ‘Twain’, “*M*”. Obviously, Betty can express that belief by attempting to convey *M* to an audience, just as she can express any belief by attempting to convey its meaning. Grice (1989) and many others have emphasized that she might attempt this in many ways; for example, in certain circumstances, she might attempt it with the words, “Twain has a death wish”. Whatever words Betty chooses for that purpose will “speaker mean” *M*, for the meaning of the thought she expresses determines what she *means by* her words. However, as an English speaker, Betty is *very likely* to use the sentence, “Twain smokes”, for that purpose. She is very likely to use that sentence because it is the *conventional* English way of expressing a belief with meaning *M*. So the utterance of “Twain smokes” not only speaker means *M*, as does the utterance of “Twain has a death wish”, but it also conventionally means *M*. So referring to Twain by the mode of ‘Twain’ is a conventional meaning of ‘Twain’.

Where might Braun get off this train? He agrees that a thought’s mode of referring is causally relevant to behavior and so is theoretically significant. (i) But he might resist the idea that this makes that property a meaning. What then does make a property a meaning? One wonders whether such resistance would be “merely verbal”. (DR has often led me to such wonderings: 1989a, 1996, 2012d.) (ii) He is not likely to claim that whereas ‘Twain smokes’ is not the conventionally expression of *M*, some other some form of words is. (iii) We are left with this option: there is *no* conventional expression of *M*. This would be weird. Apart from the evidence that

1582 ‘Twain smokes’ *is* such a conventional expression, it would be a truly remarkable  
 1583 failure of our species – I assume that it would not be a failure of just English speak-  
 1584 ers – not to have managed over many millennia to come up with a conventional way  
 1585 of conveying a piece of information that is so causally significant.

1586 **19.3.2 Descriptive Names and “the contingent a priori”**  
 1587 **(Salmon, Schwartz)**

1588 Nathan Salmon’s “Naming and Non-Necessity” is a thorough and judicious exami-  
 1589 nation of Kripke’s

1590 startling claim ... that certain sentences that are (semantically) true as a consequence of the  
 1591 way a name’s reference was fixed by description are metaphysically contingent yet know-  
 1592 able *a priori*. (##1)

1593 Names that have their reference fixed by a description (more precisely, by an attrib-  
 1594 utively used description) are now usually called “descriptive names”. They stand in  
 1595 contrast to the paradigm names discussed in Sect. 19.2.2 which have their reference  
 1596 fixed by a causal grounding. ‘Jack the Ripper’ is a favorite example of a descriptive  
 1597 name and it is one of Kripke’s. It is the example I shall use. Kripke supposes that  
 1598 “the police in London use the name ‘Jack the Ripper’ to refer to the man, whoever  
 1599 he is, who committed all these murders, or most of them” (1980: 79). Salmon takes  
 1600 Kripke to be committed to the view that the following sentence is “(semantically)  
 1601 contingent yet *a priori* for the reference fixer (at the time of fixing)”:

1602 (3) If anyone committed such-and-such murders, then Jack the Ripper did. (##3)

1603 Let us start with the contingency thesis. Salmon does not mince his words:  
 1604 “Kripke has persuaded the angels and all right-minded philosophers that [(3)] is  
 1605 indeed contingent” (##5). Well, as Salmon notes (##n. 6), Kripke has not persuaded  
 1606 me (even though named after a top angel). The thesis that (3) is contingent rests on  
 1607 the thesis that ‘Jack the Ripper’ is rigid. But why suppose that? To Salmon and other  
 1608 direct referentialists this rigidity thesis clearly seems intuitive, as intuitive as the  
 1609 rigidity thesis about paradigm names like ‘Aristotle’. It doesn’t seem so to me. I  
 1610 have noted that

1611 when we submit [descriptive names] to the standard tests for rigidity, they do not *clearly*  
 1612 pass, even if they pass at all. Consider, for example, how ‘Jack the Ripper’ fares on what  
 1613 Kripke describes as an “intuitive test” of rigidity (1980: 48–49, 62). The following should  
 1614 be false:

1615 Jack the Ripper might not have been Jack the Ripper.

1616 Yet it seems not to be so, at least not clearly so. Similarly,

1617 It might have been the case that Jack the Ripper was not a murderer,

1618 (with ‘Jack the Ripper’ having narrow scope) should be true, but it seems not to be. And  
 1619 suppose that Prince Alfred was Jack the Ripper. Is it really necessary that he was? If ever

there was a thesis in the philosophy of language that needs more than intuitive support – and I think they all do (2012a, b) – the thesis that descriptive names are rigid is surely one. (2015b: 136–137)

Note that the intuition that a paradigm name like ‘Aristotle’ is rigid, an intuition that I do share, does have other support: it is rigid because its reference is fixed by a causal grounding in an actual object (2005a: 145). But there is no analogous support for the rigidity of ‘Jack the Ripper’. Salmon quotes (##5) a passage in which Kripke imagines

a hypothetical formal language in which a rigid designator ‘*a*’ is introduced with the ceremony, “Let ‘*a*’ (rigidly) denote the unique object that actually has property *F*, when talking about any situation, actual or counterfactual.” (1980: 14)

Clearly descriptive names *could be* introduced into our actual language in this way (perhaps implicitly). But where’s the evidence that they *are*? After all, we can imagine another hypothetical formal language in which a *non-rigid* designator is introduced like this: “Let ‘*a*’ denote the unique object that has the property *F* in a situation, actual or counterfactual.” (Note that this alone does not make such a designator *synonymous with* its introducing description. It might be open, as descriptive names like ‘Jack the Ripper’ *are* open (2015b: 136), to being borrowed by people who are ignorant and wrong about the referent.) I rather doubt that there is any determinate matter of fact about which of these hypotheticals fits our actual practice. And we surely don’t have any evidence of which.

Turn now to the *a priori* thesis. Salmon has a traditional understanding of ‘*a priori* knowledge’: “knowable with epistemic justification that is independent of experience” (##1); his example is justification by mathematical proof (##2). He carefully considers how reference fixing might yield a justification of the likes of (3).<sup>55</sup> He demonstrates that the justification rests on knowledge of semantic facts that is *a posteriori* (##7–8). He goes on: “We should probably conclude from the preceding considerations that Kripke means something different by his use of the term ‘*a priori*’” (##8). He comes up with a proposal:

I submit that what Kripke has in mind by his use of the term ‘*a priori*’ is a truth that is knowable independently of any experience beyond that on which knowledge of purely semantic (and/or purely pre-semantic) information about the language in question depends (even insofar as such knowledge is *a posteriori* in the traditional sense)... I shall say that a truth is *quasi-a-priori* – for short, *qua-priori* – if it fits this broader notion. (##9).

Even an old Quinean like me who believes that there is no *a priori* knowledge at all (1998, 2011d) could accept that our knowledge of (3) is *qua-priori*.

Salmon has made a good point about the empirical nature of the semantic knowledge, arising from a stipulated meaning, that plays a role in the justification of the allegedly *a priori* (3). I have argued that we should go further along these lines: the semantic knowledge, supposedly arising from “conceptual analysis”, that plays a role in the justification of allegedly *a priori* “analytic truths”, is also empirical.

<sup>55</sup> His consideration is actually of another Kripke example, the one-meter stick. My remarks extend *mutatis mutandis* to a further example, Neptune, if not also to the one-meter stick.

1661 Thus, our knowledge of “All bachelors are unmarried” is supposed to rest on our  
 1662 semantic knowledge that the content of the concept <bachelor> is the same as that  
 1663 of <adult unmarried male>. But that semantic knowledge, if knowledge it is, would  
 1664 come from empirical semantics (2011d: 25). Consider also this claim by Stephen  
 1665 Schwartz in “Against Rigidity for General Terms”: “People who are conversant with  
 1666 the terms ‘sloop’ and ‘sailboat’ know *a priori* that all sloops are sailboats” (##11).  
 1667 If those people have this knowledge about sloops on the basis of their semantic  
 1668 knowledge about ‘sloop’ and ‘sailboat’, then the knowledge is empirical.

1669 In sum, the famous examples of “the contingent *a priori*” are not *a priori* and  
 1670 may not be contingent.

### 1671 19.3.3 *Rigidity in General Terms (Schwartz)*

1672 There is a well-known problem extending Kripke’s notion of rigidity from singular  
 1673 terms to general terms. Schwartz begins his paper with a nice description of this  
 1674 problem and of two proposed solutions to it. He calls one of these proposals “rigid  
 1675 expressivism”. It is the view that “rigid general terms designate or express the same  
 1676 kind or property in every possible world” (##2). Schwartz rejects this, as he has  
 1677 done before in “Kinds, General Terms, and Rigidity” (2002). I have also rejected it  
 1678 in “Rigid Application” (2005a: 140–143; also in 2009b, in response to Orlando  
 1679 2009). I find his case against rigid expressivism largely persuasive and will not dis-  
 1680 cuss it. Schwartz calls the other proposal “rigid essentialism”. He rejects this in  
 1681 general and my version in particular. Here is my version:

1682 a general term ‘*F*’ is a rigid applier iff it is such that if it applies to an object in any possible  
 1683 world, then it applies to that object in every possible world in which the object exists.  
 1684 Similarly for a mass term. (2005a: 146)

1685 Now Schwartz and I both emphasize that a notion of rigidity must do some theoretic-  
 1686 al work. But we differ sharply on what that work is. Schwartz thinks that the job of  
 1687 rigidity is to distinguish “natural” kind terms from others. This led him to criticize  
 1688 an earlier presentation of my view (Devitt and Sterelny 1999: 85–86) on the ground  
 1689 that rigid application does not do that job (Schwartz 2002). He claimed, on the one  
 1690 hand, that some nominal kind terms like ‘television set’ are rigid appliers. He  
 1691 claimed, on the other hand, that some natural kind terms like ‘frog’ are not rigid  
 1692 appliers. My negative response to Schwartz was to argue that “even if these claims  
 1693 are right [I don’t think they are about ‘television set’ (2005a: 155–156)], they are  
 1694 not grounds for dissatisfaction” with my notion of rigidity because it is *not* the task  
 1695 of such a notion to distinguish natural from non-natural kind terms. I also argued,  
 1696 positively, that “the primary task is to distinguish kind terms that are not covered by  
 1697 a description theory from ones that are” (2005a: 154). In the present paper, Schwartz  
 1698 responds:

Rigid essentialism offers no systematic or plausible distinction between natural kind terms and nominal kind terms nor is it useful in defeating descriptionism and thus loses its point. (##3).

So, despite my negative argument, Schwartz is still demanding that rigidity distinguish natural and nominal kind terms. And, despite my positive argument, he denies that rigid application counts against descriptionism. I shall briefly rehearse my arguments before turning to what Schwartz says in support of his position.

**Positive Thesis** On the basis of the theoretical work that Kripke did with rigidity in discussing proper names, I argued (2005a: 144–148) that “the primary work we should expect from a notion of rigidity for kind terms is featuring in lost rigidity arguments against description theories of meaning for some terms” (145). Lost rigidity arguments have the following form: the term in question is rigid; a description of the sort that the description theory alleges to be synonymous with the term is not rigid; so, the term is not synonymous with that description and the description theory is false. Finally, rigid application does indeed feature in lost rigidity arguments and so does the required work. So far as I can see, nothing Schwartz says actually counts against this thesis.

Suppose that the thesis is right. It raises two questions. (I) *What* terms are rigid and hence can feature in lost rigidity arguments? I shall discuss this soon. (II) *What other* theoretical tasks, if any, should rigidity perform? This brings us to the negative thesis.

**Negative Thesis** It is true that Kripke’s favorite examples of rigid kind terms are (arguably) natural kind terms. Still, Kripke does not claim that all natural kind terms are rigid nor that all non-natural ones are non-rigid. Indeed, he thinks that “presumably, suitably elaborated... ‘hot’, ‘loud’, ‘red’” (1980: 134) are among the rigid ones; see also the discussion of yellowness (128n). So, in partial answer to question (II), I could see no basis for the idea that rigidity *should* mark out the class of natural kind terms (2005a: 145–146). Later, in a passage mostly quoted by Schwartz (##5), I’ve wondered why the idea that it should mark out this class

has any *prima facie* appeal at all. First, ... ‘natural kind term’ is vague. The problem is that it is far from clear what is for a *kind* to be natural and which ones count as natural.... Second, ... it is hard to see how ‘natural kind term’ could come out as a theoretically significant description in semantics. Thus, ‘plastic’ is not likely to be classified as a natural kind term and yet it is surely semantically just like the paradigmatic natural kind term ‘gold’: the two terms seem equally nondescriptive ...; and if there is any acceptable sense in which ‘gold’ is rigid then surely ‘plastic’ will be rigid in that sense too. Furthermore, the biological term ‘predator’ must be counted as natural and yet it seems descriptive and nonrigid. And what could be the principled basis for counting terms from the social sciences like ‘unemployed’ and ‘nation’ as not natural? Yet they are surely descriptive and nonrigid.... ‘[N]atural kind term’ does not cut semantic nature at its joints; it does not describe a natural kind! (2009b: 245)

I now have a more crushing objection to the idea. How *could* rigidity have the *theoretically interesting* task of marking out the class of natural kind terms? It is trivial



1742 that a term is a natural kind term iff it refers to a natural kind. So, if we mark out the  
 1743 kinds, we mark out the terms; and vice versa. And we should proceed by marking  
 1744 out the kinds and thus the terms: we should “put metaphysics first”, as I like to say  
 1745 (2010c); see Sect. 19.4.1. Indeed, it is somewhat preposterous to think that we  
 1746 should proceed in the other direction, marking out natural kinds by way of a seman-  
 1747 tic thesis about natural kind terms. And if there is any theoretical interest in marking  
 1748 out the terms at all, it is because there is one in marking out the kinds.<sup>56</sup> Schwartz  
 1749 criticizes rigid application for failing to do something that nobody should have ever  
 1750 thought a notion of rigidity needed to do.

1751 What does Schwartz have to say in support of his position? He thinks that my  
 1752 “theory depends for its plausibility on a limited diet of examples.” I “cherry pick”  
 1753 terms (##3). But this can’t be an effective criticism of my positive thesis. According  
 1754 to that thesis, rigidity’s task is to feature in lost rigidity arguments against descrip-  
 1755 tion theories. So rigidity “can apply where it may without reflecting on its worth”  
 1756 (2005a: 146). Schwartz makes it immediately apparent what lies behind his criti-  
 1757 cism: he is insisting, despite my negative thesis, that rigidity *must* sort the natural  
 1758 from the nominal kind terms: ‘frog’ is natural but not a rigid applicier<sup>57</sup>; ‘refrigerator’  
 1759 is nominal but a rigid applicier. He concludes: “Devitt’s notion of rigid application  
 1760 cannot be used to systematically sort general terms into rigid-like natural kind terms  
 1761 versus non-rigid nominal kind terms” (##4). But, as I emphasized in my earlier  
 1762 papers, this sorting is *not* the theoretically interesting role for rigidity. So far,  
 1763 Schwartz is replaying his earlier objections (2002), to which I have already  
 1764 responded (154–159).

1765 Let us say more about Schwartz’s nice example of ‘frog’. I presented his point  
 1766 that ‘frog’ is not a rigid applicier thus:

1767 Consider a particular frog. It starts life as a tadpole and then turns into a frog. So ‘frog’ then  
 1768 applies to it. But in another possible world it dies young as a tadpole. So ‘frog’ never applies  
 1769 to it in that world. (2005a: 157).

1770 I went on to argue for a view of ‘frog’ (157–159). I have since abandoned that view  
 1771 in responding to the criticisms of Ezequiel Zerbudis (2009) and have come up with  
 1772 a new one. This new view deploys a notion of *mature-rigidity*:

1773 A general term ‘F’ is a mature-rigid applicier iff it is such that if it applies to an organism in  
 1774 any possible world, then it applies to that organism in every possible world in which the  
 1775 organism exists and develops to maturity.  
 1776 ‘Frog’ is a mature-rigid applicier: anything that is a frog in some world will be a frog in any  
 1777 other possible world in which it exists provided it does not die as a tadpole. (2009b: 248).

<sup>56</sup> Schwarz makes the interesting suggestion that we follow Mill in taking natural kinds to be those “whose nature is inexhaustible” and nominal kinds those “which rest on one or only a few criteria” (##5). Suppose we do follow Mill, then we could *immediately*, without any appeal to semantic theory, distinguish natural from nominal kind terms!

<sup>57</sup> Schwartz also wonders whether it is possible for “mature eagles [to] turn into mature tigers” (##5). I agree with the biological consensus that this is not possible: it is essential for something to be a tiger that it has a certain history (2018). Were an eagle to turn into something tiger-ish that something would not be a tiger because it would not have the required history.

Schwartz is dismissive: “This strikes me as adding complication to complication in an *ad hoc* attempt to save a theory” (##4). I presume that Schwartz thinks this because he takes my claim that ‘frog’ is a mature-applier to be an “attempt to save the theory” that rigid application distinguishes natural kind terms. But that is not the purpose of the claim for that is not my theory. My negative thesis, in partial answer to question (II), is precisely that it is *not* the task of rigidity to distinguish natural kind terms. Schwartz has misunderstood the point of my discussion of ‘frog’.

The discussion arises from my concern with question (I), with what terms are rigid and hence can feature in lost rigidity arguments. The point of the discussion was to *lessen the disappointment* of discovering, thanks to Schwartz, that rigid application did not yield a lost rigidity argument for ‘frog’ (2005a: 157). I was looking for *another* notion of rigidity that would yield such an argument for ‘frog’. Mature-rigidity does the trick: it

will serve to refute any description theory of ‘frog’ constructed in the usual way from descriptions of the readily observable properties of frogs. In the actual world those descriptions apply to frogs but in another possible world they might apply not to frogs but to other organisms altogether. The descriptions form a non-mature-rigid applier. (2009b: 248–249)

In sum, rigid application features in lost rigidity arguments for some terms, mature-rigid application, for others. Mature-rigidity is not introduced “to save a theory” but to add another one. And, to repeat, neither theory has anything to do with distinguishing natural kind terms.

I claim that the task of rigidity is to feature in lost rigidity arguments. I claim that rigid application and mature-rigid application both fulfil that task for some terms. Schwartz has not said anything that casts doubt on these claims. His criticism that these notions do not distinguish natural from nominal kind terms is misguided. It demands that rigidity do something that nobody should have ever thought it needed to do.

### 19.3.4 *Narrow Meanings (Lycan, Horwich)*

In his paper, “Devitt and the Case for Narrow Meaning”, Bill Lycan generously describes my paper, “A Narrow Representational Theory of the Mind” (1989b), as “distinctive and valuable” (##1). Well, distinctive it may be, but I do wonder about its value. By the time of *Coming to Our Senses* (1996), I had come to realize, as Lycan notes (##16), that that paper rests on a serious conflation of the important distinction between narrow meanings as functions from contexts to wide meanings and as functional roles (255 n. 10). More on this distinction below.

Narrow meaning/content was all the rage in the 1980s. It was generally agreed that the meanings we ordinarily ascribe to explain behavior are truth-referential and hence “wide”. Still, many thought that the meanings we *ought* to ascribe for that purpose should be “narrow”. For some, cognitive psychology should explain the interaction of mental states with each other and the world by laws that advert only

1818 to formal or syntactic properties. For others, the semantics for psychology should be  
 1819 richer in some way but still narrow. I was one of those who took this revisionist line.  
 1820 I regret doing so.

1821 My view on this issue in the 1989 paper is ably presented and criticized by Lycan  
 1822 (##1–15). Some features of that paper re-appear in the 1996 book, but placed in a  
 1823 framework that strictly observes the aforementioned distinction that was conflated  
 1824 in the paper. I still believe what I said about the issue in the book and that is what I  
 1825 shall discuss. That book, like the earlier paper, focuses on two arguments for revi-  
 1826 sionism, the argument from the computer analogy (1996: 265–272) and the argu-  
 1827 ment from methodological solipsism (272–277). Lycan and I are in almost total  
 1828 agreement.

1829 First, neither of these argument supports the view that psychology should ascribe  
 1830 only syntactic properties,<sup>58</sup> strictly understood, to mental states. Such properties  
 1831 may be adequate for the explanation of thought processes but they are not for the  
 1832 explanation of thought formation or behavior. The mind as a whole is not purely  
 1833 syntactic at any level even the implementational (1996: 277–284). In arguing this,  
 1834 *Coming*, like the 1989 paper, emphasizes three distinctions that tend to be over-  
 1835 looked in the debate: first, that between a token's intrinsic fairly brute-physical  
 1836 properties like a shape, which I call "formal" properties, and syntactic properties,  
 1837 which are extrinsic functional properties that a token has in virtue of its relations to  
 1838 other tokens in a linguistic system (258–265); second, that between processes that  
 1839 hold only between thoughts, and mental processes in general, which may involve  
 1840 not only thoughts but also sensory inputs and behavioral outputs (266–267); and,  
 1841 third, that between syntactic properties, which are constituted only by relations  
 1842 between linguistic tokens, and putative narrow meanings, which involve relations to  
 1843 nonlinguistic entities – for example, sensory inputs and behavioral outputs – as well  
 1844 (273–275).

1845 The argument from methodological solipsism may seem to support the view that  
 1846 psychology should ascribe only narrow meanings. To assess this support we need to  
 1847 make that aforementioned distinction between two views of narrow meaning  
 1848 (285–286). According to one,<sup>59</sup> the narrow meaning of a sentence is a function tak-  
 1849 ing an external context as argument to yield a wide meaning as value. So, on this  
 1850 view, narrow meanings partly determine truth conditions and reference; they are  
 1851 intentional wide meanings "minus a bit"; they are "proto-intentional". The belief  
 1852 that we need only these meanings to explain behavior is, therefore, only moderately  
 1853 revisionist. According to the other, more popular, view of narrow meaning,<sup>60</sup> that  
 1854 meaning is a functional role involving other sentences, proximal sensory inputs, and  
 1855 proximal behavioral outputs. These putative meanings are not truth-referential and  
 1856 differ greatly from the meanings that we currently ascribe. The belief that we need  
 1857 only these meanings to explain behavior is, therefore, highly revisionist.

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<sup>58</sup>Cf. Stich 1983; but see also Stich 1991.

<sup>59</sup>To be found, e.g., in White 1982; Fodor 1987: 44–53.

<sup>60</sup>To be found, e.g., in Loar 1981 and 1982, McGinn 1982, Block 1986.

*Coming* finds some truth, though not enough, in the argument for the moderately revisionist view, but none at all in the argument for the highly revisionist one. My responses to these arguments reflected the influence of Tyler Burge (1986).

Start with the moderately revisionist view. What precisely are these proto-intentional narrow meanings? What constitutes their functions?

Consider what must be the case for any token to have a certain wide meaning. Part of the answer is that the token must have some properties that it has solely in virtue of facts internal to the mind containing it. Those properties constitute its narrow meaning. So, if we had a *theory* of its wide meaning, we would know all that we needed to about its narrow meaning. The theory will explain the narrow meaning by explaining *the way in which* the mind must take a fact about the external context as the function's argument to yield a wide meaning as its value. (286).

Lycan is puzzled:

I don't understand Devitt's allusion to "the mind" and what it "must take." It is the *theorist* whose task is to explain the way in which the external context combines with internal properties of a mental token to yield the token's wide meaning. (##16).

Let me clarify. Suppose that we are considering the narrow meaning of 'bachelor' and our theory tells us that the reference of 'bachelor' is determined descriptively. Then, the function that is 'bachelor's narrow meaning

will be partly constituted by some inferential properties that will constrain what it could refer to in a context; thus, perhaps 'bachelor' could refer to something in a context only if 'unmarried' refers to it in that context. (1996: 290)

Suppose so. Then our theory tells us that the way in which the function for 'bachelor', a part of the mind, takes a certain fact about the external context as its argument is partly via the function for 'unmarried', another part of the mind, taking a certain other fact about the external context as *its* argument. In contrast, suppose that we are considering the narrow meaning of 'Aristotle' and our theory tells us that the reference of 'Aristotle' is determined causally. Then a certain causal network is the external fact that the function for 'Aristotle', a part of the mind, takes as its argument, without any dependence on the functions for other terms. This "direct" way of selecting an argument is very different from the indirect way for 'bachelor'.

Given that a theory that explains wide meanings will explain these functions, such narrow meanings must be acceptable to someone who believes, as I do, in wide meanings. And these narrow meanings would indeed yield explanations of behavior. But there is a concern about this because many of these narrow meanings are likely to be "coarse grained" in that there is not much to them and "promiscuous" in that they can yield any of a vast range of wide meanings as values by changing the relevant external context as argument (287–292). Lycan offers a "rebuttal" but I think this arises from a misunderstanding:

even if mental proper names are purely referential expressions, of course they do not *by themselves* contribute much to psychological explanation, nor would be expected to. They occur syntactically impacted in longer constructions, paradigmatically whole sentences. (##19)

1901 And he points out that the different coarse-grained and promiscuous narrow mean-  
 1902 ings of pronouns can make significantly different contributions to psychological  
 1903 explanations. I agree with both these points, but they are not at odds with my pro-  
 1904 posal. To say that a meaning is coarse-grained is not to say that it has *no* grain; to  
 1905 say that it is promiscuous is not to say that it can take *any* value in a context. And,  
 1906 remember, we are contemplating that the coarse-grained and promiscuous terms  
 1907 may include not just proper names and pronouns but many mass terms, general  
 1908 terms, adjectives, and verbs. Just how many should be included “is an open question  
 1909 to be settled with the help of future theories of reference” (1996: 291).

1910 I go on to argue (299–312) that these narrow meaning could serve psychological  
 1911 needs if the behaviors that needed to be explained were themselves only narrow and  
 1912 “proto-intentional”. The objection to restricting psychology to explanations involv-  
 1913 ing narrow meanings is then that *we do not need to explain only proto-intentional*  
 1914 *behavior*. For, that behavior is also likely to be coarse grained and promiscuous. The  
 1915 intentional behavior, giving water to Mary involves giving, water, and Mary. So too  
 1916 does the related proto-intentional behavior in *one* context, but that behavior might,  
 1917 in some other context, involve taking, kicking, or many other acts; XYZ, or many  
 1918 other stuffs; Twin Mary, or any other person. We certainly want to have the more  
 1919 discriminating intentional behavior explained *somewhere*. No good reason has been  
 1920 produced for thinking that it should not be explained *in psychology*.<sup>61</sup>

1921 *Coming* is rather more critical of the more popular functional-role narrow mean-  
 1922 ings than Lycan conveys (##20–21). I argue (292–299) that these putative meanings  
 1923 are left almost entirely unexplained and mysterious. Even if they were not, we have  
 1924 been given no idea how such meanings *could* explain intentional behaviors (like  
 1925 giving water to Mary) and it seems very unlikely that they could. If they do not  
 1926 explain these behaviors, then revisionism requires that intentional behaviors be  
 1927 denied altogether; for if there are these behaviors and they are not explained by nar-  
 1928 row meanings then it is not the case that psychology should ascribe only narrow  
 1929 meanings. We have been given no reason to deny intentional behaviors. The argu-  
 1930 ment from methodological solipsism does nothing to solve these problems for  
 1931 functional-role meanings. This is very bad news for the highly revisionist doctrine  
 1932 that psychology should ascribe only these putative meanings. That doctrine has a  
 1933 heavy onus arising from the apparently striking success of our present practice of  
 1934 ascribing wide meanings to explain behavior. Why do these ascriptions seem so suc-  
 1935 cessful if they are not really? What reason have we for thinking that the ascriptions

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<sup>61</sup> Horwich wonders how properties constituted by types of causal chains that are largely external to the mind *could* explain “any specific use of a word” and hence be meanings (##9). The use of words is, of course, just one sort of intentional behavior that these properties are supposed to explain. How *could* they do that? It’s a long story (1996: 245–312; 2001: 482–490). A provocatively short version is: they can because *they do*; we have good reason to believe that we ascribe these properties to explain behavior; these explanations are successful; so what we ascribe are meanings.

that would be recommended by this revisionist doctrine would do any better? The doctrine has hardly begun to discharge its onus.<sup>62</sup>

### 19.3.5 *The Use Theory (Horwich)*

Horwich has presented his use theory of meaning (UTM) with great verve and clarity (1998, 2005). I have responded with two critical articles (2002, 2011a). Horwich's "Languages and Idiolects" is, in part, a response. I shall start with a brief recap.

Horwich's UTM takes a meaning to be

an acceptance-property of the following form:– 'that such-and-such w-sentences are regularly accepted in such-and-such circumstances' is the idealized law governing w's use (by the relevant 'experts', given certain meanings attached to various other words), (2005: 28)

In assessing UTM and comparing it with truth-referentialism, I noted (2002: 114) that we are unlikely to make progress by considering "nonprimitive" words like 'bachelor' which the truth-referentialist might well think are covered by description theories. We need to focus on "primitive" words like proper names and natural kinds terms, the meanings of which are likely determined by direct relations to the world. (Sects. 19.3.1, 19.3.2, 19.3.3 and 19.3.4).

Considering these primitives, I argued that "the very same considerations that were devastating for many description theories are devastating for Horwich's use theory" (2011a: 205). Like description theories of reference, UTM suffers from a Kripkean "ignorance and error" problem (Sects. 19.2.1, 19.2.5). Horwich captured neatly the form of this problem for UTM: "members of a linguistic community typically mean exactly the same as one another by a given word, *even when their uses of it diverge*" (1998: 85–86; my emphasis). Horwich responded to this problem with the idea of *deference to experts*. (This process, with its epistemically demanding backward-looking intentions, should not be confused with the causal theory's reference borrowing discussed in Sect. 19.2.1.) I complained (2002: 118) that Horwich offered only some brief remarks to explain this deference. And I gave three reasons initially (2002: 118–119) and two more later (2011a: 205) for doubting that these remarks could be developed into a satisfactory theory of deference. In brief:

- (i) People will often not defer where they should.
- (ii) They will often try to defer but fail to identify an expert.
- (iii) They will often defer to a nonexpert.
- (iv) When the bearer of a name has been long-dead – for example, Aristotle – there will be no experts around to defer to.
- (v) Where there are surviving experts about a dead person, there seems to be no change that a

<sup>62</sup>An autobiographical note. Bill Lycan (##n. 9) mentions the often-hilarious episode of "Monty Python's Flying Circus" featuring the philosophy department at "the University of Woolloomooloo" (which led David Lewis to call his cat "Bruce"). There is of course no such university in Woolloomooloo, but there is a large pier. I sailed to England from that pier in 1947. Woolloomooloo used to be a poor area suitable for students. I lived there in 1965 when an undergraduate at the University of Sydney. Woolloomooloo is no longer poor and Russell Crowe lives on the pier.

1969 deferrer could be disposed to make to conform to the experts' basic acceptance properties.  
1970 (2011a: 209)

1971 Finally, I raised the “deeper problem” that “this appeal to deference seems to be  
1972 incompatible with UTM” (2011a: 206).

1973 The problem for UTM arises from the following dilemma: either the members of a lingu-  
1974 stic community typically share their meaning of a primitive word or they do not. Horwich's  
1975 appeal to deference seemed to arise from his grasping the first horn of the dilemma.  
1976 (2011a: 206).

1977 But, I argued, UTM cannot combine deference with shared meanings. Further, I  
1978 argued, “the trouble with the second horn is that it is false” (207): *meanings are*  
1979 *typically shared*. I concluded: “These problems are not minor ones of details. The  
1980 problems strike at the very core of UTM. At the very least, Horwich owes us an  
1981 account of how UTM can deal with them” (209). I take Horwich's present paper to  
1982 be attempting just that.

1983 Horwich responds to my dilemma, in effect, *by grasping both horns!* The mem-  
1984 bers of a linguistic community share one meaning of a word, the “communal”  
1985 meaning (##2), but often not another, an “idiolectal” meaning (##3). Horwich thinks  
1986 he can have it both ways! This is characteristically clever but, I think, provides no  
1987 way out of the dilemma.

1988 What about these idiolectal meanings? In talking about them in point (5),  
1989 Horwich makes

1990 a distinction (albeit rather vague) between ordinary words and technical terms. In the for-  
1991 mer case, there's a basic rule for the word's use, which many of the speakers who often use  
1992 it implicitly follow (and the majority follow at least approximately). (##3)

1993 So with “ordinary words” idiolectal meanings are *more or less* shared. But with  
1994 “technical terms” the story is very different:

1995 the members of some small subset of the population – acknowledged experts in the relevant  
1996 area – nearly all implicitly follow a certain rule for its use, whereas the non-experts rarely  
1997 follow it. (##3)

1998 The “nonexperts” are, of course, those who feature in Kripkean ignorance and error  
1999 arguments. These arguments show that many users of proper names, natural kind  
2000 terms like ‘elm’, and perhaps lots of other terms are “nonexperts”. So Horwich's  
2001 “technical terms” must cover *all* these terms, indeed, all primitives and perhaps  
2002 some nonprimitives like ‘sloop’ and ‘arthritis’ as well (2011a: 204–205). On his  
2003 view, “experts” will have idiolectal meanings of these terms that will be very differ-  
2004 ent from those of the “non-experts” and those of the “nonexperts” will differ from  
2005 each other.

2006 What about the communal meanings? Talking about them in point (3),  
2007 Horwich says

2008 Each word's sound-type ... has a constant *communal* meaning. That type-meaning – which,  
2009 together with the *context* of an utterance containing the word, determines the word's contri-  
2010 bution to *what is said* – does not vary from one speaker to another. (##2–3)

This is vital to the plausibility of UTM, of course, because to suppose that a group of organisms has a language at all is to suppose that they share meanings. But how is this sharing possible given the deficiencies of the nonexperts? In point (6) Horwich says that

such deficiencies – no matter how great – don’t count against attributions of the communal meaning. Individuals are credited with that communal meaning simply by virtue of their membership in the community. (##4)

All this raises two crucial questions which I will get to in a moment. But first I should briefly state my own position on the relation of idiolects to communal languages (2006a: 178–184; Forthcoming-b: ch. 5). For, Horwich rightly claims that my idiolects “are crucially different” from the idiolects that he finds so theoretically important (##12 n. 10).

**Idiolects** The meaning of an expression in a person’s idiolect is *constituted* by a linguistic rule. I take that rule to be the person’s disposition to associate the expression with that meaning in the production and comprehension of language: she is disposed to use that expression with that speaker meaning; and she is disposed to assign that meaning to the use of that expression by others who she takes to share her idiolect. Occasionally a person will not do what she is normally disposed to do; she will deliberately assign another meaning to an expression, as in a metaphor or pragmatic modulation; or she will make a performance error. In these cases, an expression will have a speaker or audience meaning that is different from its literal meaning in the person’s idiolect.

What *causes* the expression to have its meaning in a person’s idiolect? (i) If Chomsky is right, as he probably is, some syntactic rules are *innate*. So part of the meaning of an expression may be innate. (ii) But a large part of its meaning is typically the result of the person’s participation in a *convention*; so, it is a conventional meaning. (iii) Finally, some of a person’s idiolect may be her own work and so a bit idiosyncratic: the literal meaning an expression has for her may not be a meaning it has according to any linguistic convention; Mrs. Malaprop is a famous example.

**Languages** An idiolect is shared in a community, and hence is the language of that community, to the extent that the members of the community are disposed to associate the expressions of the idiolect with the appropriate meanings. This sharing could, in principle, come about “by chance” as the result of each member’s own work. But, of course, it does not. It probably partly comes about because of some innate syntax. It is largely the result of the community participating in the same linguistic conventions. So, conventions are the typical *cause* of communal meanings (but, if anything close to this story is right, it is a mistake to suppose that conventions *constitute* meanings).

What is it for the communal meaning of an expression to arise from a convention in a community and hence be a conventional meaning? It is hard to say, and I must be brief anyway. The central idea is that each member of that community is disposed to associate the expression with that meaning *because other members are similarly*



2053 *disposed*; there is a certain sort of causal dependency of the disposition of each  
 2054 member of the community on the dispositions of others. The norm is for speakers in  
 2055 a community to share a linguistic meaning because they stand in the required causal  
 2056 relation. As a result, the idiolectal meaning of almost all expressions for almost all  
 2057 speakers will be the conventional meaning of those expressions in the speakers'  
 2058 community. Mrs. Malaprop's divergence from the norm is exceptional.

2059 Take any word. On this picture, a person usually participates in the conventions  
 2060 for that word in her community, but she may not. If she does participate, then her  
 2061 idiolectal meaning will be the same as the communal meaning. If she does not partic-  
 2062 ipate, it will be different; the word out of her mouth will literally mean something  
 2063 other than its meaning out of the mouths of her fellows who participate in the con-  
 2064 vention. But the word out of her mouth cannot literally have *both* her idiolectal  
 2065 meaning *and* the different communal meaning. Having both is simply impossible.  
 2066 Yet, on Horwich's view of idiolectal meanings, this is not just possible but so com-  
 2067 mon as to be almost the norm. I can see no theoretical justification for positing  
 2068 idiolectal meanings that are so unconnected to communal meanings; I do indeed  
 2069 think, as he supposes, that they are "completely beyond the pale" (##12 n. 10).

2070 Horwich calls the acceptance property of an individual's word her "idiolectal  
 2071 meaning". That property is real enough but for it to be *properly* called a "meaning"  
 2072 at all, as Horwich and I agree, it has to play a certain causal role (2011a: 197–198).  
 2073 And any property that plays that role has to be related to what is properly called a  
 2074 "communal meaning" in something like the way I have described. Horwich's accep-  
 2075 tance property does not meet that requirement.

2076 It is time for the first crucial question. *In virtue of what* is a *certain* acceptance  
 2077 property the communal meaning of a word? What makes it the case that in a com-  
 2078 munity of idiolects varying from experts to non-experts and among non-experts,  
 2079 *that property* is the meaning of the word in the community? Horwich has a breezy  
 2080 answer in his point (8):

2081 The upshot is that the meaning of a word in a communal language is grounded in the mean-  
 2082 ings it has within the various idiolects of individual members of the community – in the way  
 2083 that was indicated in points (5) and (6). (##4).

2084 Horwich says later: "As for the name's *communal* meaning, this might well be  
 2085 grounded in the basic acceptance rule implicitly followed by the relevant experts"  
 2086 (##6–7). The idea that comes through from this is clear enough: with "technical  
 2087 terms", which need to be all terms for which ignorance and error problems arise  
 2088 (including proper names), the communal meaning is the experts' idiolectal mean-  
 2089 ing. And the "grounding" of communal meaning involves deference. But how does  
 2090 this work? We need an explanation of this grounding.

2091 Set that aside for a moment and consider a second related question, just as crucial  
 2092 as the first. It will be remembered that Horwich claims that, despite their deficien-  
 2093 cies, nonexperts are credited with the communal meaning "simply by virtue of their  
 2094 membership in the community". But how could that be right? For them to be mem-  
 2095 bers of the community, *in the respect that matters*, they have to participate in the  
 2096 linguistic conventions of that community. It is not enough that they just hang out

together: they have to be *talking to each other in the same language*. And the non-experts can't be doing that because they have idiolectal meanings that are quite different from the communal conventional meaning. Insofar as they are members of a linguistic community, hence participating in the conventions of the language, the communal meanings *are* their idiolectal meanings. What could there be about these nonexperts that makes a communal meaning *theirs*, given that they have various idiolectal meanings different from the community meaning and so are not participating in the communal conventions? How could their words be *correctly* credited with both meanings?

Something like Horwich's old idea of deference is again supposed to provide the answer. I have three responses to this. (1) I still have my old complaint that we have nowhere near enough explanation. He now thinks it was a mistake to suggest that "in order for a non-expert to use a technical term with its communal meaning she must *defer* to what the experts say with the help of that term." So he weakens his deference requirement: "The only role now given to this notion is in roughly explaining the notion of the 'acknowledged experts' as 'those to whose opinions there is a *tendency* to defer'" (#7–8 n. 6). But he says no more. (2) *Prima facie*, that weakening does not escape the five objections summarized above. (3) For the reasons just given, there is no legitimate distinction between idiolectal and communal meanings that can solve his "deeper problem": his appeal to deference seems to be incompatible with UTM; UTM cannot account for the sharing of meanings in a community.

I concluded "Deference and the Use Theory":

In my view, the best hope for UTM would be to make it part of a hybrid account: combining UTM for the experts with the causal theory of deference [reference borrowing]. So the meanings of nonexperts will be determined by the meanings of the experts by means of deference as explained by the causal theory. At bottom meaning would be explained by use but otherwise not. It would be interesting to explore the problems for this hybrid. (2011a: 209).

Just as there is reference fixing and reference borrowing (Sects. 19.2.1, 19.2.2, 19.2.3, 19.2.4 and 19.2.5), there is meaning fixing and meaning borrowing. My hybrid proposal combines a use theory of meaning fixing with a causal theory of meaning borrowing. (My own view combines a *referential* theory of meaning fixing with that causal theory of borrowing.) Horwich has not, of course, embraced this proposal but some of his remarks suggest that he is not far away from it. Thus he speaks approvingly of what he aptly calls Kripke's "'contagion' picture of communal-reference inheritance" and goes on:

But could it be that S's merely *hearing* the name, 'N', from a fellow community member will, by itself, guarantee that, when she proceeds to use it, 'N' will have the very communal meaning and referent with which her source deployed the word? I'm inclined to say 'yes'. (#7).

This sounds awfully like the causal theory of reference/meaning borrowing (Sect. 19.2.1). If he'd only drop his talk of "tendency to defer", and drop his idiolectal meanings that are beyond the pale, he would have the hybrid. I don't think the hybrid is right but it has much better prospects than UTM.

## 2141 19.4 Methodology

### 2142 19.4.1 Putting Metaphysics First (Rey)

2143 I have already discussed the parts of Rey’s “Explanation First! The Priority of  
2144 Scientific Over ‘Commonsense’ Metaphysics” that concern linguistics (Sect.  
2145 19.1.1). The main concern of his paper is methodological. Rey has two criticisms of  
2146 what he takes to be my methodology. The first of these is fairly harmless, the second  
2147 is not. Both criticisms are baseless. I shall discuss the first in this section, the second  
2148 in the next.

2149 I am fond of the maxim, “Putting Metaphysics First”. Rey thinks that it should  
2150 be replaced by the maxim of putting *explanation* first because that maxim is more  
2151 “fundamental”.

2152 Here is what I say about my maxim in a book named after it:

2153 We should approach epistemology and semantics from a metaphysical perspective rather  
2154 than vice versa. We should do this because we know much more about the way the world is  
2155 than we do about how we know about, or refer to, that world. The epistemological turn in  
2156 modern philosophy, and the linguistic turn in contemporary philosophy, were something of  
2157 disasters in my view. My view here reflects, of course, my epistemological naturalism. The  
2158 metaphysics I want to put first is a naturalized one. (2010c: 2).

2159 The book is an extended argument for this point of view.

2160 Rey has this to say in favor of his maxim:

2161 I’d like to suggest replacing Devitt’s maxim with what seems to me a more fundamental  
2162 one: *Explanation first!* I think it’s our reliable sense of explanation that underlies his and  
2163 many other people’s considered judgment that, at least for the time being, the “metaphys-  
2164 ics” of much of the natural sciences ought to enjoy a priority over most all other claims,  
2165 metaphysical, semantic, epistemic or otherwise.... I don’t expect Devitt to seriously dis-  
2166 agree with any of this.... [H]e has in mind the metaphysics of the explanations provided by  
2167 good empirical scientific theories. (##2).

2168 Indeed I do not disagree (apart from his replacement suggestion). All my work  
2169 reflects an enthusiasm for using inference to the best explanation, or “abduction”, to  
2170 find out about the world; thus, in discussing meaning I say, “As always, we seek the  
2171 best explanation” (1981a: 115; see also, 1996: 48–86); my argument for scientific  
2172 realism is an abduction (1984: 104–106; 1991: 108–110); my position on linguistics  
2173 (2006a), which so appalls Rey – see Sects. 19.1.1 and 19.1.2 – is driven by explanat-  
2174 ory concerns. But Rey’s maxim urging explanation as fundamental in epistemology  
2175 could not be a *replacement* for my maxim because the two maxims have different  
2176 concerns. My maxim is aimed at reversing practices of deriving a metaphysics from  
2177 assumptions in epistemology or semantics, practices that have dominated the last  
2178 three centuries. Rey’s maxim offers no guidance on those practices. And mine offers  
2179 no guidance on what is fundamental in epistemology. Rey is making a false con-  
2180 trast. This is surely obvious and so Rey’s claim that his maxim is “a substantive  
2181 alternative” to mine (##19) is very odd.

Rey's second criticism of my methodological is much more serious, so serious that Rey wonders whether it is "a serious indictment of Devitt's work as a whole" (##23)! 2182  
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#### 19.4.2 "Moorean Commonsense" (Rey) 2185

Rey and I have aired disagreements on a number of substantive issues in many publications. In his present relentlessly unsympathetic paper, Rey seeks to discredit my side on these issues by attributing it to bad methodology: I am alleged to be steeped in the vice of "Moorean commonsense". He, in contrast, is full of the virtue of science: 2186  
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In Devitt, I tentatively want to suggest it is an uncritical, essentially Moorean "commonsense" understanding of realism and of Quine's "holistic" epistemology, which, I argue, badly biases his views of many topics he discusses, specifically (what I'll discuss here) secondary properties, linguistics, and the possibility of *a priori* knowledge. But all of this will be on behalf of stressing what seems to me to be the priority of scientific explanation over commonsense (##3). 2191  
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What starts as a tentative suggestion soon becomes the dominant thesis of Rey's paper. 2197  
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Let's start with Rey's criticism of my inclusion, in *Realism and Truth* (1984, 1991), of "commonsense" physical entities in my definition of realism: "Devitt ... seems to include in the metaphysics he's putting first, 'most' commonsense claims, which, I argue, have no place in serious explanation" (##22). So, why do I include these claims about the existence of commonsense entities? Because I aim to reject a much broader range of antirealisms about "the external world" than antirealisms about science. This range includes idealisms, so prominent in the history of philosophy, that deny the independent existence of a world consisting not only of scientific entities but also of more humdrum ones including, for example, chairs and hammers (1991: 246–249). I describe one such doctrine as follows: 2199  
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*Constructivism.* The only independent reality is beyond the reach of our knowledge and language. A known world is partly constructed by the imposition of concepts. These concepts differ from (linguistic, social, scientific, etc.) group to group and hence the worlds of groups differ. Each such world exists only relative to an imposition of concepts. (1991: 235). 2209  
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This sort of neo-Kantian relativism is common among intellectuals. Indeed, it has some claim to be the metaphysics of the twentieth century, exemplified by theorists ranging from Nelson Goodman to French feminists (1991: 235–236). And it has had consequences in social and political life, bad ones in my view. Perhaps Rey thinks that such antirealisms are not worth refuting. Well, I disagree. It's dirty work but someone has to do it. (See Sect. 19.5.1 for more on the definition of "realism".) 2213  
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That criticism concerns what topics are worth discussing. The next is much more important because it concerns the methodology for discussing any topic: 2219  
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2221 Devitt's only real motivation for insisting on color realism is simply a wistful attachment to  
 2222 commonsense.... My point here is not to go to the wall upon denying the reality of all these  
 2223 things; I only want to insist upon the methodological point that it should be *scientific* expla-  
 2224 nation, not commonsense, that should be the arbiter of the issue. (##6).

2225 So what might be the argument for Devitt's [rejection of the psychological conception of  
 2226 linguistics]? It's hard to resist the impression that it's yet another instance of his commit-  
 2227 ment to the Moorean commonsense that seemed to be driving his views about color. (##8)

2228 despite his claimed commitment to a "naturalized epistemology," Devitt, like his mentor,  
 2229 Quine, seems to display a peculiar aloofness to the sciences of the domains he discusses....  
 2230 It often seems as though he and Quine remain(ed) "outside" of the sciences they otherwise  
 2231 esteem, precisely as philosophers traditionally have, listening in from afar, overhearing  
 2232 snatches of their claims, arguing with them from a commonsensical point of view, but not  
 2233 engaging directly with the enterprises themselves. (##22–23)

2234 This is stuff and nonsense, a picture of my methodology with little relation to reality.

2235 Rey's charge is that my methodology is one of "commitment to Moorean com-  
 2236 monsense". In fact, my attitude to common sense, or "folk theory" (as I mostly call  
 2237 it), is very different. My attitude is a consequence of my Quinean epistemological  
 2238 naturalism (1998, 2011c) and is briefly as follows. Folk theory can be a helpful  
 2239 place to start in the absence of science. We then look to science to discover whether  
 2240 folk theory, so far as it goes, is right. And we look to science to go further, much  
 2241 further. Some past folk theories have turned out to be spectacularly wrong. Still,  
 2242 given that conservatism is among the theoretical virtues (Quine and Ullian 1970:  
 2243 43–53), being in accord with common sense is an advantage for a theory, though, of  
 2244 course, very far from a decisive one. My most explicit and detailed presentation of  
 2245 this attitude to folk theory and common sense is probably in *Language and Reality*  
 2246 (Devitt and Sterelny 1999: 286–287). The attitude is at least implicit in my many  
 2247 discussions of intuitions (e.g. 1996, 2006c, 2012a, 2014a)<sup>63</sup> and exemplified in all  
 2248 my work, from its beginning on reference (1981a), through work on realism (1984,  
 2249 1991), meaning (1996), linguistics (2006a), and biological essentialism (2008d), to  
 2250 recent work on experimental semantics (e.g. 2011b, 2012b; Devitt and Porot 2018)  
 2251 and to Sect. 19.2.3 of these very responses.

2252 In the face of all this obvious and apparently overwhelming evidence that I am  
 2253 very far from a devotee of "Moorean commonsense", what does Rey offer in sup-  
 2254 port of his claim to the contrary? Well, there are some uncharitable speculations  
 2255 about my motivations ("wistful attachment to commonsense", "hard to resist the  
 2256 impression ... of his commitment to the Moorean commonsense", etc.), but what  
 2257 we need is evidence that I engage in *arguments resting on "Moorean common-*  
 2258 *sense"*. Rey produces none. True, I do use the expressions 'Moorean' and 'common  
 2259 sense'/'commonsense' *separately* quite a few times in arguments in *Putting*  
 2260 *Metaphysics First* (2010c). But these uses do not provide the needed evidence.

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<sup>63</sup>There is a small irony in Rey's criticism. I have, in effect, criticized as unscientific a linguistic methodology (2006a, 2006c, 2010a, b, 2014a, 2020) that Rey (2013, Forthcoming-a, Forthcoming-b) defends: the practice of relying on speaker's meta-linguistic intuitions as evidence.

Let us start with my use of ‘Moorean’. This term is used *only* to describe a certain strategy in argument. Here is one example. I start my case for putting metaphysics first, hence for *Realism*, by arguing that “*Realism* is much more firmly based than the epistemological theses ... that are thought to undermine it” (2010c: 62). (This is the only example of my alleged Mooreanism that Rey quotes (##4).) I began calling this “a Moorean response” some time back when Steven Hales pointed out that it exemplifies Moore’s famous strategy of responding to a *modus ponens* with its corresponding *modus tollens*. Importantly, *this use of ‘Moorean’ involves no commitment to common sense*, as indeed the following note about the strategy makes clear:

Note that the point is not that *Realism* is indubitable, to be held “come what may” in experience: that would be contrary to naturalism. The point is that, *prima facie*, there is a much stronger case for *Realism* than for the [epistemological] speculations. (2010c: 109 n. 19).

I finish my case for putting metaphysics first, hence for *Realism*, by appealing to naturalism.

Turn now to my use of ‘common sense’. My *only* use of it in an argument is in the one for *Realism* that Rey quotes (##4). *In the course of my initial argument for Realism* I claim that this *Realism* about ordinary objects is “the very core of common sense” (2010c: 62). And, I might have added, being in accord with common sense is a plus for *Realism*, given the virtue of conservatism. However, even this initial argument does not *rest* its case for *Realism* on common sense. And this initial argument is treated as far from conclusive: “the Moorean response is not of course sufficient” (2010c: 63). Indeed, how could it be? “*Realism* might be wrong: it is an overarching empirical hypothesis in science” (1991: 20). So, I follow up with a naturalistic argument for it (2010c: 63–66; see also 1991: 73–82).

In sum, my separate uses of ‘Moorean’ and ‘common sense’ provide no basis at all for attributing to me the methodology that Rey disparages with such relish. In particular, I have always embraced “the priority of scientific explanation over commonsense” (##3). Contrary to Rey’s charge, there is not a single argument in my work that rests on a “commitment to Moorean commonsense”.

Finally, the charge that I “display a peculiar aloofness” to the sciences I discuss (##22) is gratuitous.

I conclude with some brief comments on the three substantive disagreements to which Rey applies his mistaken thesis about my methodology.

**Linguistics** I have already responded to Rey’s discussion of linguistics (Sect. 19.1.1). I disagree with him not because I practice the methodology that Rey disparages but because I think that he is wrong about what the science shows.

**Color** Rey is very dismissive of my (somewhat tentative) neo-Lockean view of colors. The issue has never been important to me and my discussions of it are old

2300 and very brief (1984: 69–71; 1991: 249–251).<sup>64</sup> Perhaps if I revisited the issue more  
 2301 thoroughly I would revise my view in the face of contemporary vision science. I  
 2302 obviously agree with Rey that “it should be *scientific* explanation, not common-  
 2303 sense that should be the arbiter of the question” (##6).

2304 *The a Priori* Rey’s disparagement climaxes: “patently preposterous.... [A] mere  
 2305 mantra, a check written on a non-existent bank” (##20). Our mentor Quine is also a  
 2306 target. I refer any reader interested in my criticisms of the a priori to my 1998  
 2307 and 2011d.

### 2308 19.4.3 *Intuitions (Martí, Sterelny, Jackson)*

2309 How do philosophers of language tell which theory of language is right? Edouard  
 2310 Machery, Ron Mallon, Shaun Nichols, and Stephen Stich (“MMNS”) noted (2004)  
 2311 that theories of reference are tested by consulting referential *intuitions* and that  
 2312 those intuitions are nearly always those of the philosophers themselves “in their  
 2313 armchairs”. Indeed, the consensus is that consulting intuitions is the method for the  
 2314 philosophy of language in general.<sup>65</sup> Consider this statement, for example:

2315       Our intuitive judgments about what *A* meant, said, and implied, and judgments about  
 2316       whether what *A* said was true or false in specified situations constitute the primary data for  
 2317       a theory of interpretation, the data it is the theory’s business to explain. (Neale 2004: 79).<sup>66</sup>

2318 MMNS responded critically to this consensus methodology by testing the intuitions  
 2319 of the folk, in particular those of undergraduates in Rutgers and Hong Kong, about  
 2320 cases like Kripke’s Gödel and Jonah ones. Whereas philosophers of language, we  
 2321 can presume, almost all share Kripke’s antidescriptivist intuitions about these cases,  
 2322 the experiments revealed considerable variation in the intuitions of the undergradu-  
 2323 ates; indeed, the intuitions of Hong Kong undergraduates leaned toward descriptiv-  
 2324 ism in the Gödel case. MMNS presented this result as casting doubt on philosophers’  
 2325 intuition-based methodology for theorizing about reference and hence, of course, on  
 2326 the resulting theories of reference.

2327       Genoveva Martí (2009, 2012) and I (2011b, 2012b, c) criticized MMNS for test-  
 2328 ing the wrong thing. Experimentalists should not be testing theories against *any-*  
 2329 *one’s* referential intuitions but rather testing them against the reality that these

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<sup>64</sup>Rey misrepresents an essay of mine, “Global Response Dependency and Worldmaking” (2010c: 122–136), as an “extended discussion of color” (##6, n. 10). In fact, the essay is not a discussion of color but of the consequences for realism of the view that all properties are “response-dependent”. One color, redness, does feature but only as a “popular and plausible” *example* of a response-dependent property (122). I do not argue that it is a response-dependent property.

<sup>65</sup>And for philosophy in general, of course. Still some disagree with this consensus: see Deutsch (2009) and Cappelen (2012); for a response, see Devitt (2015a).

<sup>66</sup>In his latest work, Neale urges a very different and, in my view, much more appropriate view of the role of intuitions (2016: 231, 234).

intuitions are about. We do not rest our biological theories on evidence from the intuitions of biologists about living things, let alone from the intuitions of the folk. We do not rest our economic theories on evidence from the intuitions of economists about money and the like, let alone from the intuitions of the folk. No more should we rest our semantic theories on evidence from the intuitions of philosophers about reference and the like, let alone from the intuitions of the folk. *The primary evidence for a theory about a certain reality comes not from such intuitions about the reality but from more direct examinations of that reality.* The reality of reference relations is to be found in linguistic usage. So theories of reference need to be confronted with direct evidence from usage.

Martí and I are very much on the same side in our view of experimental semantics. Positively, we think that theories of reference should be tested against usage. Negatively, we are critical of the practice of testing against referential intuitions. As we are both fond of saying, the right response to armchair philosophy is not to pull up more armchairs for the folk.<sup>67</sup>

I shall explore this, and the crucial distinction between testing against referential intuitions and usage, in the next section. But first I want to say more about referential intuitions.

Talking about the consensus methodology in “Experimental Semantics, Descriptivism and Anti-descriptivism. Should We Endorse Referential Pluralism?”, Martí remarks:

The input the semanticist reflects on is actual usage: the ‘Feynman’ and ‘Columbus’ cases that Kripke uses to illustrate the ignorance and error arguments, are real cases, things that, according to Kripke, one hears in the marketplace. (##6).

What does Martí have in mind as reflecting on usage? If she is to be right about the actual philosophical methodology exemplified by Kripke, she should have in mind immediate reflection on an observed utterance that yields *a referential intuition*, a meta-linguistic judgment that that expression out of the mouth of that person refers to a certain object. But I fear that this is probably not what she has in mind, as we shall see in the next section.

Consider what Kripke uses as evidence in his discussion of ‘Feynman’. He thinks that

the man in the street [who could not differentiate Feynman from Gell-Mann] may still use the name ‘Feynman’. When asked he will say: well, he’s a physicist or something. He may not think that this picks out anyone uniquely. I still think he uses the name ‘Feynman’ as a name of Feynman. (1980: 81)

The last sentence expresses a referential intuition. And it is surely an intuition about remembered actual utterances, as Martí suggests: Kripke has observed ignorant people using ‘Feynman’ and judges that they successfully referred to Feynman. Kripke’s discussion of the reference of ‘Columbus’ similarly rests on such an intuition. He points out that laypeople’s likely “misconceptions” about Columbus

<sup>67</sup>As Martí points out (##6), neither of us know the source of this witticism.



2371 should mean, according to the description theory, that their uses of ‘Columbus’  
 2372 really refer to somebody other than Columbus. Kripke’s intuitive response is: “But  
 2373 they don’t” (1980: 85).<sup>68</sup> This practice of testing a theory against intuitions is very  
 2374 different from one of testing it against usage, as we shall see.

2375 What lies behind the standard practice of relying on intuitions? The answer sug-  
 2376 gested by the literature is that competent speakers of a language have some sort of  
 2377 privileged access to the referential facts about their language. I argue that this  
 2378 answer is mistaken (Devitt 1996: 48–54, 72–85; 2006a: 95–121; 2006c, 2012a).  
 2379 Intuitions about language, like intuitions in general,

2380 are empirical theory-laden central-processor responses to phenomena, differing from many  
 2381 other such responses only in being fairly immediate and unreflective, based on little if any  
 2382 conscious reasoning. (2006a: 103; 2006c: 491)

2383 A speaker’s competence in a language does, of course, give her ready access to the  
 2384 *data* of that language, the data that the intuitions *are about*, but it does not give her  
 2385 privileged access to the *truth* about the data.

2386 My talk of “theory-laden” here can mislead. In “Michael Devitt, Cultural  
 2387 Evolution and the Division of Linguistic Labour”, Sterelny doubts whether it is  
 2388 helpful to characterize people’s intuitions about a domain as “their theory of that  
 2389 domain” (##5). Perhaps not. Still we might well think of them, as Martí does, as  
 2390 “theoretical; ... the first step that the theorist engages in” (##6). And we should see  
 2391 them as mostly *the product of experiences* of the linguistic world. They are like  
 2392 “observation” judgments; indeed, some of them *are* observation judgments. As  
 2393 such, they are “theory-laden” in just the way that we commonly think observation  
 2394 judgments are. That is, we would not make any of these judgments if we did not  
 2395 hold certain beliefs or theories, some involving the concepts deployed in the judg-  
 2396 ments. And we would not make the judgments if we did not have certain predisposi-  
 2397 tions, some innate but many acquired in training, to respond selectively to  
 2398 experiences. So ‘theory’ in ‘theory-laden’ has to be construed *very* broadly to cover  
 2399 not just theories proper but also these dispositions that are part of background  
 2400 expertise.<sup>69</sup>

2401 It is *not* a methodological consequence of my view that referential intuitions  
 2402 should have no evidential role in theorizing about reference. It *is* a consequence,  
 2403 however, that they should have that role only to the extent that they are likely to be  
 2404 reliable, only to the extent that they are *reliable indicators*. Sterelny compares referential intuitions unfavorably with knapping intuitions and doubts that the referential ones are reliable: “the reasons we have to trust intuitions seem largely absent when we consider agent’s judgements about reference” (##6). In “Language from a Naturalistic Perspective”, Jackson takes intuitions to be “beliefs coming from the exercising of recognitional capacities” (##3). This is an apt way to take them. He

<sup>68</sup>For more evidence of Kripke’s reliance on referential intuitions, see Devitt 2015a.

<sup>69</sup>For more on “theory-laden” see Devitt 2012b: 19; 2015c: 37–38.

rightly sees himself as more sympathetic to the role of intuitions than I am.<sup>70</sup> For, 2410  
 the recognitional view still leaves a reliability problem. Is a person claiming to recog- 2411  
 nize an utterance as a case of referring to *x* reliable about such matters? That 2412  
 needs to be assessed empirically using independent evidence about reference rela- 2413  
 tions obtained from linguistic usage. But, as noted, we need that independent evi- 2414  
 dence anyway. 2415

Are the referential intuitions of philosophers likely to be more reliable than those 2416  
 of the folk? Sterelny is dubious again: “I doubt whether philosopher’s intuitions 2417  
 have much more weight” (##6). Now, it is a methodological consequence of my 2418  
 view of intuitions that, insofar as we rely on referential intuitions rather than usage 2419  
 as evidence, we should prefer those of philosophers to those of folk because phi- 2420  
 losophers have the better background beliefs and training: they are more expert.<sup>71</sup> 2421  
 This is not to say that the folk may not be expert *enough* in judging reference in a 2422  
 particular situation. Whether or not the folk are is an empirical question which the 2423  
 theory does not answer. The theory tells us that “the more expert a person is in an 2424  
 area, ... the wider her range of reliable intuitions in the area” (Devitt 2010b: 860) 2425  
 but this provides no guidance as to the level of expertise required for a person to be 2426  
 reliable about any particular sort of fact. Still we can say that, from the perspective 2427  
 of the theory, it would be no surprise if the folk, though perhaps reliable enough in 2428  
 judging reference in humdrum actual situations, were rather poor at doing so in 2429  
 fanciful hypothetical cases like Gödel (Devitt 2011b: 421, 426; 2012b: 25–26). 2430

Why does Sterelny doubt that we should prefer philosophers’ intuitions? 2431

It is true that philosophers are more practiced: they spend a lot of time and thought thinking 2432  
 about problematic hypothetical cases. But practice only leads to greater skill when coupled 2433  
 to feedback about success and failure .... Philosophers’ practice in thinking about referen- 2434  
 tial examples is not coupled to any correcting feedback loop (##6) 2435

This concern about lack of reliable feedback is empirically well-based (Weinberg 2436  
 et al. 2010: 340–341). Still, as I have pointed out, “philosophers of language are 2437  
 confronted informally by language use that does provide feedback” (2012b, 25; see 2438  
 also p. 29). 2439

It is time to turn to the experimental evidence and testing against usage. 2440

<sup>70</sup>I take it that his greater sympathy stems from his view that the intuitions in question are pieces of “conceptual analysis” and are a priori (1998).

<sup>71</sup>This line of thought yielded an example of what has become known as ‘the Expertise Defense’ against the findings of MMNS. The Expertise Defense has led to a lively exchange of opinion: Weinberg et al. 2010; Machery and Stich 2012; Machery et al. 2013; Machery 2012a; Devitt 2012b; Machery 2012b; Devitt 2012c. See also the following exchange arising out of my analogous claim that we should prefer the grammatical intuitions of linguists over those of the folk: Devitt 2006a: 108–111; 2006c: 497–500; Culbertson and Gross 2009; Devitt 2010b; Gross and Culbertson 2011.

#### 2441 19.4.4 *Experimental Semantics (Martí, Sterelny)*

2442 First, what is the linguistic usage in question? It consists of the largely subconscious  
 2443 processes of producing and understanding linguistic expressions; of moving from a  
 2444 thought to its expression and from an expression to its interpretation.<sup>72</sup> These pro-  
 2445 cesses are very speedy and might well be called “intuitive”. But, even if they express  
 2446 judgments – and many may express other mental states – the expressions *that we*  
 2447 *should primarily count as evidence* are not expressions of *referential* judgments,  
 2448 hence not expressions of *referential* intuitions; rather they are expressions of judg-  
 2449 ments about *the nonsemantic world*.

2450 Next, what is it to test a linguistic theory *T* against usage? Theorists reason as  
 2451 follows. *T* predicts that in condition *C* competent speakers will utter *E*. Then if  
 2452 speakers do, that confirms *T*; if speakers do not, that disconfirms *T*. Similarly, if *T*  
 2453 predicts that speakers will *not* utter *E* in *C* and they do, that disconfirms *T*; if they  
 2454 do not, that confirms *T*. This is testing *T* against usage.

2455 How can we test theories of reference against usage? One way is to look to the  
 2456 corpus, the linguistic sounds and inscriptions that competent speakers produce as  
 2457 they go about their ordinary business without prompting from experimenters.  
 2458 Ironically, many of the vignettes used by experimentalists, from MMNS onward, to  
 2459 test theories of reference, vignettes that are all parts of the corpus, *themselves* pro-  
 2460 vide evidence against “classical” description theories, independent of anything that  
 2461 the experiments using these vignettes show about reference. For, they include  
 2462 expressions that the description theory predicts a competent speaker would not be  
 2463 disposed to utter (Devitt 2012b: 27–28, Devitt 2015c: 47–49, Devitt and Porot 2018:  
 2464 1562). Still the theorist cannot count on such windfalls; getting evidence about ref-  
 2465 erence from the corpus is usually going to be very hard. Fortunately, there is another  
 2466 way to get evidence from usage: we can apply the technique of elicited production,  
 2467 taken from linguistics (Thornton 1995: 140). We describe situations and prompt  
 2468 subjects to say something that the theory predicts they will or will not say. Then we  
 2469 reason in the testing-usage way, as described in the last paragraph.<sup>73</sup>

2470 Now a theorist who reasons in this way is “reflecting on usage”, in some sense,  
 2471 but this reflection is very different from the sort, described in the last section, that  
 2472 yields referential intuitions. For, taking observed usage as evidence *by the sort of*  
 2473 *reasoning just described* is very different from the practice of taking that usage as  
 2474 evidence *by making immediate judgments about its reference*. And this difference is  
 2475 important in assessing Martí’s claim, quoted in the last section, about what seman-  
 2476 ticians do. For, though philosophers probably do *some* informal testing of theories of  
 2477 reference against usage in the way described, as noted at the end of the last section,  
 2478 what they *primarily* do is test theories against referential intuitions (2015c: 39–43).

<sup>72</sup> So I include understanding under “usage”.

<sup>73</sup> Linguists also get evidence from usage by testing reaction times, eye tracking, and electromag-  
 netic brain potentials.

Our discussion of Kripke illustrates the latter. In passages like the following, Martí seems to have a different take on what Kripke does:

There is no doubt that people are referring to Feynman when they use ‘Feynman’ even if they do not attach a uniquely identifying description to the name. And there is no question that people are referring to Columbus, and not to some Viking that in the 11th century set foot in the New World, when they use ‘Columbus’. These, and other similar real cases of usage that Kripke mentions, provide the data that supports the conclusion against descriptivism. (##13).

What Martí finds indubitable here are referential intuitions, and Kripke is testing the description theory against those. Yet Martí seems to take Kripke to be testing the theory against usage. (I hope this appearance is misleading.) For Kripke to be actually testing the theory against usage, say an observed use of ‘Feynman’, he would have to reason like this: the theory predicts that a competent user of ‘Feynman’ would not utter the name in circumstances *C*. *X* is a competent speaker and did utter ‘Feynman’ in *C*. So this count against the theory. This is manifestly not what Kripke is doing.<sup>74</sup>

Nicolas Porot and I have recently conducted experiments using elicited production to test classical description theories of proper names against usage (Devitt and Porot 2018). Our experiments were on Gödel and Jonah cases. The results were decisively antidescriptivist. So too were those of Domaneschi et al. (2017) who also used elicited production. We now have powerful evidence from usage in support of Kripke’s antidescriptivism about proper names.<sup>75</sup>

I noted Sterelny’s doubts about the reliability of the folk’s referential intuitions. Experiments had given reasons for such doubts even before these recent tests of usage. We summed these reasons up as follows:

First, these intuitions have proved quite susceptible to wording effects. Although the results of MMNS have been replicated several times, it has been found that small changes in wording yield somewhat different results.<sup>76</sup> Second, there is worrying evidence that the experimental task may be beyond many participants. The MMNS prompt asks participants to say who “John”, a character in their vignette, “is talking about” when he “uses the name ‘Gödel’”. In one experiment (Sytsma and Livengood 2011, pp. 326–7), participants who had answered this question were then asked how they had understood the question: Is it about who John *thinks* he is talking about or about who John is *actually* talking about? Remarkably, 44 out of 73 chose the former, providing clear evidence that they had

<sup>74</sup>For more on the distinction between testing against referential intuitions and against usage, see Devitt 2015c: 39–45.

<sup>75</sup>Sterelny mentions an earlier elicited production experiment that Kate Devitt, Wesley Buckwalter and I used to test usage. Sterelny thinks that “it is very similar to eliciting intuitions” (##7) I think not, but the procedure had other serious problems (2015c: 51–53) and I abandoned it. I think that the experiments cited in the text do not have those problems and are different from eliciting intuitions. Sterelny is quite right though that these experiments do not discriminate “between causal descriptive theory and causal theories of reference” (##n. 6). We don’t need experiments to reject causal descriptivism.

<sup>76</sup>See “Clarified Narrator’s Perspective” in Sytsma and Livengood 2011; “reverse-translation probes” in Sytsma et al. 2015; “Award Winner Gödel Case” and “Clarified Award Winner Gödel Case” in Machery et al. 2015.

2513 misunderstood the question. (If we ask whether it rained at Trump’s inaugural, we are not  
2514 asking whether Trump, or anyone else, *thinks* it rained.) (Devitt and Porot 2018: 1554-1555).

2515 The most telling count against folk intuitions comes, of course, from the recent tests  
2516 of usage. These tests provide powerful evidence for antidescriptivism. So, for the  
2517 folk intuitions to be reliable, they would have to be antidescriptivist. But studies  
2518 from MMNS on show that the folk intuitions vary greatly and are far from consis-  
2519 tently antidescriptivist.

2520 However, Sterelny’s doubts about the referential intuitions of philosophers have  
2521 not been confirmed experimentally. Given the response to *Naming and Necessity* in  
2522 the literature, we can reasonably assume that philosophers, particularly philoso-  
2523 phers of language, generally share Kripke’s referential intuitions. And this assump-  
2524 tion has received some experimental support: in an experiment on a Gödel case, the  
2525 intuitions of semanticists and philosophers of language were decisively antidescrip-  
2526 tivist (Machery 2012a).<sup>77</sup> The tests of usage show that these intuitions are right.

2527 Aside from testing referential intuitions and testing by elicited production, one  
2528 might do a truth-value judgment test. In such a test an experimenter asks subjects to  
2529 assess the truth value of some statement about the vignette. Machery, Christopher  
2530 Olivola, and Molly De Blanc (2009; “MOD”) did just that in response to Martí’s  
2531 criticism that MMNS should have tested usage (2009). MOD claimed that their  
2532 truth-value judgment test was a test of usage. Martí disagreed (2012). I have sided  
2533 with MOD on this, arguing that the test is “a somewhat imperfect” test of usage, its  
2534 imperfection lying “in the fact that *it primes a certain usage*” (Devitt and Porot  
2535 2018). Martí is not quite convinced:

2536 MOD’s questions are not as close to actual use as Devitt and Porot suggest, for they still  
2537 require that the subjects think about how another speaker is using a name and what *she* is  
2538 referring to when she uses it, a reflection that, as I have argued, is theoretical, and hence it  
2539 is not obvious that it provides direct evidence of disposition to use. (##11).

2540 We argued that MOD’s test does not require theoretical reflection from the subjects  
2541 because of the “disquotational” property of the truth term (Devitt and Porot  
2542 2018: 1559-1561).

2543 The results in seven of our eight tests of usage (elicited production and truth-  
2544 value judgment) were significantly antidescriptivist (and we think that we explained  
2545 away the exception well enough). Still Martí surmises “that some semantic revision-  
2546 ists would disagree” with our antidescriptivist conclusion on the ground that “a  
2547 small portion of subjects ... appear to use names descriptively” (##11). We think  
2548 that what those few subjects do is better thought of as “noise”.

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<sup>77</sup>For more discussion of this experiment, see Devitt 2012b: 23–24.

## 19.5 Metaphysics

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### 19.5.1 The Definition of “Scientific Realism” (Godfrey-Smith)

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Peter Godfrey-Smith and I have similarly realist views about science, as one would expect from Sydney boys, but we have been arguing for years about how best to *define* that realism. Any definition faces an obvious problem from the start: realism’s opponents are so various; as Godfrey-Smith puts it in “Scientific Realism and Epistemic Optimism”, “a diverse family of views – verificationism, radical constructivism, milder views like van Fraassen’s constructive empiricism (1980), and so on” (##9). Rejecting this diverse family leads to two different strands in realist thinking: first, what Godfrey-Smith nicely calls “a generalized *optimism* about science, especially current science”; second, a “metaphysical” strand, famously rejected by idealism, about “the mind-independence of (much of) the world” (##2). I call these two strands, “the existence dimension” and “the independence dimension” of realism.<sup>78</sup> They are somewhat strange bed-fellows and so combining them in one doctrine can seem “a bit artificial” (##4). Because of this, I contemplated separating them into two doctrines when writing *Realism and Truth* but decided against partly because of terminological conservatism and partly because it would make things too complicated.

Also, it is worth noting that the two strands are not as unrelated as they might seem. For, a traditional route to idealism presumed optimism about our knowledge of the familiar world of stones, trees, cats, and the like. The route started, in effect, with an epistemological theory, a theory about what we could and could not know. It went on to argue that if the familiar world had the mind-independent nature required by realism, then we could know nothing about it: that view is “the very root of Scepticism” (Berkeley 1710: Sect. 86). But – here’s the optimism – we obviously do know about it. So the familiar world is not mind-independent.<sup>79</sup> (This exemplifies the sort of argument that “Putting Metaphysics First” is against; see Sect. 19.4.1.)

In any case, I settled on definitions of “Scientific Realism” and “Strong Scientific Realism” that combined the two strands. A recent version of the strong doctrine is:

SSR: Most of the essential unobservables of well-established current scientific theories exist mind-independently and mostly have the properties attributed to them by science. (2005b: 70).

I have long had a worry about this: “Couldn’t someone be a realist in an interesting sense and yet be sceptical of the contemporary science on which realism ... is based?” (1991: 20). Godfrey-Smith puts the worry like this, “one can have a realist metaphysics and be pessimistic about science” (##4); he mentions Popper as an

<sup>78</sup> Godfrey-Smith emphasizes the importance of being careful about “mind-independence” (##10). I agree and hope that I have been (1991: 14–17, 246–258).

<sup>79</sup> As Fodor put it nicely (to Rey), “Idealism is the effort to buy knowledge by selling off metaphysics.”

2585 example (#3). My concern about this led me then, and later (1997: 303–304), to  
 2586 contemplate definitions to accommodate these “realists”. Godfrey-Smith also tried  
 2587 to accommodate them (2003). Still, I stuck with the likes of SSR for two reasons.  
 2588 (1) It seemed to me a bit paradoxical to call a doctrine “realism” that had no com-  
 2589 mitment to the existence of anything in particular. (2) It seemed to me unhelpful,  
 2590 given the enormous historical role of skepticism in the realism debate about the  
 2591 observable as well as unobservable world, to call a doctrine that does not confront  
 2592 skepticism “realism”.

2593 Godfrey-Smith has another worry. Quantum mechanics raises the possibility of  
 2594 a well-established scientific theory telling us “something very metaphysically sur-  
 2595 prising” (##5), namely, that we should reject the independence dimension. I have,  
 2596 of course, noted this distressing possibility (1984: 122; 1991: 132; 2005b: 69 n. 2)  
 2597 but I don’t see it as posing a problem for the definition. In my view, realism, *how-*  
 2598 *ever defined*, is “an overarching empirical hypotheses” (1984: 18; 1991: 20) and so  
 2599 ought to be open to scientific falsification.

2600 Finally, noting the qualifications in SSR – “most”, “essential”, “well-  
 2601 established” – Godfrey-Smith makes two objections to my definition:

- 2602 (i) There may be diversity across cases with respect to the appropriate level of confidence.  
 2603 (ii) There may be hidden diversity in what is being *claimed* by the science, in a way Devitt’s  
 2604 formulation does not accommodate. (##6)

2605 In thinking about these objections, it is important to keep firmly in mind the *point*  
 2606 of definitions like mine. Godfrey-Smith thinks that such “a rough and coarse-  
 2607 grained summary ... might have a role in some discussions (discussions of the rela-  
 2608 tion between science and religion, for example)” (##5) but not, apparently, in  
 2609 philosophical discussions, at least not in the one I am engaged in. But why not? The  
 2610 point of these definitions is to come up with a doctrine, admittedly vague, rough and  
 2611 ready (1984: 11, 23; 1991: 13, 24), that is nonetheless, on the one hand, clearly  
 2612 rejected by members of that “diverse family” that Godfrey-Smith mentions; and, on  
 2613 the other hand, clearly the subject of current debates like that over the “no-miracles  
 2614 argument”. SSR fits the bill.

2615 Consider objection (i). Godfrey-Smith rightly notes that “we have different kinds  
 2616 of evidence, and different shortcomings and reasons for doubt, in different fields”  
 2617 (##6). As a result, he thinks that SSR and its ilk involve “an excessive sculpting and  
 2618 refining of something that can only ever be a very rough and ready summary” (##6).  
 2619 But what changes would Godfrey-Smith recommend that avoids this “kind of false  
 2620 rigor” *whilst still fitting the bill*?

2621 Objection (ii) is based on the role of modeling in science and has two themes.  
 2622 The first concerns “approximation and idealization” (##7). SSR presumes, as  
 2623 Godfrey-Smith notes, that in science “we *refer to objects and attribute properties to*  
 2624 *them*” (##6) Yet “in many fields, science aims to develop models that are *good*  
 2625 *approximations*” (##7). So drawing conclusions about what entities science is really  
 2626 committed to is a subtler business than SSR presumes. But, again, given the point of  
 2627 SSR, I think that these subtleties can be ignored.

The second theme is “the primacy of *structure* rather than *entities*” (##70). 2628  
 Scientists often think that their models show not so much that certain entities exist 2629  
 but that “the structure specified by these models is usefully similar to the structure 2630  
 of the system we are trying to understand” (##7). This is a serious worry because it 2631  
 challenges SSR’s *truth*! I am not convinced by this challenge and so want to hold to 2632  
 my definition. But clearly the realistically inclined philosopher who *is* convinced 2633  
 should fall back to a definition of *structural* realism, as John Worrall (1989), and 2634  
 perhaps Godfrey-Smith, already have. 2635

So, for the purposes of SSR, I think it is in order to overlook the subtleties 2636  
 Godfrey-Smith mentions. This is not to say, of course, that it is in order to do so to 2637  
 serve other respectable philosophical purposes; for example, the purpose of deter- 2638  
 mining precisely what a particular scientific theory tells us about reality. 2639

## 19.5.2 *Biological Essentialism (Godman and Papineau)* 2640

### 19.5.2.1 Introduction 2641

What is it *to be* a member of a particular biological taxon? *In virtue of what* is an 2642  
 organism say a *Canis lupus*? What *makes* it one? I take these to be various ways to 2643  
 ask about the ‘essence’, ‘nature’, or ‘identity’ of a particular taxon. The consensus 2644  
 answer in the philosophy of biology, particularly for taxa that are species, is that the 2645  
 essence is not in any way intrinsic to the members but rather is wholly relational, 2646  
 particularly, historical. Thus, in their excellent introduction to the philosophy of 2647  
 biology, *Sex and Death*, Sterelny and Paul Griffiths have this to say: there is “close 2648  
 to a consensus in thinking that species are identified by their histories” (1999: 8); 2649  
 and “the essential properties that make a particular organism a platypus ... are his- 2650  
 torical or relational” (1999: 186). Samir Okasha endorses the consensus describing 2651  
 it as follows: we “identify species in terms of evolutionary history ... as particular 2652  
 chunks of the genealogical nexus” (2002: 200). Philosophers of biology like to 2653  
 emphasize just how different their historical essentialism is from the influential 2654  
 views of Kripke (1980) and Putnam (1975). 2655

In “Resurrecting Biological Essentialism” (2008d), I rejected the consensus in 2656  
 arguing that there is an *intrinsic component* to a taxon’s essence. I called that doc- 2657  
 trine, “*Intrinsic Biological Essentialism*” (IBE).<sup>80</sup> Still I accepted that there was also 2658  
 an historical *component* to a taxon’s essence and have recently argued for this com- 2659  
 ponent in “Historical Biological Essentialism” (2018). As indicated by the title of 2660  
 their interesting paper, “Species have Historical not Intrinsic Essences”, Marion 2661  
 Godman and David Papineau (“G&P”) argue for the consensus historical doctrine 2662  
 and reject my IBE. 2663

<sup>80</sup>This article has been criticized by Matthew Barker (2010), Marc Ereshefsky (2010), Tim Lewens (2012), Sarah-Jane Leslie (2013), and Matthew Slater (2013), all of whom are part of the consensus. I have responded Forthcoming-c.



2664 My concern was with the essence of taxa thought to be in any one of the Linnaean  
 2665 categories. However, the custom in discussions of biological essentialism is to con-  
 2666 sider only species. I think this is a mistake but I shall go along with it here.

2667 “Resurrecting” presented a positive argument for IBE and criticized the histori-  
 2668 cal consensus. The positive argument had two parts (2008d: 351–355), which I now  
 2669 summarize.

### 2670 19.5.2.2 Summary of Argument for Intrinsic Biological 2671 Essentialism (IBE)

2672 The first part concerned the biological generalizations about the phenotypic proper-  
 2673 ties of species and other taxa; generalizations about what they look like, about what  
 2674 they eat, about where they live, about what they prey on and are prey to, about their  
 2675 signals, about their mating habits, and so on. I argued that these generalizations  
 2676 have explanations that advert to intrinsic components of essences. In presenting this  
 2677 argument, I emphasized Ernst Mayr’s (1961) distinction between “proximal” and  
 2678 “ultimate” explanations. (I preferred Philip Kitcher’s (1984) terms for this distinc-  
 2679 tion, “structural” vs “historical”, but G&P prefer Mayr’s and so I will go with that.)  
 2680 The explanations that featured in my argument were proximal ones about the under-  
 2681 lying developmental mechanisms in members of a taxon that make the generaliza-  
 2682 tions true. In contrast, ultimate explanations tell us how members of the taxon  
 2683 evolved to have such mechanisms.

2684 In the second, related, part of the earlier argument, I claimed that a taxon’s intrin-  
 2685 sic essence explains why it is explanatory for an organism to be in a certain taxon:

2686 the generalizations we have been discussing reflect the fact that it is *informative* to know  
 2687 that an organism is a member of a certain species or other taxon: these classifications are  
 2688 “information stores” (Sterelny and Griffiths 1999, p. 195). But being a member of a certain  
 2689 taxon is more than informative, it is *explanatory*. Matthen points out that “many biologists  
 2690 seem committed to the idea that something is striped *because* it is a tiger” (1998, p. 115).  
 2691 And so they should be: the fact that an individual organism is a tiger, an Indian rhino, an ivy  
 2692 plant, or whatever, explains a whole lot about its morphology, physiology, and behavior.  
 2693 (2008d: 352)

2694 *Why does it?* Because the essential nature of a taxon, to be discovered by biologists,  
 2695 causes its members, in their environment, to have those phenotypic properties. What  
 2696 nature? I argued that if our concerns are proximal, so they are with a nature that  
 2697 causes a tiger’s development into an organism with those properties, the nature must  
 2698 be intrinsic.

2699 Sarah-Jane Leslie claims plausibly that the traditional argument for essentialism  
 2700 “makes critical use of intuitions” (2013: 109). As can be seen, my argument for IBE  
 2701 does not. It makes critical use of biological explanations. (I should have emphasized  
 2702 this in “Resurrecting”.) G&P also emphasize explanation:

2703 Essential properties are properties that explain all the other shared properties. For any Kind  
 2704 C, there will be some central common feature E possessed by each C, a feature that gives  
 2705 rise to all the other properties F shared by the Kind. The essential property thereby explains  
 2706 why the Kind supports multiple generalizations. (##3).

So, we are *very much* in agreement on this methodologically significant point. Yet we end up with very different conclusions.

### 19.5.2.3 G&P on Alice and Artifacts

G&P think of species as “historical kinds” which they contrast with “eternal kinds”, using terms they take from Ruth Millikan (1999, 2000). They rightly think that we can throw light on species by considering some other kinds. So they begin their argument by discussing the essence of a range of kinds that they think are also “historical”. And they claim that I think that species are “eternal”. I find these terms quite unhelpful and so will not argue about their application to any kind. My focus in discussing species and some of these other kinds will be *very simple*: Do these kinds, whether appropriately called “historical” or “eternal”, have an intrinsic component to their essence? For *that* is what is at issue with IBE. Whether the essence of species *also* have an historical component is *not* at issue. The clear difference between us is that I think that essential intrinsic properties answer proximal questions whilst G&P do not; they think that essential historical ones answer those questions.

G&P begin their argument as follows:

Consider all the different copies of *Alice in Wonderland*, including the paperback with a front page torn off on Marion’s bookshelf, the hardback in David’s study, and the many others in numerous libraries and book stores across the world. These instances all share their first word, their second word, ... and so on to the end. They also share the same list of characters, the same plot, and the same locations. We thus have a wealth of generalizations of the form *All copies of Alice in Wonderland are F*. Copies of *Alice in Wonderland* form a Kind. But the common properties of this kind are certainly not explainable by any common physical essence.... Rather, all these instances are members of the same Kind because they are all copies of an original. Their shared features are all due to their common descent from the original version written by Lewis Carroll. It is purely this chain of reproduction, not any common intrinsic property, that explains the shared features. (##4).

This is where G&P introduce their talk of *copying*, which is an important sign of their approach to essentialism. And, not surprisingly, copying *does* have a place in discussing the essence of a “copy” of *Alice*. I shall set *Alice* aside for a moment. But here’s a quick initial thought: talk of *x* being essentially a copy of *y* seems a very unpromising way to reject an intrinsic component to the essence of *x*. For, *to be* such a copy, *x* must share the intrinsic properties of *y*!

G&P follow their remarks about *Alice* with some about artifacts:

Many artefacts are like literary works in this respect. Earlier we alluded to all the features common to Vauxhall Zafiras.... But here again the commonalities are not explained by some common intrinsic property. While the Zafiras do have many physical properties in common, none of these is distinguished as the source of all the other common features. Rather their many similarities stem from their all being made according to the same original blueprint. They are constituted as a Kind by their common historical source. (##4).

After mentioning some other examples, they sum up their view of historical kinds:

2749 all examples will involve three central ingredients: 1) the existence of a model, 2) new  
 2750 instances produced in interaction with the model or other past instances, 3) this interaction  
 2751 *causes* the new instances to resemble past instances. A chain of reproduction thus generates  
 2752 the relevant historical relations that ground and explain the Kind. (##5)

#### 2753 19.5.2.4 Implements

2754 Now G&P's claim is about "many" artifacts not all artifacts. But it is helpful to start  
 2755 by considering the essence of artifacts in general. And the first thing to note is that  
 2756 among artifactual kinds only the typical "trade-marked" ones like the Vauxhall  
 2757 Zafira or the iPhone are *essentially* artifacts. Consider "generic" artifacts like cars  
 2758 or smartphones.<sup>81</sup> These are, of course, made by us and they are so complicated that  
 2759 it may seem as if they *have* to be made by us. This makes it harder to see what is  
 2760 essential to being one of those things. So, let us consider something much simpler:  
 2761 a paperweight. To be a paperweight an object must *have a certain function*, the  
 2762 function of securing loose papers with its weight. Paperweights often have that  
 2763 function because they are artifacts designed to have it. But they often get that func-  
 2764 tion in a very different way: a perfectly natural object like a stone or a piece of  
 2765 driftwood becomes a paperweight *by being regularly used* to secure papers. So,  
 2766 whereas having a certain function is essential to being a paperweight, being an arti-  
 2767 fact is not. Similarly, being an artifact is not essential to being a doorstop, a hammer,  
 2768 a pencil, a chair, or even a car or a smartphone. Putnam once remarked that chairs  
 2769 might have grown on trees. So might cars and smartphones!

2770 We need a word for these functional objects. I call them "implements" So what  
 2771 is essential to an object's being a particular kind of implement is having a certain  
 2772 function. An object has that function in virtue of two properties. First, the object's  
 2773 relation to us or to some other organism: a car was made by us for a certain *purpose*  
 2774 and a nest was made by a bird for a certain *purpose*; a paperweight found on a beach  
 2775 is *standardly used* by us for a certain *purpose*. So relations to organisms are essen-  
 2776 tial to kinds that are implements. But, it is important to note, not *one* of the relations  
 2777 G&P pick out in discussing *Alice* and the Zafira are essential to generic implements:  
 2778 these implements need not be "copies", have "a chain of reproduction", have "a  
 2779 model", have a "common historical source", or be made "according to [an] original  
 2780 blueprint".

2781 Importantly, the second property in virtue of which an object has the function of  
 2782 an implement is intrinsic. For, the object must have *any intrinsic property required*  
 2783 *to perform that function*; thus, a paperweight has to have an intrinsic constitution  
 2784 that enables it to secure loose papers. No matter how much we intended something  
 2785 to be a paperweight, it won't be one unless it has that constitution; thus, a feather  
 2786 could not be a paperweight. And there may be more to the intrinsic component. An  
 2787 implement kind may have essential intrinsic properties *beyond those necessary for*  
 2788 *its function*, properties that distinguish it from other implement kinds *with the same*

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<sup>81</sup>I draw on my 2005a: 155–156.

*function*: pencils and pens are both writing instruments but they have different intrinsic essences. 2789  
2790

Why should we believe these essentialist claims? They are intuitively plausible, I think, but we can do better than that. As G&P point out, “essential properties are properties that explain all the other shared properties”. So we should look to such explanations to support our essentialist claims here as with species. Consider two examples. Why are paperweights useful weapons? Because the essential function of a paperweight requires it to have intrinsic properties (which we could spell out) that make it a good weapon. Why is it easier to erase writing from a pencil than from a pen? Because of the essential intrinsic difference between pencils and pens (a difference we could spell out).<sup>82</sup> 2791  
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Turn now to G&P’s examples. First, the Zafira. This is a typical trade-marked implement. Unlike the generic car, it is indeed part of the essence of a Zafira that it comes from a common historical source and is made according to an original (at least implicit) blueprint.<sup>83</sup> So, in that respect, G&P have the essence of a Zafira right. But in all other respects, they have it wrong. First, but not important, it is not essential that a Zafira be a “copy”, have “a chain of reproduction”, or have a “model”. Second, and *very* important, many properties of Zafirars *are* “explained by some common intrinsic property”. 2800  
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A Zafira is essentially a car and so its essence includes all the essential properties of cars. So it must have the function of a car. So, first, it must be appropriately related to our purposes. Second, it must have all the intrinsic properties essential to functioning as a car; for example, having an engine, brakes, and seats. Something without those intrinsic properties – for example, a paperweight or a smartphone – *could not* be a car. Third, just as a pencil has an intrinsic essence that distinguishes it from other writing implements, so too does a car have an intrinsic essence that distinguishes it from other vehicles: from a van, truck, bus, pram, golf buggy, ... Furthermore, a Zafira is a *special kind* of car and so there is even more to its intrinsic essence than to that of a generic car. It has to have *the particular sort* of engine, brakes, and seats peculiar to a Zafira; for example, it has to have the special 7-seat arrangement that is a strong selling point. 2808  
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How do I know all this? Once again, as with species, we should look to explanation not just intuition. (a) Zafirars, like cars in general, are useful for suburban shopping. Why? The explanation is to be found in the intrinsic properties we have just alluded to. And, we should note, the historical fact that Zafirars are made by Vauxhall is irrelevant to that explanation: it would make no difference if the car had been made by Ford or not made at all, just found. (b) According to the advertisement, Zafirars are versatile, easy to drive, comfortable for a family, and disabilities friendly. Suppose they are. Each of these properties of the Zafira would be explained by its 2820  
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<sup>82</sup>This discussion of generic implements bears on Millikan’s discussion of chairs (1999: 56; 2000: 21).

<sup>83</sup>I say that the Zafira is a “typical” trade-marked implement because there may be some atypical ones that are not made at all; e.g. found objects ingeniously marketed as ornamental paperweights.

2828 intrinsic essential properties. Once again, the relation of Zafiras to Vauxhall is  
2829 beside the point.

2830 So far, then, the trade-marked Zafira has just the same sort of three-part essence  
2831 as the generic car: a relation to our purposes and some intrinsic properties that  
2832 together give the Zafira its essential function as a car: and some other intrinsic prop-  
2833 erties distinctive of the Zafira. But there is a bit more to the essence of Zafiras. I  
2834 agreed with G&P that, unlike cars in general, Zafiras must have a “common histori-  
2835 cal source”: they must be made by Vauxhall. Why must they? As we have noted this  
2836 relational property of Zafiras is irrelevant to the explanations we have been consid-  
2837 ering so far. But, it is not irrelevant to some other explanations; it may, for example,  
2838 be part of explanations of the reputation and desirability of Zafiras (think of the fact  
2839 that an iPhone is made by Apple), of its repair record, and so on. But even with these  
2840 explanations, essential intrinsic properties are likely to be central.

2841 I also agreed that Vauxhall must have made Zafiras according to an original (at  
2842 least implicit) blueprint. But this requirement brings together what we have already  
2843 identified as essential *without adding anything new*. (1) We have just agreed that  
2844 Zafiras must be made by Vauxhall. (2) To say that they must be made according to  
2845 that original blueprint is just to say that they must be made with the properties speci-  
2846 fied by the blueprint. *And those properties include the earlier-mentioned essential*  
2847 *intrinsic properties of the Zafira*, the ones that carry the burden of explaining such  
2848 commonalities of Zafiras as its special 7-seat arrangement. In brief, to say that the  
2849 essence of Zafiras is to be made by Vauxhall according to the original blueprint is  
2850 not to deny that the essence has an intrinsic component; rather it is to *entail* that it  
2851 has. The essence that G&P propose is up to its ears in intrinsic properties.<sup>84</sup>

2852 In conclusion, the view that the commonalities of a Zafira can be explained by a  
2853 purely relational essence is, as I said in “Resurrecting” about an analogous view of  
2854 the commonalities of a species, “explanatorily hopeless” (2008d: 363).

2855 The story for *Alice* is, as G&P say, much like that for the Zafira. But they have  
2856 both stories wrong. It is essential to a copy of *Alice* that it is a copy of the original  
2857 manuscript produced by Lewis Carroll just as it is essential to a Zafira that it was  
2858 made from Vauxhall’s blueprint. But intrinsic properties are essential in both cases.  
2859 For something to be a copy of *Alice*, it has to be a *linguistic* item with the *semantic*  
2860 properties of Lewis Carroll’s manuscript. That is what it *is* to be a copy and anything  
2861 without such properties is simply not a copy of *Alice*. And it is those intrinsic lin-  
2862 guistic properties – the “first word, ... second word, ... list of characters, ... plot,

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<sup>84</sup>They later mention another artifact, the pound coin: “We don’t think all the pound coins pressed from some mould must have some common inner essence to explain why they share their many other joint properties” (##9). On the contrary, a common inner essence is necessary to explain their behavior in ticket machines and many other properties. Of course, the *most important* properties of the coin are its function of being worth one pound, which it has because of its relation to the Bank of England. Still, there is an intrinsic component to its essence.

and locations” – that explain such commonalities as that copies of *Alice* show great insight into the difference between quantifiers and names.<sup>85</sup>

### 19.5.2.5 Species

After a brief discussion of some other kinds, G&P finally turn to biological essentialism (##10). Their argument against IBE to this point is the suggestion that species are like other kinds, ones they classify as “historical”, in not having an intrinsic component to their essence. I think that they are right to look to other kinds for guidance. But what we should learn from studying these others, supported by my discussion of Zafiras and *Alice*, is that *it is unlikely that the essence of any explanatorily interesting kind is wholly relational*. (*Being Australian* is my favorite example of an explanatorily *uninteresting* kind that is probably wholly relational (2008d: 346). In any case, in the end, the rejection of IBE demands arguments about species themselves not about other kinds. We need to be shown that the proximal explanations of species commonalities do not rest on intrinsic properties. So that is what we now look for.

G&P contrast their position on species with mine:

Why do their members all share so many properties? As we have seen, one answer would be to assimilate species to eternal Kinds, as Devitt does, and appeal to the common genetic make-up intrinsic to each member. But an alternative would be to view species as historical Kinds, and attribute their shared properties to their common ancestry, with their genetic make-up simply being part of the species’ copying mechanism. (##8).

My examples of the sorts of shared phenotypic properties that we are talking about include: “ivy plants grow toward the sunlight ... polar bears have white fur ... Indian rhinoceri have one horn and Africa rhinoceri have two” (2008d: 351). And my claim is that an essential intrinsic underlying property of the kind in question is central to the proximal explanation of these commonalities, to the explanation of why each of these organisms develop to have the property specified for its kind. That intrinsic component of the essence, together with the organism’s environment, causes the organism to have the specified property (352).

G&P give two reasons for rejecting my view and for taking species to be “fundamentally historical”. But before considering them I want to address objections that came up in a helpful, though puzzling, private exchange with Papineau. Here is my version of the exchange:

**Objection** Your “essence” may explain why members of a species *S* have phenotypic property *P* or *Q* in common but what we want from an essence is to explain the fact that they “display a great number of commonalities” (##7); we seek the common cause of a *tightly correlated group* of properties.

<sup>85</sup> My discussion of blueprints and copying bears on Millikan’s discussion of reproduction and copying in discussing the nature of kinds (1999: 54–56).

2900 **Response** I agree that we want to explain that *as well* but if, as I have argued, a  
 2901 partly intrinsic essence explains *P* and it explains *Q*, then it follows that it explains  
 2902 the tight correlation of these two properties in *S*!

2903 **Objection** But, your “essence” is not a *common* cause of these properties. Rather it  
 2904 is a conjunction of separate causes, one for *P*, one for *Q*, and so on. The essence  
 2905 should be a *single* common cause.

2906 **Response** Let us go along with this intuitive talk of a “single” cause, for the sake  
 2907 of argument. If this requirement were good, then neither *S* nor *Zafiras* nor *Alice*  
 2908 would *have* an essence. For, *as a matter of fact*, one underlying property of *S*’s  
 2909 ancestors causes *P*, another, *Q*, and so on; one property of the blueprint is respon-  
 2910 sible for a *Zafira*’s brakes, another, for its special 7-seat arrangement: some seman-  
 2911 tic properties of the original *Alice* lead to its copies having one admirable literary  
 2912 property, others, another. Clearly, the singularity requirement is no good.

2913 Turn now to G&P’s two reasons. The second of these concerns microbial kinds.  
 2914 I did not address such kinds at all in “Resurrecting” and I later acknowledged that  
 2915 IBE may not apply to them. (Forthcoming-c) So I shall set the second reason aside  
 2916 and attend only to the first reason, “non-zygotic inheritance”. Does it show that IBE  
 2917 is wrong about the non-microbial biological world? I think not. Indeed, I’m puzzled  
 2918 that they think that it does.

2919 G&P start their discussion of non-zygotic inheritance by pointing out that “the  
 2920 children of a skilled forager” may not inherit their skills through their genes but  
 2921 rather “in other ways – for instance, by her explicitly training them, or by their  
 2922 implicitly copying her tricks. In this sense, there is nothing at all problematic about  
 2923 the inheritance of acquired characteristics” (##8). Indeed, there is not, and such  
 2924 inheritance is common in nature, as G&P bring out nicely. *But this throws no doubt*  
 2925 *on IBE*. For, the training and copying are part of the environment’s causal role in the  
 2926 development of phenotypic properties. As G&P note (##9), I emphasize the obvious  
 2927 fact that “explanations will make some appeal to the environment” as well as to  
 2928 intrinsic essences (2008d: 352).

2929 At this point, I’m sorry to say, G&P seem to go right off the rails: “nothing  
 2930 requires characteristic traits shared by species members to depend on genetic inheri-  
 2931 tance *at all*” (##9). Surely they can’t really mean “*at all*”?! But they do:

2932 Why do all tigers grow up the same, and different from zebras, even though tigers and  
 2933 zebras are subject to just the same environmental influences? What could explain that,  
 2934 except their shared genetic make-up? Well, the answer is that tigers and zebras aren’t sub-  
 2935 ject to just the same environmental influences. Tigers are raised by tigers, while zebras are

raised by zebras, and many of their species-characteristic properties can be due to this in  
itself – without any assistance from their genes. (##9). 2936  
2937

Without *any* assistance?! Let’s not beat about the bush: this is A Big Mistake. If it 2938  
weren’t for their shared genetic make-up, no tigers would acquire *any* traits from 2939  
interaction with their parents (or with anything else). If G&P were right, a zebra 2940  
brought up by tigers would have all the traits that tiger cubs acquire from interaction 2941  
with their parents. That is surely not so. Chimps brought up by humans famously 2942  
fail to learn a human language. (Indeed, language acquisition is a good example of 2943  
the combined action of genes and environment in acquiring a trait.) Young cuckoos 2944  
don’t grow up like their foster parents. 2945

So I see nothing in non-zygotic inheritance that counts against IBE. Aside from 2946  
that, what about all the other commonalities of species? What explains why Indian 2947  
rhinos have one horn and Africa rhinos have two? Or why tigers and zebras have 2948  
stripes? These are certainly not traits acquired from watching parents. No case has 2949  
been presented against the view that an intrinsic essence provides the proximal 2950  
explanation of these traits. 2951

There is a puzzle about this discussion of non-zygotic inheritance. It is offered to 2952  
support the historical alternative to IBE: the shared properties are to be explained by 2953  
“their common ancestry”. And, as we have noted, in the background discussion it is 2954  
claimed – falsely, I have argued – that copies of *Alice* and *Zafiras* have wholly his- 2955  
torical essences. Yet an historical essence plays no role in the explanations G&P 2956  
offer in discussing this non-zygotic inheritance. 2957

In conclusion, there is certainly a relational, sometimes historical, component to 2958  
the essence of literary works, artifacts and implements. But there is also an intrinsic 2959  
component which plays a central role in the explanation of commonalities. And the 2960  
same goes for species. IBE stands untouched. 2961

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